EXHIBIT 3

(12) United States Patent

Poeze et al.

(54) USER-WORN DEVICE FOR
NONINVASIVELY MEASURING A
PHYSIOLOGICAL PARAMETER OF A USER

(71) Applicant: Masimo Corporation, Irvine, CA (US)

(72) Inventors: Jeroen Poeze, Rancho Santa Margarita,
CA (US); Marcelo Lamego, Cupertino,
CA (US); Sean Merritt, Lake Forest,
CA (US); Cristiano Dalvi, Lake Forest,
CA (US); Hung Vo, Fountain Valley,
CA (US); Johannes Bruinsma,
Opeinde (NL); Ferdyan Lesmana,
Irvine, CA (US); Massi Joe E. Kiani,
Laguna Niguel, CA (US); Greg Olsen,
Lake Forest, CA (US)

Assignee: Masimo Corporation, Irvine, CA (US)

(*) Notice: Subject to any disclaimer, the term of this patent is extended or adjusted under 35

U.S.C. 154(b) by 0 days.

This patent is subject to a terminal dis-

claimer.

(21) Appl. No.: 17/031,316

(22) Filed: **Sep. 24, 2020**

(65) **Prior Publication Data**

US 2021/0007635 A1 Jan. 14, 2021

Related U.S. Application Data

(60) Continuation of application No. 16/834,538, filed on Mar. 30, 2020, which is a continuation of application (Continued)

(51) Int. Cl.

A61B 5/1455 (2006.01)

A61B 5/145 (2006.01)

A61B 5/00 (2006.01)

(10) Patent No.: US 10,945,648 B2

(45) **Date of Patent:** *Mar. 16, 2021

(52) U.S. Cl. CPC *A61B 5/1455* (2013.01); *A61B 5/14532*

(2013.01); **A61B 5/14546** (2013.01);

(Continued)

(58) Field of Classification Search

CPC . A61B 5/1455; A61B 5/14546; A61B 5/6838; A61B 5/6816; A61B 5/6829;

(Continued)

(56) References Cited

U.S. PATENT DOCUMENTS

3,452,215 A 6/1969 Alessio 3,760,582 A 9/1973 Thiess et al. (Continued)

FOREIGN PATENT DOCUMENTS

CA 2264029 3/1998 CN 1270793 10/2000 (Continued)

OTHER PUBLICATIONS

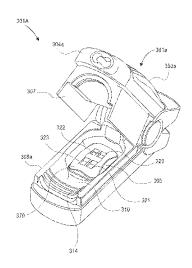
US 8,845,543 B2, 09/2014, Diab et al. (withdrawn) (Continued)

Primary Examiner — Chu Chuan Liu (74) Attorney, Agent, or Firm — Knobbe Martens Olson & Bear LLP

(57) ABSTRACT

The present disclosure relates to noninvasive methods, devices, and systems for measuring various blood constituents or analytes, such as glucose. In an embodiment, a light source comprises LEDs and super-luminescent LEDs. The light source emits light at least wavelengths of about 1610 nm, about 1640 nm, and about 1665 nm. In an embodiment, the detector comprises a plurality of photodetectors arranged in a special geometry comprising one of a substantially linear substantially equal spaced geometry, a substantially linear substantially non-equal spaced geometry, and a substantially grid geometry.

30 Claims, 65 Drawing Sheets



Page 2

Related U.S. Application Data

No. 16/725,292, filed on Dec. 23, 2019, now Pat. No. 10,624,564, which is a continuation of application No. 16/534,949, filed on Aug. 7, 2019, now Pat. No. 10,588,553, which is a continuation of application No. 16/409,515, filed on May 10, 2019, now Pat. No. 10,376,191, which is a continuation of application No. 16/261,326, filed on Jan. 29, 2019, now Pat. No. 10,292,628, which is a continuation of application No. 16/212,537, filed on Dec. 6, 2018, now Pat. No. 10,258,266, which is a division of application No. 14/981,290, filed on Dec. 28, 2015, now Pat. No. 10,335,068, which is a continuation of application No. 12/829,352, filed on Jul. 1, 2010, now Pat. No. 9,277,880, which is a continuation of application No. 12/534,827, filed on Aug. 3, 2009, now abandoned, and a continuation-in-part of application No. 12/497, 528, filed on Jul. 2, 2009, now Pat. No. 8,577,431, which is a continuation-in-part of application No. 29/323,408, filed on Aug. 25, 2008, now Pat. No. Des. 606,659, and a continuation-in-part of application No. 29/323,409, filed on Aug. 25, 2008, now Pat. No. Des. 621,516, and a continuation-in-part of application No. 12/497,523, filed on Jul. 2, 2009, now Pat. No. 8,437,825, said application No. 12/497,523 is a continuation-in-part of application No. 29/323,408, filed on Aug. 25, 2008, now Pat. No. Des. 606,659, and a continuation-in-part of application No. 29/323,409, filed on Aug. 25, 2008, now Pat. No. Des. 621,516.

(60) Provisional application No. 61/086,060, filed on Aug. 4, 2008, provisional application No. 61/086,108, filed on Aug. 4, 2008, provisional application No. 61/086,063, filed on Aug. 4, 2008, provisional application No. 61/086,057, filed on Aug. 4, 2008, provisional application No. 61/091,732, filed on Aug. 25, 2008, provisional application No. 61/078,228, filed on Jul. 3, 2008, provisional application No. 61/078,207, filed on Jul. 3, 2008.

(52) U.S. Cl.

CPC A61B 5/14552 (2013.01); A61B 5/6816 (2013.01); A61B 5/6826 (2013.01); A61B 5/6829 (2013.01); A61B 5/6838 (2013.01); A61B 5/6843 (2013.01); A61B 2562/0233 (2013.01); A61B 2562/04 (2013.01); A61B 2562/046 (2013.01); A61B 2562/146 (2013.01)

(58) Field of Classification Search

CPC . A61B 5/6843; A61B 5/6826; A61B 5/14551; A61B 5/14552; A61B 5/14532; A61B 2562/046; A61B 2562/04; A61B 2562/0233; A61B 2562/146

See application file for complete search history.

(56) References Cited

U.S. PATENT DOCUMENTS

3,789,601	A	2/1974	Bergey
3,910,701	A	10/1975	Henderson et al.
4,015,595	A	4/1977	Benjamin
4,114,604	A	9/1978	Shaw et al.
4,129,124	A	12/1978	Thalmann
4,224,948	A	9/1980	Cramer et al.
4,258,719	A	3/1981	Lewyn
4,267,844	A	5/1981	Yamanishi
4,409,470	A	10/1983	Shepard et al.

4,438,338 A 3/1984 Stitt 4,444,471 A 4/1984 Ford et al. 4,447,150 A 5/1984 Heinemann 4,547,075 A 10/1985 Fei 4,653,498 A 3/1987 New, Jr. et al. 4.655.225 A 4/1987 Dahne et al. 4,684,245 A 8/1987 Goldring 4.709.413 A 11/1987 Forrest 4,755,676 A 7/1988 Gaalema et al. 4,759,369 A 7/1988 Taylor 4,781,195 A 11/1988 Martin 4,782,836 A 11/1988 Alt 4,802,486 A 2/1989 Goodman et al. 4,805,623 A 2/1989 Jöbsis 4,819,860 A 4/1989 Hargrove et al. 4.825,872 A 5/1989 Tan et al. 4,859,057 A Taylor et al. 8/1989 4,865,038 A 9/1989 Rich et al. 4,867,557 A 9/1989 Takatani et al. 4,869,253 A 9/1989 Craig, Jr. et al. 4,880,304 A 11/1989 Jaeb et al. 4,903,701 A 2/1990 Moore et al 4,928,692 A 5/1990 Goodman et al. 4.933.545 A 6/1990 Saaski et al. 4,938,218 A 7/1990 Goodman et al. 7/1990 4,941,236 A Sherman et al. 4,945,239 A 7/1990 Wist et al. 4,955,379 A 9/1990 Hall 4,960,128 A 10/1990 Gordon et al. 10/1990 4,960,314 A Smith et al. 4,964,408 A 10/1990 Hink et al. 5,007,423 A 5,025,791 A 4/1991 Branstetter et al. 6/1991 Niwa 7/1991 Rosenthal et al. 5,028,787 A 5.035.243 A 7/1991 Muz 5,041,187 A 8/1991 Hink et al. 5,043,820 A 8/1991 Wyles et al. 5,069,213 A 12/1991 Polczynski 5,069,214 A 12/1991 Samaras et al. 5.069.680 A 12/1991 Grandiean 5,077,476 A 12/1991 Rosenthal 2/1992 5.086,229 A Rosenthal et al. 5,099,842 A 3/1992 Mannheimer et al. 5,109,849 A 5/1992 Goodman et al. D326,715 S 6/1992 Schmidt 5,122,925 A 6/1992 Inpyn 5,131,391 A 7/1992 Sakai et al. 5.137.023 A 8/1992 Mendelson et al. 5.158.082 A 10/1992 Jones Butterfiled et al. 5.158.091 10/1992 5,159,929 A 11/1992 McMillen et al. 5,163,438 A 11/1992 Gordon et al. 5,176,137 A 1/1993 Erickson et al. 5,190,038 A 3/1993 Polson et al. 5,203,329 A 4/1993 Takatani et al. 5,218,962 A 6/1993 Mannheimer et al. 5,222,295 6/1993 Dorris, Jr. 6/1993 Clarke et al. 5,222,495 A 5,222,496 6/1993 Clarke et al. 7/1993 5,228,449 Christ et al. 5,249,576 A 10/1993 Goldberger et al. 5,250,342 A 10/1993 Lang 5,251,011 A 10/1993 Fujiwara et al. 5,254,388 A 10/1993 Melby et al. 5,254,992 10/1993 Keen et al. 5,273,036 A 12/1993 Kronberg et al. 1/1994 5,278,627 Aoyagi et al. 3/1994 5,297,548 A Pologe 5,319,355 A 6/1994 Russek 5,333,616 A 8/1994 Mills et al. 5,337,744 A 8/1994 Branigan 5.337.745 A 8/1994 Benaron 5,341,805 A 8/1994 Stavridi et al. 5,355,242 A 10/1994 Eastmond et al. 5,358,519 A 10/1994 Grandjean 5,362,966 A 11/1994 Rosenthal et al. D353,195 S 12/1994 Savage et al. D353,196 S 12/1994 Savage et al. 5,372,135 A 12/1994 Mendelson et al.

(56)			Defeuen	ces Cited	5,797,841	Δ.	8/1008	Delonzor et al.	
(56)			Keleren	ces Cheu	5,800,348			Kaestle	
		U.S.	PATENT	DOCUMENTS	5,800,349	Α		Isaacson et al.	
					5,807,247			Merchant et al.	
	5,377,676			Vari et al.	5,810,734 5,817,008			Caro et al. Rafert et al.	
	D356,870 D359,546			Ivers et al. Savage et al.	5,823,950			Diab et al.	
	5,427,093			Ogawa et al.	5,826,885			Helgeland	
	5,431,170		7/1995	Mathews	5,830,131			Caro et al.	
	5,436,499			Namavar et al.	5,830,137		11/1998		
	D361,840			Savage et al.	5,833,618 D403,070			Caro et al. Maeda et al.	
	5,437,275 5,441,054			Amundsen et al. Tsuchiya	5,842,982			Mannheimer	
	D362,063			Savage et al.	5,851,178		12/1998	Aronow	
	5,452,717			Branigan et al.	5,854,706		12/1998		
	D363,120			Savage et al.	5,860,919 5,860,932			Kiani-Azarbayjany Goto et al.	et al.
	5,456,252			Vari et al. Oka et al.	5,890,932			Mills et al.	
	5,462,051 5,479,934		1/1996		5,891,022	A	4/1999	Pologe	
	5,482,034			Lewis et al.	5,893,364	A *	4/1999	Haar	
4	5,482,036	A		Diab et al.	£ 000 005		5/1000	T	356/338
	5,490,505			Diab et al.	5,902,235 5,903,357		5/1999	Lewis et al.	
	5,490,506 5,490,523			Takatani et al. Isaacson et al.	5,903,337			Wohltmann et al.	
	5,494,043			O'Sullivan et al.	5,911,689			Smith et al.	
	5,497,771			Rosenheimer	5,919,134		7/1999		
	5,511,546		4/1996		5,923,021			Dvorkis et al.	
	5,533,511			Kaspari et al.	5,924,979 5,934,925			Swedlow et al. Tobler et al.	
	5,534,851 5,542,146		7/1996 8/1996	Hoekstra et al.	5,936,986			Cantatore et al.	
	5,551,422			Simonsen et al.	5,940,182			Lepper, Jr. et al.	
	5,553,614			Chance	5,957,840			Terasawa et al.	
	5,553,615			Carim et al.	D414,870			Saltzstein et al.	
	5,553,616			Ham et al.	5,987,343 5,991,467		11/1999 11/1999		
	5,555,882 5,561,275			Richardson et al. Savage et al.	5,995,855			Kiani et al.	
	5,562,002		10/1996		5,997,343			Mills et al.	
4	5,564,429	A	10/1996	Bornn et al.	6,002,952			Diab et al.	
	5,581,069			Shepard et al.	6,010,937			Karam et al.	
	5,584,296			Cui et al.	6,011,986 6,018,403			Diab et al. Shirakura et al.	
	5,590,649 5,601,079			Caro et al. Wong et al.	6,018,673			Chin et al.	
	5,601,080			Oppenheimer	6,022,321			Amano et al.	
	5,602,924		2/1997	Durand et al.	6,027,452			Flaherty et al.	
	D378,414			Allen et al.	6,031,603 6,035,223		3/2000	Fine et al.	
	5,623,925 5,625,458			Swenson et al. Alfano et al.	6,036,642			Diab et al.	
	5,632,272			Diab et al.	6,040,578		3/2000	Malin et al.	
4	5,635,700	\mathbf{A}		Fazekas	6,041,247			Weckstrom et al.	
	5,638,816			Kiani-Azarbayjany et al.	6,045,509			Caro et al.	
	5,638,818 5,645,440			Diab et al. Tobler et al.	6,049,727 6,058,331		5/2000	Crothall King	
	5,671,914			Kalkhoran et al.	6,066,204		5/2000		
	5,676,143			Simonsen et al.	6,067,462	A	5/2000	Diab et al.	
	5,685,299		11/1997	Diab et al.	6,081,735			Diab et al.	
	5,687,717			Halpern et al.	6,088,607 6,102,856			Diab et al. Groff et al.	
	5,699,808 5,702,429		12/1997 12/1997		6,110,522			Lepper, Jr. et al.	
	D390,666		2/1998	Lagerlof	6,115,673		9/2000	Malin et al.	
4	5,719,557	A		Rattman et al.	6,122,042			Wunderman et al.	
	5,726,440			Kalkhoran et al.	6,122,536			Sun et al.	
	5,729,203 D393,830			Oka et al. Tobler et al.	6,124,597 6,126,595			Shehada Amano et al.	
	5,743,262			Lepper, Jr. et al.	6,128,521			Marro et al.	
	5,746,206			Mannheimer et al.	6,129,675		10/2000	Jay	
	5,746,697			Swedlow et al.	6,133,871		10/2000		
	5,747,806			Khalil et al.	6,144,866 6,144,868		11/2000	Miesel et al.	
	5,750,927 5,750,994			Baltazar Schlager	6,151,516			Kiani-Azarbayjany	et al.
	5,752,914			Delonzor et al.	6,152,754			Gerhardt et al.	
4	5,758,644	A	6/1998	Diab et al.	6,157,850			Diab et al.	
	5,760,910			Lepper, Jr. et al.	6,165,005			Mills et al.	
	5,766,131			Kondo et al.	6,167,258			Schmidt et al.	
	5,769,785 5,782,757			Diab et al. Diab et al.	6,167,303 6,172,743			Thompson Kley et al.	
	5,785,659			Caro et al.	6,175,752			Say et al.	
	5,791,347			Flaherty et al.	6,178,343			Bindszus et al.	
	5,792,052			Isaacson et al.	6,181,958			Steuer et al.	
4	5,795,300	A	8/1998	Bryars	6,184,521	В1	2/2001	Coffin, IV et al.	

(56)		Referen	ces Cited	6,515,273		2/2003	
	IIS	PATENT	DOCUMENTS	6,516,289 6,519,487		2/2003 2/2003	David et al. Parker
	0.5.	17111111	DOCOMENTS	6,522,521			Mizuno et al.
6,185	5,454 B1	2/2001	Thompson	6,525,386			Mills et al.
,	2,261 B1		Gratton et al.	6,526,300 6,527,729	Bl B1	2/2003 3/2003	Kiani et al.
	8,951 B1 8,952 B1		Kosuda et al. Miesel et al.	6,534,012			Hazen et al.
	2,930 B1	3/2001		6,541,756		4/2003	Schulz et al.
	5,830 B1		Diab et al.	6,542,764			Al-Ali et al.
	3,063 B1		Chaiken et al.	6,553,242 6,556,852		4/2003 4/2003	Sarussi Schulze et al.
	5,539 B1 9,856 B1		Potratz Diab et al.	6,580,086		6/2003	Schulz et al.
	2,609 B1		Snyder et al.	6,584,336	B1	6/2003	Ali et al.
6,236	5,872 B1		Diab et al.	6,587,196		7/2003	Stippick et al.
	,680 B1	6/2001		6,587,199 6,595,316		7/2003 7/2003	Cybulski et al.
	,683 B1 ,684 B1		Macklem et al. Amano et al.	6,596,016			Vreman et al.
	2,977 B1		Salganicoff et al.	6,597,932		7/2003	Tian et al.
	,097 B1		Aronow et al.	6,597,933 6,606,509		7/2003 8/2003	Kiani et al. Schmitt
	5,708 B1 5,523 B1		Sudharsanan et al. Diab et al.	6,606,511			Ali et al.
	3,222 B1		Diab et al.	D481,459		10/2003	Nahm
),223 B1		Del Bon et al.	6,632,181			Flaherty et al.
	3,522 B1		Lepper, Jr. et al.	6,635,559 6,636,759			Greenwald et al. Robinson
	3,889 B1 0,213 B1		Robinson Tobler et al.	6,639,668		10/2003	Trepagnier
	0,381 B1		Malin et al.	6,639,867		10/2003	Shim
6,285	,896 B1		Tobler et al.	6,640,116		10/2003	Diab Makarewicz et al.
	3,915 B1 7,906 B1		Amano et al.	6,640,117 6,643,530			Diab et al.
,	7,969 B1		Allen et al. Mottahed	6,650,917			Diab et al.
	,493 B1		Marro et al.	6,650,939		11/2003	Takpke, II et al.
	1,766 B1		Colvin, Jr.	6,654,624 6,658,276			Diab et al. Kiani et al.
	3,089 B1 7,627 B1		von der Ruhr et al. Ennen et al.	6,661,161			Lanzo et al.
	,100 B1	11/2001		6,668,185	B2	12/2003	Toida
D452	2,012 S	12/2001	Phillips	6,671,526		12/2003	Aoyagi et al.
	5,761 B1	12/2001		6,671,531 6,678,543			Al-Ali et al. Diab et al.
	1,065 B1 3,223 B1		Al-Ali et al. Chin et al.	6,681,133			Chaiken et al.
	3,224 B1	1/2002		6,684,090			Ali et al.
	5,194 B1		Nelson et al.	6,684,091 6,694,157		1/2004	Parker Stone et al.
	9,228 B1 ,217 B1	2/2002	Kiani et al.	6,697,656		2/2004	
	3,750 B1		Kimura et al.	6,697,657	B1	2/2004	Shehada et al.
6,356	5,203 B1		Halleck et al.	6,697,658		2/2004	Al-Ali Diab et al.
	5,774 B1		Bernstein et al. Dettling	RE38,476 6,699,194			Diab et al. Diab et al.
),113 B1),114 B1		Diab et al.	6,714,803		3/2004	
),115 B1		Greenwald et al.	6,714,804		3/2004	Al-Ali et al.
	5,834 S		Donars et al.	RE38,492 6,721,582			Diab et al. Trepagnier et al.
	3,283 B1 ,921 B1		Xu et al. Caro et al.	6,721,585		4/2004	
	,829 B1	4/2002		6,725,075	B2	4/2004	Al-Ali
	3,240 B2		Schulz et al.	6,728,560 6,735,459		4/2004 5/2004	Kollias et al.
	3,311 B1 5,873 B1		Edgar et al. Goldstein et al.	6.738.652			Mattu et al.
	7,091 B2		Diab et al.	6,745,060		6/2004	Diab et al.
6,398	3,727 B1	6/2002	Bui et al.	6,748,254			O'Neil et al.
	2,690 B1 .,373 B1		Rhee et al.	6,751,283 6,760,607		6/2004 7/2004	van de Haar
,	,373 B1 5,166 B1		Garside et al. Van Hoy et al.	6,770,028			Ali et al.
6,415	,167 B1		Blank et al.	6,771,994			Kiani et al.
),423 B2		DeLonzor et al.	6,785,568 6,788,965			Chance Ruchti et al.
),437 B1),525 B1	8/2002	Marro Weber et al.	6,792,300			Diab et al.
	5,561 S		Fukatsu et al.	6,801,799			Mendelson
	,187 B1		Baruch et al.	6,811,535			Palti et al.
	3,311 B1	10/2002	Diab Kopotic et al.	6,813,511 6,816,010		11/2004	Diab et al. Seetharaman et al.
),199 B1),893 B1	10/2002		6,816,241		11/2004	
	5,008 B2		Kelly et al.	6,816,741	B2	11/2004	Diab
	5,153 B1		Khair et al.	6,822,564		11/2004	
	7,429 B2 7,922 E		Hockersmith et al.	6,826,419 6,830,711			Diab et al. Mills et al.
	,922 E .,647 B1	12/2002 12/2002	Sharan Bridger et al.	6,830,711			Paritsky et al.
	,975 B2		Diab et al.	6,850,787			Weber et al.
6,505	5,059 B1	1/2003	Kollias et al.	6,850,788	B2	2/2005	Al-Ali

(56)	Referen	nces Cited	RE3	9,672 E	6/2007	Shehada et al.
, ,		DOCUMENTS	7,22	7,156 B2 8,166 B1	6/2007	Colvin, Jr. et al. Kawasaki et al.
			7,23	0,227 B2		Wilcken et al.
6,852,083 B2 6,853,304 B2		Caro et al. Reisman	7,23	7,454 S 9,905 B2	7/2007	Kiani-Azarbayjany et al.
D502,655 S	3/2005	Huang		5,953 B1 1,513 B2	7/2007	Parker Kondoh et al.
6,861,639 B2 6,871,089 B2		Al-Ali Korzinov et al.		9,830 S		Behar et al.
6,876,931 B2	4/2005	Lorenz et al.		2,639 B2 4,429 B2		Kimura et al. Schurman et al.
6,882,872 B2 6,897,788 B2		Uchida et al. Khair et al.		4,429 B2 4,431 B2	8/2007	
6,898,452 B2	5/2005	Al-Ali et al.		4,433 B2 4,434 B2		Diab et al. Schulz et al.
6,912,413 B2 6,920,345 B2		Rantala et al. Al-Ali et al.	,	0,364 S		Glover et al.
D508,862 S		Behar et al.		1,350 S 2,425 B2	9/2007 9/2007	Lorimer et al.
6,931,268 B1 6,934,570 B2		Kiani-Azarbayjany et al. Kiani et al.	7,27	4,955 B2	9/2007	Kiani et al.
6,939,305 B2	9/2005	Flaherty et al.		3,248 S 4,263 S	10/2007 10/2007	
6,943,348 B1 6,950,687 B2		Coffin, IV Al-Ali	7,28	0,858 B2	10/2007	Al-Ali et al.
D510,625 S		Widener et al.		9,835 B2 2,883 B2		Mansfield et al. De Felice et al.
6,956,649 B2 6,961,598 B2	11/2005	Acosta et al. Diab	7,29	5,866 B2	11/2007	Al-Ali
6,970,792 B1	11/2005			2,985 S 8,053 B1		Brefka et al. Diab et al.
6,979,812 B2 6,985,764 B2	12/2005 1/2006	Mason et al.	7,33	2,784 B2	2/2008	Mills et al.
6,990,364 B2		Ruchti et al. Kiani et al.		0,287 B2 1,559 B2		Mason et al. Schulz et al.
6,993,371 B2 D514,461 S	2/2006		7,34	3,186 B2	3/2008	Lamego et al.
6,995,400 B2 6,996,427 B2		Mizuyoshi Ali et al.		6,282 S 7,125 S		Al-Ali et al. Okabe et al.
6,997,879 B1	2/2006	Turcott	7,35	5,512 B1	4/2008	Al-Ali
6,998,247 B2 6,999,685 B1		Monfre et al. Kawase et al.		6,365 B2 5,923 B2		Schurman Hargis et al.
6,999,904 B2		Weber et al.	D56	9,001 S	5/2008	Omaki
7,003,338 B2 7,003,339 B2		Weber et al. Diab et al.		9,521 S 1,981 B2	5/2008 5/2008	Omaki Abdul-Hafiz
7,015,451 B2	3/2006	Dalke et al.		3,193 B2		Al-Ali et al.
7,024,233 B2 7,026,619 B2		Ali et al. Cranford		3,194 B2 6,453 B1		Weber et al. Diab et al.
7,027,849 B2	4/2006	Al-Ali	7,37	7,794 B2 7,899 B2		Al Ali et al. Weber et al.
7,030,749 B2 7,031,728 B2		Al-Ali Beyer, Jr.		3,070 B2		Diab et al.
7,039,449 B2	5/2006	Al-Ali		5,158 B2 5,189 B2	7/2008 7/2008	Monfre et al. Qing et al.
7,041,060 B2 7,044,918 B2	5/2006	Flaherty et al. Diab	7,41	5,297 B2	8/2008	Àl-Ali et al.
7,046,347 B1 7,047,054 B2	5/2006 5/2006	Amend et al.		8,432 B2 8,683 B2		Ali et al. Al-Ali et al.
7,047,034 B2 7,048,687 B1		Reuss et al.	7,44	0,787 B2	10/2008	Diab
7,060,963 B2 7,061,595 B2		Maegawa et al. Cabuz et al.		4,240 B2 7,002 B2		Diab et al. Weber et al.
7,062,307 B2	6/2006	Norris et al.	7,46	9,157 B2	12/2008	Diab et al.
7,067,893 B2 D526,719 S	6/2006	Mills et al. Richie, Jr. et al.		1,969 B2 1,971 B2		Diab et al. Diab et al.
7,088,040 B1	8/2006	Ducharme et al.	7,48	3,729 B2	1/2009	Al-Ali et al.
7,092,735 B2 7,092,757 B2		Osann, Jr. Larson et al.		3,730 B2 9,958 B2		Diab et al. Diab et al.
7,096,052 B2	8/2006	Mason et al.	7,49	6,391 B2		Diab et al.
7,096,054 B2 7,107,706 B1		Abdul-Hafiz et al. Bailey, Sr. et al.	D58	6,393 B2 7,657 S	3/2009	Diab et al. Al-Ali et al.
7,109,490 B2	9/2006	Fuchs et al.		9,741 B2 9,835 B2		Diab et al. Weber et al.
7,113,815 B2 D529,616 S		O'Neil et al. Deros et al.		0,950 B2	3/2009	Al-Ali et al.
7,130,672 B2	10/2006	Pewzner et al.		9,153 B2 9,154 B2	3/2009 3/2009	Blank et al. Diab et al.
7,132,641 B2 7,133,710 B2		Schulz et al. Acosta et al.	7,50	9,494 B2	3/2009	Al-Ali
7,142,901 B2	11/2006	Kiani et al.	,	0,849 B2 4,725 B2	3/2009	Schurman et al. Wojtczuk et al.
7,149,561 B2 D535,031 S	12/2006 1/2007	Diab Barrett et al.	7,51	9,327 B2	4/2009	White
D537,164 S	2/2007	Shigemori et al.		9,406 B2 6,328 B2	4/2009 4/2009	Blank et al. Diab et al.
7,186,966 B2 7,190,261 B2		Al-Ali Al-Ali		0,328 B2 2,507 S	5/2009	Wachman et al.
7,215,984 B2	5/2007	Diab		0,942 B1	5/2009	
7,215,986 B2 7,220,254 B2	5/2007 5/2007	Diab Altshuler et al.		0,949 B2 0,955 B2	5/2009 5/2009	Al Ali et al. Diab et al.
7,221,971 B2	5/2007	Diab	7,55	8,622 B2	7/2009	Tran
7,225,006 B2 7,225,007 B2		Al-Ali et al. Al-Ali		3,110 B2 3,230 B2		Al-Ali et al. Abul-Haj et al.
.,_25,007 D2	2.2007	4 	.,55	,		·y ·

(56)]	Referen	ces Cited	8,000,761		8/2011		
	II C D	ATENIT	DOCUMENTS	8,008,088 RE42,753			Bellott et al. Kiani-Azarbayjany	et al
	U.S. P	ALENI	DOCUMENTS	8,019,400			Diab et al.	ct ai.
7,596,39	98 B2	9/2009	Al-Ali et al.	8,028,701			Al-Ali et al.	
7,601,1			Tweed et al.	8,029,765			Bellott et al. Schurman et al.	
7,606,60			Laakkonen	8,036,727 8,036,728			Diab et al.	
7,606,60 D603,90			Blank et al. Jones et al.	8,040,758			Dickinson	
7,613,4			Sarussi et al.	8,044,998		10/2011		
7,618,3	75 B2	11/2009		8,046,040			Ali et al.	
7,620,6			Ruchti et al.	8,046,041 8,046,042			Diab et al. Diab et al.	
D606,6. 7,629,0			Kiani et al. Eckerbom et al.	8,048,040		11/2011		
7,640,1			Ruchti et al.	8,050,728			Al-Ali et al.	
7,647,0			Al-Ali et al.	8,071,935 RE43,169		2/2011	Besko et al.	
D609,19 7,656,39		2/2010	Al-Ali et al. King et al.	8,118,620			Al-Ali et al.	
7,657,2			Eghbal et al.	8,126,528			Diab et al.	
7,657,2	95 B2		Coakley et al.	8,126,531			Crowley	
7,657,2			Raridan et al.	8,128,572 8,130,105			Diab et al. Al-Ali et al.	
7,658,6 7,676,2			Griffin et al. Raridan, Jr.	8,145,287			Diab et al.	
7,683,9	26 B2		Schechterman et al.	8,150,487	B2	4/2012	Diab et al.	
D614,3		4/2010	Al-Ali et al.	8,165,662			Cinbis et al.	
7,697,9			Monfre et al.	8,175,672 8,177,720		5/2012	Nanba et al.	
7,698,19 7,698,9			Ruchti et al. Hannula et al.	8,180,420			Diab et al.	
RE41,3		5/2010		8,182,443	B1	5/2012		
RE41,3	33 E	5/2010	Blank et al.	8,185,180			Diab et al.	
7,726,2			Ruotoistenmäki	8,190,223 8,190,227			Al-Ali et al. Diab et al.	
7,729,73 7,734,33		6/2010	Al-Ali et al.	8,203,438		6/2012	Kiani et al.	
7,740,5		6/2010		8,203,704			Merritt et al.	
7,740,5			Maschke et al.	8,204,566			Schurman et al. Hausmann et al.	
7,761,11			Al-Ali et al.	8,219,170 8,219,172			Schurman et al.	
7,761,11 7,764,91			Al-Ali et al. Dalke et al.	8,224,411			Al-Ali et al.	
7,764,9	83 B2		Mannheimer et al.	8,228,181		7/2012		
D621,5			Kiani et al.	8,229,532 8,229,533		7/2012	Davis Diab et al.	
7,791,1 7,801,5		9/2010 9/2010		8,233,955			Al-Ali et al.	
7,801,3		10/2010		8,244,325	B2	8/2012	Al-Ali et al.	
7,822,4	52 B2		Schurman et al.	8,244,326			Ninomiya et al.	
RE41,9		11/2010		8,255,026 8,255,027		8/2012	Al-Ali et al.	
7,844,3 7,844,3		11/2010	Kiani et al.	8,255,028			Al-Ali et al.	
7,844,3		11/2010		8,260,577			Weber et al.	
7,862,5	23 B2		Ruotoistenmaki	8,265,723 8,274,360		9/2012	McHale et al. Sampath et al.	
7,865,2 7,869,8			Weber et al. Ollerdessen et al.	8,280,473		10/2012		
7,873,4			Weber et al.	8,289,130	B2		Nakajima et al.	
7,880,6		2/2011	Al-Ali	8,301,217			Al-Ali et al.	
7,880,6			Al-Ali et al.	8,306,596 8,310,336			Schurman et al. Muhsin et al.	
7,884,3 7,891,3			Hamada Al-Ali et al.	8,315,683			Al-Ali et al.	
7,894,8			Al-Ali et al.	RE43,860		12/2012		
7,899,5			Xu et al.	8,280,469		12/2012	Baker, Jr. Naganuma et al.	
7,899,50			Al-Ali et al.	8,332,006 8,337,403	B2		Al-Ali et al.	
7,899,5 7,899,5		3/2011	Trepagnier et al.	8,343,026	B2		Gardiner et al.	
7,904,1	30 B2	3/2011	Raridan, Jr.	8,346,330			Lamego	1 CID 5/00CI
7,904,1			Weber et al.	8,352,003	B2 *	1/2013	Sawada	A61B 5/0261 600/310
7,909,7° 7,910,8°		3/2011	Popov et al.	8,353,842	B2	1/2013	Al-Ali et al.	000/310
7,918,7			Haber et al.	8,355,766	B2	1/2013	MacNeish et al.	
7,919,7	13 B2	4/2011	Al-Ali et al.	8,359,080			Diab et al.	
7,937,11		5/2011		8,364,223 8,364,226			Al-Ali et al. Diab et al.	
7,937,11 7,937,11			Mason et al. Diab et al.	8,364,389			Dorogusker et al.	
7,941,1	99 B2	5/2011	Kiani	8,374,665	B2	2/2013	Lamego	
7,951,0		5/2011	Flaherty et al.	8,374,825			Vock et al.	
7,957,75 7,962,15			Lamego et al. Kiani et al.	8,380,272 8,385,995			Barrett et al. Al-ali et al.	
7,962,1			Diab et al.	8,385,996			Smith et al.	
7,976,4		7/2011		8,388,353			Kiani et al.	
7,988,6	37 B2	8/2011		8,399,822		3/2013		
7,990,3		8/2011		8,401,602		3/2013		
7,991,4	40 B2	8/2011	Ali et al.	8,405,608	B 2	5/2013	Al-Ali et al.	

(56)	Referei	nces Cited	8,712,494			MacNeish, III et al.
U.S	. PATENT	DOCUMENTS	8,715,206 8,718,735	B2 5/	2014 2014	Telfort et al. Lamego et al.
			8,718,737			Diab et al.
8,414,499 B2 8,418,524 B2		Al-Ali et al. Al-Ali	8,718,738 8,720,249		2014	Blank et al. Al-Ali
8,421,022 B2		Rozenfeld	8,721,541	B2 5/	2014	Al-Ali et al.
8,423,106 B2		Lamego et al.	8,721,542 8,723,677		2014	Al-Ali et al. Kiani
8,428,674 B2 8,428,967 B2		Duffy et al. Olsen et al.	8,740,792			Kiani et al.
8,430,817 B1		Al-Ali et al.	8,754,776		2014	Poeze et al.
8,437,825 B2		Dalvi et al. Hannula et al.	8,755,535 8,755,856		2014 2014	Telfort et al. Diab et al.
8,452,364 B2 8,455,290 B2		Siskavich	8,755,872	B1 6/	2014	Marinow
8,457,703 B2		Al-Ali	8,760,517 8,761,850		2014	Sarwar et al. Lamego
8,457,707 B2 8,463,349 B2		Kiani Diab et al.	8,764,671			Kiani
8,466,286 B2	6/2013	Bellot et al.	8,768,423		2014	
8,471,713 B2 8,473,020 B2		Poeze et al. Kiani et al.	8,768,426 8,771,204		2014 2014	Haisley et al. Telfort et al.
8,483,787 B2		Al-Ali et al.	8,777,634	B2 7/	2014	Kiani et al.
8,487,256 B2		Kwong et al.	8,781,543 8,781,544			Diab et al. Al-Ali et al.
8,489,364 B2 8,496,595 B2		Weber et al. Jornod	8,781,549		2014	Al-Ali et al.
8,498,684 B2	7/2013	Weber et al.	8,788,003			Schurman et al.
8,504,128 B2 8,509,867 B2		Blank et al. Workman et al.	8,790,268 8,801,613			Al-Ali Al-Ali et al.
8,515,509 B2		Bruinsma et al.	8,821,397	B2 9/	2014	Al-Ali et al.
8,515,511 B2		Boutelle	8,821,415 8,830,449		2014 2014	Al-Ali et al. Lamego et al.
8,515,515 B2 8,523,781 B2		McKenna et al. Al-Ali	8,831,700	B2 9/	2014	
8,529,301 B2	9/2013	Al-Ali et al.	8,838,210		2014 2014	Wood et al.
8,532,727 B2 8,532,728 B2		Ali et al. Diab et al.	8,840,549 8,847,740		2014	Al-Ali et al. Kiani et al.
D692,145 S		Al-Ali et al.	8,849,365	B2 9/	2014	Smith et al.
8,547,209 B2		Kiani et al.	8,852,094 8,852,994		2014 2014	Al-Ali et al. Wojtczuk et al.
8,548,548 B2 8,548,549 B2	10/2013 10/2013	Schurman et al.	8,868,147	B2 10/	2014	Stippick et al.
8,548,550 B2	10/2013	Al-Ali et al.	8,868,150 8,870,792		2014 2014	Al-Ali et al. Al-Ali et al.
8,560,032 B2 8,560,034 B1		Al-Ali et al. Diab et al.	8,886,271			Kiani et al.
8,570,167 B2	10/2013	Al-Ali	8,888,539			Al-Ali et al.
8,570,503 B2	10/2013		8,888,701 8,888,708			LeBoeuf et al. Diab et al.
8,571,617 B2 8,571,618 B1		Reichgott et al. Lamego et al.	8,892,180	B2 11/	2014	Weber et al.
8,571,619 B2		Al-Ali et al.	8,897,847 8,909,310			Al-Ali Lamego et al.
8,577,431 B2 8,581,732 B2		Lamego et al. Al-Ali et al.	8,911,377			Al-Ali
8,584,345 B2	11/2013	Al-Ali et al.	8,912,909			Al-Ali et al.
8,588,880 B2 8,591,426 B2	11/2013	Abdul-Hafiz et al. Onoe et al.	8,920,317 8,920,332		2014 2014	Al-Ali et al. Hong et al.
8,600,467 B2		Al-Ali et al.	8,921,699	B2 12/	2014	Al-Ali et al.
8,600,494 B2		Schroeppel et al.	8,922,382 8,929,964			Al-Ali et al. Al-Ali et al.
8,602,971 B2 8,606,342 B2	12/2013 12/2013		8,929,967	B2 1/	2015	Mao et al.
8,611,095 B2		Kwong et al.	8,942,777 8,948,834			Diab et al. Diab et al.
8,615,290 B2 8,626,255 B2		Lin et al. Al-Ali et al.	8,948,835			Diab et al.
8,630,691 B2	1/2014	Lamego et al.	8,965,471			Lamego Al-Ali
8,634,889 B2 8,641,631 B2		Al-Ali et al. Sierra et al.	8,983,564 8,989,831			Al-Ali et al.
8,652,060 B2		Al-Ali	8,996,085	B2 3/	2015	Kiani et al.
8,655,004 B2		Prest et al.	8,998,809 9,005,129			Kiani Venkatraman et al.
8,663,107 B2 8,666,468 B1		Kiani Al-Ali	9,028,429	B2 5/	2015	Telfort et al.
8,667,967 B2	3/2014	Al-Ali et al.	9,037,207 9,060,721			Al-Ali et al. Reichgott et al.
8,668,643 B2 8,670,811 B2		Kinast O'Reilly	9,063,160			Stamler et al.
8,670,814 B2	3/2014	Diab et al.	9,066,666			Kiani
8,676,286 B2		Weber et al.	9,066,680 9,072,437			Al-Ali et al. Paalasmaa
8,682,407 B2 RE44,823 E		Al-Ali Parker	9,072,474	B2 7/		Al-Ali et al.
RE44,875 E	4/2014	Kiani et al.	9,078,560			Schurman et al.
8,688,183 B2 8,690,799 B2		Bruinsma et al. Telfort et al.	9,081,889 9,084,569			Ingrassia, Jr. et al. Weber et al.
8,700,111 B2		LeBoeuf et al.	9,084,369			Welch et al.
8,700,112 B2	4/2014	Kiani	9,106,038			Telfort et al.
8,702,627 B2 8,706,179 B2		Telfort et al. Parker	9,107,625 9,107,626			Telfort et al. Al-Ali et al.
0,700,179 B2	4/2014	1 di Kei	9,107,020	اه عد	2013	m-m et al.

(56)	Referer	nces Cited	9,560,996		2/2017	
HC	DATENIT	DOCUMENTS	9,560,998 9,566,019		2/2017 2/2017	Al-Ali et al. Al-Ali et al.
0.5	PATENT	DOCUMENTS	9,579,039			Jansen et al.
9,113,831 B2	8/2015	Al-Ali	9,591,975	B2		Dalvi et al.
9,113,832 B2		Al-Ali	9,593,969		3/2017	
9,119,595 B2		Lamego	9,622,692 9,622,693		4/2017 4/2017	
9,131,881 B2 9,131,882 B2		Diab et al. Al-Ali et al.	D788,312			Al-Ali et al.
9,131,883 B2		Al-Ali	9,636,055			Al-Ali et al.
9,131,917 B2		Telfort et al.	9,636,056 9,649,054		5/2017	Al-Ali Lamego et al.
9,138,180 B1 9,138,182 B2		Coverston et al. Al-Ali et al.	9,651,405			
9,138,192 B2		Weber et al.	9,662,052	B2	5/2017	Al-Ali et al.
9,142,117 B2		Muhsin et al.	9,668,676			Culbert Schurman et al.
9,153,112 B1		Kiani et al. Kiani et al.	9,668,679 9,668,680			Bruinsma et al.
9,153,121 B2 9,161,696 B2		Al-Ali et al.	9,668,703	B2	6/2017	Al-Ali
9,161,713 B2		Al-Ali et al.	9,675,286		6/2017	
9,167,995 B2		Lamego et al. Al-Ali et al.	9,681,812 9,684,900			Presura Motoki et al.
9,176,141 B2 9,186,102 B2		Bruinsma et al.	9,687,160		6/2017	Kiani
9,192,312 B2	11/2015		9,693,719			Al-Ali et al.
9,192,329 B2	11/2015		9,693,737 9,697,928		7/2017 7/2017	Al-Ali et al.
9,192,351 B1 9,195,385 B2		Telfort et al. Al-Ali et al.	9,699,546		7/2017	Qian et al.
9,210,566 B2		Ziemianska et al.	9,700,249			Johnson et al.
9,211,072 B2	12/2015		9,716,937 9,717,425			Qian et al. Kiani et al.
9,211,095 B1 9,218,454 B2	12/2015	Al-Alı Kiani et al.	9,717,448			Frix et al.
9,226,696 B2	1/2016		9,717,458	B2		Lamego et al.
9,241,662 B2	1/2016	Al-Ali et al.	9,723,997			Lamego
9,245,668 B1		Vo et al. Abdul-Hafiz et al.	9,724,016 9,724,024		8/2017	Al-Ali et al.
9,259,185 B2 9,267,572 B2		Barker et al.	9,724,025			Kiani et al.
9,277,880 B2		Poeze et al.	9,730,640			Diab et al.
9,289,167 B2		Diab et al.	9,743,887 9,749,232		8/2017 8/2017	Al-Ali et al. Sampath et al.
9,295,421 B2 9,307,928 B1		Kiani et al. Al-Ali et al.	9,750,442		9/2017	
9,311,382 B2		Varoglu et al.	9,750,443			Smith et al.
9,323,894 B2	4/2016		9,750,461 9,752,925		9/2017	Chu et al.
D755,392 S 9,326,712 B1	5/2016	Hwang et al.	9,775,545			Al-Ali et al.
9,333,316 B2	5/2016		9,775,546	B2		Diab et al.
9,339,220 B2		Lamego et al.	9,775,570 9,778,079		10/2017 10/2017	Al-Ali et al.
9,339,236 B2 9,341,565 B2		Frix et al. Lamego et al.	9,778,079			Baranski et al.
9,351,673 B2		Diab et al.	9,782,077	B2	10/2017	Lamego et al.
9,351,675 B2		Al-Ali et al.	9,782,110 9,787,568	B2	10/2017	
9,357,665 B2 9,364,181 B2		Myers et al. Kiani et al.	9,787,308			Lamego et al. Al-Ali
9,368,671 B2		Wojtczuk et al.	9,788,768	B2	10/2017	Al-Ali et al.
9,370,325 B2	6/2016	Al-Ali et al.	9,795,300		10/2017	
9,370,326 B2 9,370,335 B2		McHale et al. Al-ali et al.	9,795,310 9,795,358	B2	10/2017 10/2017	Telfort et al.
9,375,185 B2		Ali et al.	9,795,739	B2		Al-Ali et al.
9,386,953 B2	7/2016	Al-Ali	9,801,556		10/2017	
9,386,961 B2 9,392,945 B2		Al-Ali et al. Al-Ali et al.	9,801,588 9,808,188			Weber et al. Perea et al.
9,392,943 B2 9,397,448 B2		Al-Ali et al.	9,814,418	B2	11/2017	Weber et al.
9,408,542 B1	8/2016	Kinast et al.	9,820,691		11/2017	
9,436,645 B2		Al-Ali et al.	9,833,152 9,833,180			Kiani et al. Shakespeare et al.
9,445,759 B1 9,466,919 B2		Lamego et al. Kiani et al.	9,838,775	B2		Qian et al.
9,474,474 B2	10/2016	Lamego et al.	9,839,379			Al-Ali et al.
9,480,422 B2	11/2016		9,839,381 9,847,002			Weber et al. Kiani et al.
9,480,435 B2 9,489,081 B2	11/2016 11/2016	Anzures et al.	9,847,749			Kiani et al.
9,492,110 B2		Al-Ali et al.	9,848,800			Lee et al.
9,497,534 B2		Prest et al.	9,848,806			Al-Ali et al.
9,510,779 B2 9,517,024 B2		Poeze et al. Kiani et al.	9,848,807 9,848,823		12/2017 12/2017	Raghuram et al.
9,526,430 B2		Srinivas et al.	9,861,298			Eckerbom et al.
9,532,722 B2	1/2017	Lamego et al.	9,861,304			Al-Ali et al.
9,538,949 B2		Al-Ali et al.	9,861,305			Weber et al.
9,538,980 B2 9,549,696 B2		Telfort et al. Lamego et al.	9,866,671 9,867,575			Thompson et al. Maani et al.
9,553,625 B2		Hatanaka et al.	9,867,578	B2		Al-Ali et al.
9,554,737 B2		Schurman et al.	9,872,623		1/2018	

(56)	References Cited	10,219,706 B2	3/2019 Al-Ali
U.S	S. PATENT DOCUMENTS	10,219,746 B2 10,219,754 B1 10,226,187 B2	3/2019 McHale et al. 3/2019 Lamego 3/2019 Al-Ali et al.
9,876,320 B2 9,877,650 B2	1/2018 Coverston et al. 1/2018 Muhsin et al.	10,226,576 B2 10,231,657 B2	3/2019 Kiani 3/2019 Al-Ali et al. 3/2019 Blank et al.
9,877,686 B2 9,891,079 B2	1/2018 Al-Ali et al. 2/2018 Dalvi	10,231,670 B2 10,231,676 B2 RE47,353 E	3/2019 Blank et al. 3/2019 Al-Ali et al. 4/2019 Kiani et al.
9,891,590 B2	2/2018 Shim et al.	10,247,670 B2	4/2019 Ness et al.
9,895,107 B2	2/2018 Al-Ali et al.	10,251,585 B2	4/2019 Al-Ali et al.
9,898,049 B2	2/2018 Myers et al.	10,251,586 B2	4/2019 Lamego
9,913,617 B2	3/2018 Al-Ali et al.	10,255,994 B2	4/2019 Sampath et al.
9,918,646 B2 9,924,893 B2 9,924,897 B1	3/2018 Singh Alvarado et al. 3/2018 Schurman et al. 3/2018 Abdul-Hafiz	10,258,265 B1 10,258,266 B1	4/2019 Poeze et al. 4/2019 Poeze et al.
9,936,917 B2	4/2018 Poeze et al.	10,265,024 B2	4/2019 Lee et al.
9,943,269 B2	4/2018 Muhsin et al.	10,271,748 B2	4/2019 Al-Ali
9,949,676 B2	4/2018 Al-Ali	10,278,626 B2	5/2019 Schurman et al.
9,952,095 B1	4/2018 Hotelling et al.	10,278,648 B2	5/2019 Al-Ali et al.
9,955,937 B2	5/2018 Telfort	10,279,247 B2	5/2019 Kiani
9,965,946 B2	5/2018 Al-Ali	10,285,626 B1	5/2019 Kestelli et al.
9,980,667 B2	5/2018 Kiani et al.	10,292,628 B1	5/2019 Poeze et al.
D820,865 S	6/2018 Muhsin et al.	10,292,657 B2	5/2019 Abdul-Hafiz et al.
9,986,919 B2	6/2018 Lamego et al.	10,292,664 B2	5/2019 Al-Ali
9,986,952 B2	6/2018 Dalvi et al.	10,299,708 B1	5/2019 Poeze et al.
9,989,560 B2	6/2018 Poeze et al.	10,299,709 B2	5/2019 Perea et al.
9,993,207 B2	6/2018 Al-Ali et al.	10,299,720 B2	5/2019 Brown et al.
10,007,758 B2	6/2018 Al-Ali et al.	10,305,775 B2	5/2019 Lamego et al.
D822,215 S	7/2018 Al-Ali et al.	10,307,111 B2	6/2019 Muhsin et al.
D822,216 S	7/2018 Barker et al.	10,325,681 B2	6/2019 Sampath et al.
10,010,276 B2	7/2018 Al-Ali et al.	10,327,337 B2	6/2019 Triman et al.
10,024,655 B2 10,032,002 B2	7/2018 Raguin et al. 7/2018 Kiani et al.	10,327,713 B2 10,332,630 B2	6/2019 Barker et al. 6/2019 Al-Ali 7/2019 Al-Ali
10,039,080 B2 10,039,482 B2	7/2018 Miller et al. 8/2018 Al-Ali et al.	10,335,033 B2 10,335,068 B2 10,335,072 B2	7/2019 Al-Ali 7/2019 Poeze et al. 7/2019 Al-Ali et al.
10,039,491 B2 10,052,037 B2	8/2018 Thompson et al. 8/2018 Kinast et al.	10,342,470 B2 10,342,487 B2	7/2019 Al-Ali et al. 7/2019 Al-Ali et al. 7/2019 Al-Ali et al.
10,055,121 B2 10,058,275 B2 10,064,562 B2	8/2018 Chaudhri et al. 8/2018 Al-Ali et al. 9/2018 Al-Ali	10,342,497 B2 10,349,895 B2	7/2019 Al-Ali et al. 7/2019 Telfort et al.
10,066,970 B2	9/2018 Gowreesunker et al.	10,349,898 B2	7/2019 Al-Ali et al.
10,076,257 B2	9/2018 Lin et al.	10,354,504 B2	7/2019 Kiani et al.
10,078,052 B2	9/2018 Ness et al.	10,357,206 B2	7/2019 Weber et al.
10,086,138 B1	10/2018 Novak, Jr.	10,357,209 B2	7/2019 Al-Ali
10,092,200 B2	10/2018 Al-Ali et al.	10,366,787 B2	7/2019 Sampath et al. 8/2019 Reichgott et al.
10,092,244 B2	10/2018 Chuang et al.	10,368,787 B2	
10,092,249 B2	10/2018 Kiani et al.	10,376,190 B1	8/2019 Poeze et al.
10,098,550 B2	10/2018 Al-Ali et al.	10,376,191 B1	8/2019 Poeze et al.
10,098,591 B2	10/2018 Al-Ali et al.	10,383,520 B2	8/2019 Wojtczuk et al.
10,098,610 B2	10/2018 Al-Ali et al.	10,383,527 B2	8/2019 Al-Ali
10,111,591 B2	10/2018 Dyell et al.	10,388,120 B2	8/2019 Muhsin et al.
D833,624 S	11/2018 DeJong et al.	10,390,716 B2	8/2019 Shimuta
10,117,587 B2 10,123,726 B2		10,398,320 B2 10,398,383 B2 10,405,804 B2	9/2019 Kiani et al. 9/2019 van Dinther et al. 9/2019 Al-Ali
10,123,729 B2	11/2018 Al-Ali et al.	10,406,445 B2	9/2019 Vock et al.
10,130,289 B2		10,413,666 B2	9/2019 Al-Ali et al.
10,130,291 B2 D835,282 S D835,283 S	11/2018 Schurman et al. 12/2018 Barker et al. 12/2018 Barker et al.	10,416,079 B2 10,420,493 B2	9/2019 Magnussen et al. 9/2019 Al-Ali et al.
D835,284 S	12/2018 Barker et al.	D864,120 S	10/2019 Forrest et al.
D835,285 S	12/2018 Barker et al.	10,433,776 B2	10/2019 Al-Ali
10,149,616 B2	12/2018 Al-Ali et al.	10,441,181 B1	10/2019 Telfort et al.
10,154,815 B2		10,441,196 B2	10/2019 Eckerbom et al.
10,159,412 B2		10,448,844 B2	10/2019 Al-Ali et al.
10,165,954 B2		10,448,871 B2	10/2019 Al-Ali
10,188,296 B2	1/2019 Kiani et al.	10,456,038 B2	10/2019 Lamego et al.
10,188,331 B1		10,463,284 B2	11/2019 Al-Ali et al.
10,188,348 B2 RE47,218 E	2/2019 Ali-Ali	10,463,340 B2 10,470,695 B2 10,471,159 B1	11/2019 Telfort et al. 11/2019 Al-Ali 11/2019 Lapotko et al.
RE47,244 E RE47,249 E	2/2019 Kiani et al. 2/2019 Kiani et al.	10,478,107 B2	11/2019 Kiani et al.
10,194,847 B2 10,194,848 B1	2/2019 Kiani et al.	10,503,379 B2 10,505,311 B2	12/2019 Al-Ali et al. 12/2019 Al-Ali et al. 12/2019 Muhsin et al.
10,201,286 B2 10,201,298 B2	2/2019 Al-Ali et al.	10,512,436 B2 10,524,671 B2	1/2020 Lamego
10,205,272 B2	2/2019 Scruggs et al.	10,524,706 B2	1/2020 Telfort et al.
10,205,291 B2		10,524,738 B2	1/2020 Olsen
10,213,108 B2		10,531,811 B2	1/2020 Al-Ali et al.
10,215,698 B2		10,531,819 B2	1/2020 Diab et al.

(56)	Referen	ces Cited	2005/0054940		3/2005	
11.5	PATENT	DOCUMENTS	2005/0055276 2005/0075548			Kiani et al. Al-Ali et al.
0.1). 12 1 112111	DOCUMENTS	2005/0075553	A1		Sakai et al.
10,531,835 B2	1/2020	Al-Ali et al.	2005/0116820			Goldreich
10,532,174 B2		Al-Ali	2005/0192490 2005/0197555			Yamamoto et al. Mouradian et al.
10,537,285 B2		Shreim et al.	2005/0197333		10/2005	
10,542,903 B2 10,548,561 B2		Al-Ali et al. Telfort et al.	2005/0276164		12/2005	
10,555,678 B2		Dalvi et al.	2005/0288592			Yamamoto
10,568,514 B2	2/2020	Wojtczuk et al.	2006/0005944			Wang et al.
10,568,553 B2		O'Neil et al.	2006/0009607 2006/0009688			Lutz et al. Lamego et al.
RE47,882 E 10,575,779 B2	3/2020	Poeze et al.	2006/0020180		1/2006	
10,582,886 B2		Poeze et al.	2006/0025659		2/2006	Kiguchi et al.
10,588,518 B2		Kiani	2006/0041198			Kondoh et al.
10,588,553 B2		Poeze et al.	2006/0073719 2006/0089557		4/2006	Grajales et al.
10,588,554 B2 10,588,556 B2		Poeze et al. Kiani et al.	2006/0069337			Reuss et al.
10,595,747 B2		Al-Ali et al.	2006/0182659			Unlu et al.
10,608,817 B2		Haider et al.	2006/0189871			Al-Ali et al.
D880,477 S		Forrest et al.	2006/0217608 2006/0226992			Fein et al. Al-Ali et al.
10,610,138 B2 10,617,302 B2		Poeze et al. Al-Ali et al.	2006/0220992			Pogue et al.
10,617,335 B2		Al-Ali et al.	2006/0253010			Brady et al.
10,617,338 B2		Poeze et al.	2006/0258928			Ortner et al.
10,624,563 B2		Poeze et al.	2006/0270919		11/2006 2/2007	
10,624,564 B1 10,631,765 B1		Poeze et al. Poeze et al.	2007/0038049 2007/0055119			Lash et al.
10,637,181 B2		Al-Ali et al.	2007/0073116			Kiani et al.
10,638,961 B2			2007/0073117			Raridan
10,646,146 B2			2007/0093786			Goldsmith et al.
D887,548 S		Abdul-Hafiz et al.	2007/0100222 2007/0106172		5/2007	Mastrototaro et al.
D887,549 S 10,667,764 B2		Abdul-Hafiz et al. Ahmed et al.	2007/0145255			Nishikawa et al.
10,687,743 B1			2007/0149864			Laakkonen
10,687,744 B1	6/2020	Al-Ali	2007/0180140			Welch et al.
10,687,745 B1			2007/0191691 2007/0197886			Polanco Naganuma et al.
D890,708 S 10,702,194 B1		Forrest et al. Poeze et al.	2007/0197888			Leclerc et al.
10,702,194 B1 10,702,195 B1		Poeze et al.	2007/0238955	Al		Tearney et al.
10,709,366 B1	7/2020	Poeze et al.	2007/0244377			Cozad et al.
10,721,785 B2			2007/0249916 2007/0260130		11/2007	Pesach et al.
10,722,159 B2 10,736,518 B2		Al-Ali et al.	2007/0282178			Scholler et al.
10,750,984 B2		Pauley et al.	2007/0293792			Sliwa et al.
10,779,098 B2	9/2020	Iswanto et al.	2008/0004513			Walker et al.
2001/0034477 A1		Mansfield et al.	2008/0015424 2008/0031497			Bernreuter Kishigami et al.
2001/0034479 A1 2001/0039483 A1		Ring et al. Brand et al.	2008/0064965			Jay et al.
2001/0055483 A1 2001/0056243 A1		Ohsaki et al.	2008/0076980		3/2008	Hoarau
2002/0010401 A1	1/2002	Bushmakin et al.	2008/0076993			Ostrowski
2002/0045836 A1	4/2002	Alkawwas	2008/0081966 2008/0094228			Debreczeny Welch et al.
2002/0058864 A1 2002/0099279 A1		Mansfield et al. Pfeiffer et al.	2008/0097172			Sawada et al.
2002/0111546 A1		Cook et al.	2008/0122796			Jobs et al.
2002/0133080 A1	9/2002	Apruzzese et al.	2008/0130232		6/2008 6/2008	Yamamoto
2002/0188210 A1		Aizawa	2008/0139908 2008/0190436			Jaffe et al.
2003/0013975 A1 2003/0018243 A1		Gerhardt et al.	2008/0194932			Ayers et al.
2003/0036690 A1		Geddes et al.	2008/0221418			Al-Ali et al.
2003/0078504 A1			2008/0221426			Baker et al.
2003/0088162 A1		Yamamoto et al.	2008/0221463 2008/0242958		9/2008	Al-Ali et al.
2003/0098969 A1 2003/0100840 A1		Katz et al. Sugiura et al.	2008/0262325		10/2008	
2003/0144582 A1			2008/0319290			Mao et al.
2003/0156288 A1	8/2003	Barnum et al.	2009/0024013		1/2009	
2003/0158501 A1		Uchida et al.	2009/0030327 2009/0036759			Chance Ault et al.
2003/0212312 A1 2004/0054290 A1		Coffin, IV et al. Chance	2009/0043180			Tschautscher et al.
2004/0054291 A1		Schulz et al.	2009/0093687	Al	4/2009	Telfort et al.
2004/0106163 A1	6/2004	Workman, Jr. et al.	2009/0095926			MacNeish, III
2004/0114783 A1		Spycher et al.	2009/0129102			Xiao et al.
2004/0132197 A1 2004/0133081 A1		Zahniser et al. Teller et al.	2009/0156918 2009/0163775			Davis et al. Barrett et al.
2004/0133081 A1 2004/0138568 A1		Lo et al.	2009/01637/3			Mannheimer et al.
2004/0158958 A1 2004/0152957 A1		Stivoric et al.	2009/0163787			Mannheimer et al.
2004/0220738 A1	11/2004	Nissila	2009/0177097	A1	7/2009	Ma et al.
2005/0020927 A1	1/2005	Blondeau et al.	2009/0187085	Al	7/2009	Pav

(56)	I	Referen	ces Cited	2014/0034353 2014/0051953			Al-Ali et al. Lamego et al.
	U.S. Pa	ATENT	DOCUMENTS	2014/0051955	A1	2/2014	Tiao et al.
				2014/0058230		2/2014	Abdul-Hafiz et al.
2009/0234206			Gaspard et al.	2014/0073887 2014/0073960			Petersen et al. Rodriguez-Llorente et al.
2009/0247885 2009/0247984			Suzuki et al. Lamego et al.	2014/0077956		3/2014	
2009/0259114			Johnson et al.	2014/0081100		3/2014	
2009/0270699			Scholler et al.	2014/0081175 2014/0094667		3/2014	Telfort Schurman et al.
2009/0275813 2009/0275844		1/2009		2014/0094007			Diab et al.
2009/02/3844			Crowe et al.	2014/0107493	Al	4/2014	Yuen et al.
2009/0326346			Kracker et al.	2014/0114199			Lamego et al.
2010/0004518			Vo et al. Poeze et al.	2014/0120564 2014/0121482			Workman et al. Merritt et al.
2010/0030040 2010/0030043		2/2010		2014/0121483		5/2014	
2010/0099964		4/2010	O'Reilly et al.	2014/0127137			Bellott et al.
2010/0113948			Yang et al.	2014/0129702 2014/0135588			Lamego et al. Al-Ali et al.
2010/0130841 2010/0210925			Ozawa et al. Holley et al.	2014/0142401			Al-Ali et al.
2010/0210323			Sampath et al.	2014/0163344		6/2014	
2010/0270257		0/2010	Wachman et al.	2014/0163402			Lamego et al. Kiani et al.
2010/0305416			Bedard et al. Kiani et al.	2014/0166076 2014/0171146			Ma et al.
2011/0001605 2011/0003665			Burton et al.	2014/0171763		6/2014	
2011/0004079			Al-Ali et al.	2014/0180154			Sierra et al.
2011/0004106			Iwamiya et al.	2014/0180160 2014/0187973			Brown et al. Brown et al.
2011/0028806 2011/0028809			Merritt et al. Goodman	2014/0192177			Bartula et al.
2011/0028809			Welch et al.	2014/0194709			Al-Ali et al.
2011/0082711	. A1		Poeze et al.	2014/0194711		7/2014	
2011/0085721			Guyon et al.	2014/0194766 2014/0206954			Al-Ali et al. Yuen et al.
2011/0087081 2011/0105854			Kiani et al. Kiani et al.	2014/0206963		7/2014	
2011/0105865			Yu et al.	2014/0213864			Abdul-Hafiz et al.
2011/0118561			Tari et al.	2014/0221854		8/2014	
2011/0137297 2011/0172498			Kiani et al. Olsen et al.	2014/0243627 2014/0266790			Diab et al. Al-Ali et al.
2011/01/2498			Welch et al.	2014/0275808		9/2014	Poeze et al.
2011/0213212		9/2011		2014/0275871			Lamego et al.
2011/0230733		9/2011		2014/0275872 2014/0275881			Merritt et al. Lamego et al.
2011/0237911 2011/0245697			Lamego et al. Miettinen	2014/0276013		9/2014	Muehlemann et al.
2012/0059267			Lamego et al.	2014/0276116			Takahashi et al.
2012/0078069		3/2012	Melker	2014/0288400 2014/0296664		9/2014 10/2014	Diab et al. Bruinsma et al.
2012/0123231 2012/0150052			O'Reilly Buchheim et al.	2014/0290004		10/2014	
2012/0165629			Merritt et al.	2014/0316217		10/2014	Purdon et al.
2012/0179006		7/2012	Jansen et al.	2014/0316218			Purdon et al.
2012/0197093			LeBoeuf et al.	2014/0316228 2014/0323825		10/2014	Blank et al. Al-Ali et al.
2012/0197137 2012/0209084			Jeanne et al. Olsen et al.	2014/0323823			Brown et al.
2012/0226117	' A1	9/2012	Lamego et al.	2014/0323898			Purdon et al.
2012/0227739		9/2012		2014/0330098 2014/0330099			Merritt et al. Al-Ali et al.
2012/0283524 2012/0296178			Kiani et al. Lamego et al.	2014/0330099		11/2014	
2012/02/01/0		2/2012		2014/0336481	A1	11/2014	Shakespeare et al.
2012/0330112			Lamego et al.	2014/0343436		11/2014	
2013/0018233			Cinbis et al.	2014/0357966 2014/0361147		12/2014	Al-Ali et al. Fei
2013/0023775 2013/0041591			Lamego et al. Lamego	2014/0378844		12/2014	
2013/0045685		2/2013		2015/0005600			Blank et al.
2013/0046204			Lamego et al.	2015/0011907 2015/0018650			Purdon et al. Al-Ali et al.
2013/0060147 2013/0085346			Welch et al. Lin et al.	2015/0032029			Al-Ali et al.
2013/0096405		4/2013		2015/0065889			Gandelman et al.
2013/0096936			Sampath et al.	2015/0073235			Kateraas et al.
2013/0131474			Gu et al.	2015/0073241 2015/0080754			Lamego Purdon et al.
2013/0190581 2013/0197328			Al-Ali et al. Diab et al.	2015/0087936			Al-Ali et al.
2013/0204112		8/2013	White et al.	2015/0094546		4/2015	
2013/0211214		8/2013		2015/0099950			Al-Ali et al.
2013/0243021 2013/0296672			Siskavich O'Neil et al.	2015/0101844 2015/0106121			Al-Ali et al. Muhsin et al.
2013/02966/2			Al-Ali et al.	2015/0100121			Martin et al.
2013/0331670		2/2013		2015/0173671			Paalasmaa et al.
2013/0338461	. A1 1	2/2013	Lamego et al.	2015/0196249			Brown et al.
2013/0345921			Al-Ali et al.	2015/0216459			Al-Ali et al.
2014/0012100) A1	1/2014	Al-Ali et al.	2015/0255001	AI	9/2015	Haughav et al.

(56)	Referen	nces Cited	2017/0147774 2017/0164884		5/2017 6/2017	Kiani Culbert et al.
U.S	. PATENT	DOCUMENTS	2017/0172435	A1	6/2017	Presura
2015/0255500 11	0/2015		2017/0172476 2017/0173632		6/2017 6/2017	
2015/0257689 A1 2015/0281424 A1		Al-Ali et al. Vock et al.	2017/0173032			Jansen et al.
2015/0281424 A1 2015/0318100 A1		Rothkopf et al.	2017/0196470			Lamego et al.
2015/0351697 A1		Weber et al.	2017/0202505			Kirenko et al.
2015/0351704 A1		Kiani et al.	2017/0209095 2017/0228516		8/2017	Wagner et al. Sampath et al.
2015/0366472 A1 2015/0366507 A1	12/2015 12/2015		2017/0245790		8/2017	Al-Ali et al.
2015/0374298 A1		Al-Ali et al.	2017/0248446			Gowreesunker et al.
2015/0380875 A1		Coverston et al.	2017/0251974 2017/0273619			Shreim et al. Alvarado et al.
2016/0000362 A1 2016/0007930 A1		Diab et al. Weber et al.	2017/02/3013			Narasimhan et al.
2016/00073360 A1		Pahwa et al.	2017/0293727			Klaassen et al.
2016/0022160 A1		Pi et al.	2017/0311891			Kiani et al.
2016/0023245 A1 2016/0029932 A1		Zadesky et al. Al-Ali	2017/0325698 2017/0325744		11/2017	Allec et al. Allec et al.
2016/0029932 A1 2016/0029933 A1		Al-Ali et al.	2017/0340209			Klaassen et al.
2016/0038045 A1	2/2016	Shapiro	2017/0340219			Sullivan et al.
2016/0041531 A1		Mackie et al.	2017/0340293 2017/0347885			Al-Ali et al. Tan et al.
2016/0045118 A1 2016/0051157 A1	2/2016 2/2016	Kiani Waydo	2017/0354332		12/2017	
2016/0051158 A1	2/2016		2017/0354795			Blahnik et al.
2016/0051205 A1		Al-Ali et al.	2017/0358239 2017/0358240			Arney et al. Blahnik et al.
2016/0058302 A1 2016/0058309 A1	3/2016 3/2016	Raghuram et al.	2017/0358240		12/2017	
2016/0058309 A1 2016/0058310 A1	3/2016		2017/0360306	A1	12/2017	Narasimhan et al.
2016/0058312 A1		Han et al.	2017/0366657		12/2017	Thompson et al.
2016/0058338 A1		Schurman et al.	2018/0008146 2018/0014781			Al-Ali et al. Clavelle et al.
2016/0058356 A1 2016/0058370 A1		Raghuram et al. Raghuram et al.	2018/0025287			Mathew et al.
2016/0066823 A1		Al-Ali et al.	2018/0042556			Shahparnia et al.
2016/0066824 A1		Al-Ali et al.	2018/0049694			Singh Alvarado et al.
2016/0066879 A1		Telfort et al.	2018/0050235 2018/0055375			Tan et al. Martinez et al.
2016/0071392 A1 2016/0072429 A1		Hankey et al. Kiani et al.	2018/0055390		3/2018	
2016/0073967 A1		Lamego et al.	2018/0055439			Pham et al.
2016/0106367 A1		Jorov et al.	2018/0056129 2018/0064381			Narasimha Rao et al. Shakespeare et al.
2016/0113527 A1 2016/0143548 A1		Al-Ali et al. Al-Ali	2018/0070867			Smith et al.
2016/0154950 A1		Nakajima et al.	2018/0078151			Allec et al.
2016/0157780 A1		Rimminen et al.	2018/0078182			Chen et al.
2016/0166210 A1 2016/0192869 A1		Al-Ali Kiani et al.	2018/0082767 2018/0085068		3/2018	Al-Ali et al. Telfort
2016/0196388 A1		Lamego	2018/0087937			Al-Ali et al.
2016/0197436 A1	7/2016	Barker et al.	2018/0103874			Lee et al.
2016/0213281 A1		Eckerbom et al.	2018/0103905 2018/0110469		4/2018 4/2018	Maani et al.
2016/0213309 A1 2016/0256058 A1		Sannholm et al. Pham et al.	2018/0125368			Lamego et al.
2016/0256082 A1		Ely et al.	2018/0125430			Al-Ali et al.
2016/0267238 A1	9/2016		2018/0132769 2018/0146901			Weber et al. Al-Ali et al.
2016/0270735 A1 2016/0283665 A1		Diab et al. Sampath et al.	2018/0146901			Kiani et al.
2016/0287107 A1		Szabados et al.	2018/0153418	A1	6/2018	Sullivan et al.
2016/0287181 A1		Han et al.	2018/0153442		6/2018 6/2018	Eckerbom et al.
2016/0287786 A1 2016/0296173 A1	10/2016	Kiani Culbert	2018/0153446 2018/0153448			Weber et al.
2016/0296173 A1 2016/0296174 A1		Isikman et al.	2018/0164853		6/2018	Myers et al.
2016/0310027 A1	10/2016	Han	2018/0168491			Al-Ali et al.
2016/0314260 A1	10/2016		2018/0184917 2018/0192924		7/2018 7/2018	
2016/0327984 A1 2016/0367173 A1		Al-Ali et al. Dalvi et al.	2018/0192953			Shreim et al.
2016/0378069 A1		Rothkopf	2018/0196514			Allec et al.
2016/0378071 A1		Rothkopf	2018/0199871 2018/0206795		7/2018 7/2018	Pauley et al.
2017/0007183 A1 2017/0010858 A1		Dusan et al. Prest et al.	2018/0206815		7/2018	
2017/0010838 A1 2017/0014083 A1		Diab et al.	2018/0213583		7/2018	Al-Ali
2017/0024748 A1	1/2017	Haider	2018/0214090			Al-Ali et al.
2017/0042488 A1		Muhsin Al-Ali et al.	2018/0216370 2018/0218792			Ishiguro et al. Muhsin et al.
2017/0055896 A1 2017/0074897 A1		Al-Ali et al. Mermel et al.	2018/0218/92			Al-Ali et al.
2017/0084133 A1		Cardinali et al.	2018/0228414			Shao et al.
2017/0086689 A1		Shui et al.	2018/0238718		8/2018	
2017/0086742 A1		Harrison-Noonan et al.	2018/0238734			Hotelling et al.
2017/0086743 A1 2017/0094450 A1		Bushnell et al. Tu et al.	2018/0242853 2018/0242923		8/2018 8/2018	Al-Ali et al.
2017/0143281 A1	5/2017		2018/0242926			Muhsin et al.

(56)	Referen	ces Cited	2020/00157	'16 A1	1/2020	Poeze et al.
, ,		DOCUMENTS	2020/00219 2020/00374		1/2020 1/2020	Iswanto et al. Triman et al.
		Al-Ali et al.	2020/00378 2020/00379	891 A1	2/2020 2/2020	
2018/0247353 A 2018/0247712 A		Muhsin et al.	2020/00462	257 A1	2/2020	Eckerbom et al.
2018/0256087 A		Al-Ali et al.	2020/00542 2020/00605		2/2020	Al-Ali et al. Diab et al.
2018/0279956 A 2018/0285094 A		Waydo et al. Housel et al.	2020/00606	528 A1	2/2020	Al-Ali et al.
2018/0296161 A		Shreim et al. Muhsin et al.	2020/00606 2020/00608		2/2020 2/2020	Muhsin et al. Telfort et al.
2018/0300919 A 2018/0310822 A		Indorf et al.	2020/00748	319 A1	3/2020	Muhsin et al.
2018/0310823 A 2018/0317826 A		Al-Ali et al.	2020/01115 2020/01134			Ahmed Muhsin
2018/0317820 F		Novak, Jr.	2020/01134	88 A1	4/2020	Al-Ali et al.
2018/0333055 A 2018/0333087 A		Lamego et al.	2020/01134 2020/01134		4/2020 4/2020	Scruggs et al. Triman et al.
2019/0333087 A		Muhsin et al.	2020/01135	20 A1	4/2020	Abdul-Hafiz et al.
2019/0015023 A		Monfre	2020/01382 2020/01383		5/2020 5/2020	Al-Ali et al. Kiani et al.
2019/0029574 <i>A</i> 2019/0029578 <i>A</i>		Schurman et al. Al-Ali et al.	2020/01635	97 A1	5/2020	Dalvi et al.
2019/0058280 A		Al-Ali et al.	2020/01968 2020/01968		6/2020 6/2020	Vo et al. Kiani et al.
2019/0069813 A 2019/0076028 A		Al-Ali et al.	2020/02219	80 A1	7/2020	Poeze et al.
2019/0082979 A		Al-Ali et al.	2020/02534 2020/02535		8/2020 8/2020	Muhsin et al. Belur Nagaraj et al.
2019/0090760 A 2019/0090764 A		Kinast et al. Al-Ali	2020/02333		9/2020	Telfort et al.
2019/0117070 A	4/2019	Muhsin et al.	2020/02889	983 A1	9/2020	Telfort et al.
2019/0117139 A 2019/0117141 A		Al-Ali et al. Al-Ali		FOREIG	J DATE	NT DOCUMENTS
2019/0117930 A	4/2019	Al-Ali	,	POREIGI	N FAIL.	NI DOCUMENTS
2019/0122763 A 2019/0133525 A		Sampath et al. Al-Ali et al.	CN	101564		10/2009
2019/0142283 A	41 5/2019	Lamego et al.	CN CN	1014840 103906		11/2011 7/2014
2019/0142344 <i>A</i> 2019/0150856 <i>A</i>		Telfort et al. Kiani et al.	EP	0102		3/1984
2019/0167161 A	A 1 6/2019	Al-Ali et al.	EP EP	0419: 0630:		3/1991 12/1994
2019/0175019 A 2019/0192076 A		Al-Ali et al. McHale et al.	EP	0665		1/1997
2019/0200941 A	A 1 7/2019	Chandran et al.	EP EP	0760: 0770:		3/1997 5/1997
2019/0201623 A 2019/0209025 A			EP	0781		7/1997
2019/0214778 A	A 1 7/2019	Scruggs et al.	EP EP	08809 0922		12/1998 6/1999
2019/0216319 A 2019/0216379 A		Poeze et al. Al-Ali et al.	EP	0985		3/2000
2019/0221966 A	A 1 7/2019	Kiani et al.	EP EP	1518- 1526:		3/2005 5/2005
2019/0223804 <i>A</i> 2019/0231199 <i>A</i>		Blank et al. Al-Ali et al.	EP	1124		8/2006
2019/0231241 A	41 8/2019	Al-Ali et al.	EP EP	18609 1875		12/2007 1/2008
2019/0231270 A 2019/0239787 A		Abdul-Hafiz et al. Pauley et al.	EP	1880		1/2008
2019/0239824		Muhsin et al.	EP EP	2165 2277		3/2010 1/2011
2019/0254578 A 2019/0261857 A		Lamego Al-Ali	GB	2243	691	11/1991
2019/0269370 A	41 9/2019	Al-Ali et al.	JP JP	05-325′ 08-185′		12/1993 7/1996
2019/0274627 <i>A</i> 2019/0274635 <i>A</i>		Al-Ali et al. Al-Ali et al.	JP	H09-173		7/1997
2019/0290136 A	41 9/2019	Dalvi et al.	JP JP	H 09257: H 10314		10/1997 12/1998
2019/0298270 A 2019/0304601 A		Al-Ali et al. Sampath et al.	JP	H 1170		3/1999
2019/0304605 A	A 1 10/2019	Al-Âli	JP JP	29193: H11-197		7/1999 7/1999
2019/0307377 A 2019/0320906 A		Perea et al. Olsen	JP	H 11235		8/1999
2019/0320959 A	A 1 10/2019	Al-Ali	JP JP	2001-0669 2001-087		3/2001 4/2001
2019/0320988 A 2019/0325722 A			JP	2002-5009		1/2002
2019/0350506 A	A 1 11/2019	Al-Ali	JP JP	2003-024: 2003-508		1/2003 3/2003
2019/0357813 A 2019/0357823 A		Poeze et al. Reichgott et al.	JP m	2003-265		9/2003
2019/0357824 A	A 1 11/2019	Al-Ali	JP JP	2004-329- 2004-344-		11/2004 12/2004
2019/0358524 <i>A</i> 2019/0365294 <i>A</i>		Kiani Poeze et al.	JP	2005-160	641	6/2005
2019/0374139 A	A 1 12/2019	Kiani et al.	JP JP	2005-270: 37411-		10/2005 2/2006
2019/0374173 A 2019/0374713 A		Kiani et al. Kiani et al.	JP	2006-102		4/2006 7/2006
2019/0386908 A	A 1 12/2019	Lamego et al.	JP JP	2006-1773 2006-1983		7/2006 8/2006
2019/0388039 A 2020/0000338 A		Al-Ali Lamego et al.	JP JP	38033 2006-296		8/2006 11/2006
2020/0000338 A		Barker et al.	JP	2007-389		11/2007

Page 14

(56)	References Cited	
	FOREIGN PAT	ENT DOCUMENTS
JP	2007-319232	12/2007
JP	2008-099222	4/2008
JР	2009-106373	5/2009
JP	2011-147746	8/2011
JP	2013-515528	5/2013
JP	5756752	6/2015
KR KR	20070061122 100755079	6/2007 9/2007
KR	20100091592	8/2010
WO	WO 93/012712	7/1993
WO	WO 94/23643	10/1994
WO	WO 1995/000070	1/1995
WO	WO 1996/27325	9/1996
WO	WO 1996/41566	12/1996
WO	WO 1997/009923	3/1997
WO	WO 99/000053	1/1999
WO	WO 99/001704	7/1999
WO	WO 1999/063883	12/1999
WO	WO 00/18290	4/2000
WO WO	WO 00/25112 WO 2000/028892	5/2000 5/2000
WO	WO 2000/028892 WO 01/09589	2/2001
WO	WO 01/09389 WO 01/50433	7/2001
WO	WO 2002/062213	8/2002
WO	WO 2005/094667	10/2005
WO	WO 2006/016366	2/2006
WO	WO 2006/017117	2/2006
WO	WO 2006/060949	6/2006
WO	WO 2006/079862	8/2006
WO	WO 2006/090371	8/2006
WO WO	WO 2006/113070 WO 2007/004083	10/2006 1/2007
WO	WO 2007/004083 WO 2007/017266	2/2007
WO	WO 2007/017200 WO 2007/048039	4/2007
wo	WO 2007/144817	12/2007
WO	WO 2008/002405	1/2008
WO	WO 2008/107238	9/2008
WO	WO 2008/149081	12/2008
WO	WO 2009/001988	12/2008
WO	WO 2009/137524	11/2009
WO	WO 2010/003134	1/2010
WO	WO 2011/051888	5/2011
WO WO	WO 2011/069122 WO 2013/030744	6/2011 3/2013
WO	WO 2013/030744 WO 2013/106607	7/2013
WO	WO 2013/181368	12/2013
wo	WO 2014/115075	7/2014
wo	WO 2014/149781	9/2014
WO	WO 2014/153200	9/2014
WO	WO 2014/158820	10/2014
WO	WO 2014/178793	11/2014
WO	WO 2014/184447	11/2014
WO	WO 2015/187732	12/2015
WO	WO 2016/066312	5/2016

OTHER PUBLICATIONS

K. Self, Application Note 78—Using Power Management with High-Speed Microcontrollers, Maxim Integrated Products, Inc., Mar. 29, 2001, 25 pages.

Service Manual: Nellcor Symphony N-3000 Pulse Oximeter, Nellcor Puritan Bennett, Inc., Copyright 1996, 110 pages.

Home Use Guide: Nellcor Symphony N-3000 Pulse Oximeter, Nellcor Puritan Bennett, Inc., Copyright 1996, 50 pages.

Operator's Manual: Nellcor N-200 Pulse Oximeter, Nellcor Incorporated, Copyright 2003, 96 pages.

S. Kastle et al., "A New Family of Sensors for Pulse Oximetry," Hewlett-Packard Journal, Article 7, Feb. 1997, pp. 1-17.

M. Nogawa et al., "A Novel Hybrid Reflectance Pulse Oximeter Sensor with Improved Linearity and General Applicability to Various Portions of the Body," Proceedings of the 20th Annual International Conference of the IEEE Engineering in Medicine and Biology Society, vol. 20, No. 4, 1998, pp. 1858-1861.

- J. Hodby, "A ratio-measuring detection system for use in pulsed spectroscopic measurements," Journal of Physics E: Scientific Instruments, vol. 3, 1970, pp. 229-233.
- K. Li et al., "A Wireless Reflectance Pulse Oximeter with Digital Baseline Control for Unfiltered Photoplethysmograms," IEEE Transactions on Biomedical Circuits and Systems, Nov. 2011, pp. 1-11. D. Thompson et al., "A Small, High-Fidelity Reflectance Pulse Oximeter," American Society for Engineering Education, 2007, 14 pages.
- K. Li et al., "A High-Performance Wireless Reflectance Pulse Oximeter for Photo-Plethysmogram Acquisition and Analysis in the Classroom," American Society for Engineering Education, 2010, 12 pages.
- M. J. Hayes, "Artefact Reduction in Photoplethysmography," Doctoral thesis, Department of Electronic and Electrical Engineering, Loughborough University, Nov. 1998, 195 pages. (uploaded in 2 parts)
- A. C. M. Dassel et al., "Effect of location of the sensor on reflectance pulse oximetry," British Journal of Obstetrics and Gynaecology, vol. 104, Aug. 1997, pp. 910-916.
- RF Cafe, Electronic Warfare and Radar Systems Engineering Handbook, Duty Cycle, available at https://www.rfcafe.com/references/electrical/ew-radar-handbook/duty-cycle.htm, retrieved Jul. 11, 2020, 3 pages.
- Y. Shimada et al., "Evaluation of a new reflectance pulse oximeter for clinical applications," Medical & Biological Engineering & Computing, vol. 29, No. 5, Sep. 1991, pp. 557-561.
- S. Takatani et al., "Experimental and Clinical Evaluation of a Noninvasive Reflectance Pulse Oximeter Sensor," Journal of Clinical Monitoring, vol. 8, No. 4, Oct. 1992, pp. 257-266.
- K. Ono et al., "Fiber optic reflectance spectrophotometry system for in vivo tissue diagnosis," Applied Optics, vol. 30, No. 1, Jan. 1991, pp. 98-105.
- M. Barr, "Introduction to Pulse Width Modulation (PWM)," Barr Group, Embedded Systems Programming, Sep. 2001, pp. 1-3.
- P. P. Vaidyanathan, "Multirate Digital Filters, Filter Banks, Polyphase Networks, and Applications: A Tutorial," Proceedings of the IEEE, vol. 78, No. 1, Jan. 1990, pp. 56-93.
- S. Oshima et al., "Optical Measurement of Blood Hematocrit on Medical Tubing with Dual Wavelength and Detector Model," 31st Annual International Conference of the IEEE EMBS, Sep. 2009, pp. 5891-5896.

Optoelectronics, Data Book 1990, Siemens Components, Inc., 770 pages. (uploaded in 7 parts).

OxiplexTS Near Infrared, Non-Invasive, Tissue Spectrometer Brochure, ISS, Inc., Copyright 2001, 6 pages.

- J. A. Pologe, "Pulse Oximetry: Technical Aspects of Machine Design," International Anesthesiology Clinics, vol. 25, No. 3, 1987, pp. 137-153.
- B. F. Koegh et al., "Recent findings in the use of reflectance oximetry: a critical review," Current Opinion in Anaesthesiology, vol. 18, 2005, pp. 649-654.
- K. Faisst et al., "Reflectance pulse oximetry in neonates," European Journal of Obstetrics & Gynecology and Reproductive Biology, vol. 61, No. 2, Aug. 1995, pp. 117-122.
- V. Konig et al., "Reflexions-Pulsoximetrie—Untersuchungen mit eigenem Mess-System," Biomedical Engineering, Biomedizinische Technik, vol. 37. No. s2, 1992, pp. 39-40.

Petition for Inter Partes Review of U.S. Pat. No. 10,299,708, *Apple Inc. v. Masimo Corporation*, Inter Partes Review No. IPR2021-00193, dated Nov. 20, 2020, in 107 pages.

Declaration of Thomas W. Kenny, Ph.D., in support of Petition for Inter Partes Review of U.S. Pat. No. 10,299,708, Ex. 1003, *Apple Inc. v. Masimo Corporation*, Inter Partes Review No. IPR2021-00193, dated Nov. 20, 2020, in 136 pages.

Petition for Inter Partes Review of U.S. Pat. No. 10,376,190, *Apple Inc. v. Masimo Corporation*, Inter Partes Review No. IPR2021-00195, dated Nov. 20, 2020, in 109 pages.

Declaration of Thomas W. Kenny, Ph.D., in support of Petition for Inter Partes Review of U.S. Pat. No. 10,376,190, Ex. 1003, *Apple Inc. v. Masimo Corporation*, Inter Partes Review No. IPR2021-00195, dated Nov. 20, 2020, in 139 pages.

Page 15

(56) References Cited

OTHER PUBLICATIONS

Petition for Inter Partes Review of U.S. Pat. No. 10,258,266, *Apple Inc. v. Masimo Corporation*, Inter Partes Review No. IPR2021-00208, dated Nov. 20, 2020, in 80 pages.

Declaration of Thomas W. Kenny, Ph.D., in support of Petition for Inter Partes Review of U.S. Pat. No. 10,258,266, Ex. 1003, *Apple Inc. v. Masimo Corporation*, Inter Partes Review No. IPR2021-00208, dated Nov. 20, 2020, in 96 pages.

Petition for Inter Partes Review of U.S. Pat. No. 10,376,191, *Apple Inc. v. Masimo Corporation*, Inter Partes Review No. IPR2021-00209, dated Nov. 20, 2020, in 79 pages.

Declaration of Thomas W. Kenny, Ph.D., in support of Petition for Inter Partes Review of U.S. Pat. No. 10,376,191, Ex. 1003, *Apple Inc. v. Masimo Corporation*, Inter Partes Review No. IPR2021-00209, dated Nov. 20, 2020, in 96 pages.

Nov. 12, 2020 Third Amended Complaint for (1) Patent Infringement (2) Trade Secret Misappropriation (3) Correction of Inventorship and (4) Ownership of Patents and Demand for Jury Trial, and including Exhibit 1, *Masimo Corporation and Cercacor Laboratories, Inc.* v. *Apple Inc.*, Case No. 8:20-cv-00048, 196 pages. [uploaded in 2 parts].

Jan. 9, 2020 Complaint for (1) Patent Infringement (2) Trade Secret Misappropriation and (3) Ownership of Patents and Demand for Jury Trial, *Masimo Corporation and Cercacor Laboratories, Inc.* v. *Apple Inc.*, Case No. 8:20-cv-00048, 64 pages.

Mar. 25, 2020 First Amended Complaint for (1) Patent Infringement (2) Trade Secret Misappropriation (3) Correction of Inventorship and (4) Ownership of Patents and Demand for Jury Trial, and including Exhibits 13-24 (Exhibits 1-12 and 25-31 comprise copies of publicly available U.S. patents and U.S. patent application publications, and are not included herein for ease of transmission), Masimo Corporation and Cercacor Laboratories, Inc. v. Apple Inc., Case No. 8:20-cv-00048, pp. 1-94, 983-1043 (total of 156 pages). Jul. 24, 2020 Second Amended Complaint for (1) Patent Infringement (2) Trade Secret Misappropriation (3) Correction of Inventorship and (4) Ownership of Patents and Demand for Jury Trial, and including Exhibit 1, Masimo Corporation and Cercacor Laboratories, Inc. v. Apple Inc., Case No. 8:20-cv-00048, 182 pages.

Jul. 27, 2020 Plaintiffs' Infringement Contentions, and including Exhibit 1 and Appendices A-P, *Masimo Corporation and Cercacor Laboratories, Inc.* v. *Apple Inc.*, Case No. 8:20-cv-00048, 305 pages.

Sep. 8, 2020 Apple's Preliminary Invalidity Contentions, and including Exhibits A-G, *Masimo Corporation and Cercacor Laboratories*, *Inc.* v. *Apple Inc.*, Case No. 8:20-cv-00048, 3960 pages. [uploaded in 15 parts].

Petition for Inter Partes Review of U.S. Pat. No. 10,258,265, *Apple Inc. v. Masimo Corporation*, Inter Partes Review No. IPR2020-01520, dated Aug. 31, 2020, in 114 pages.

Declaration of Thomas W. Kenny, Ph.D., in support of Petition for Inter Partes Review of U.S. Pat. No. 10,258,265, Ex. 1003, *Apple Inc. v. Masimo Corporation*, Inter Partes Review No. IPR2020-01520, dated Aug. 31, 2020, in 138 pages.

Petition for Inter Partes Review of U.S. Pat. No. 10,588,553, *Apple Inc. v. Masimo Corporation*, Inter Partes Review No. IPR2020-01536, dated Aug. 31, 2020, in 114 pages.

Declaration of Thomas W. Kenny, Ph.D., in support of Petition for Inter Partes Review of U.S. Pat. No. 10,588,553, Ex. 1003, *Apple Inc. v. Masimo Corporation*, Inter Partes Review No. IPR2020-01536, dated Aug. 31, 2020, in 173 pages.

Petition for Inter Partes Review of U.S. Pat. No. 10,588,553, *Apple Inc. v. Masimo Corporation*, Inter Partes Review No. IPR2020-01537, dated Aug. 31, 2020, in 114 pages.

Declaration of Thomas W. Kenny, Ph.D., in support of Petition for Inter Partes Review of U.S. Pat. No. 10,588,553, Ex. 1003, *Apple Inc. v. Masimo Corporation*, Inter Partes Review No. IPR2020-01537, dated Aug. 31, 2020, in 181 pages.

Petition for Inter Partes Review of U.S. Pat. No. 10,292,628, *Apple Inc. v. Masimo Corporation*, Inter Partes Review No. IPR2020-01521, dated Sep. 2, 2020, in 107 pages.

Declaration of Thomas W. Kenny, Ph.D., in support of Petition for Inter Partes Review of U.S. Pat. No. 10,292,628, Ex. 1003, *Apple Inc. v. Masimo Corporation*, Inter Partes Review No. IPR2020-01521, dated Sep. 2, 2020, in 133 pages.

Petition for Inter Partes Review of U.S. Pat. No. 10,588,554, *Apple Inc. v. Masimo Corporation*, Inter Partes Review No. IPR2020-01538, dated Sep. 2, 2020, in 108 pages.

Declaration of Thomas W. Kenny, Ph.D., in support of Petition for Inter Partes Review of U.S. Pat. No. 10,588,554, Ex. 1003, *Apple Inc. v. Masimo Corporation*, Inter Partes Review No. IPR2020-01538, dated Sep. 2, 2020, in 151 pages.

Petition for Inter Partes Review of U.S. Pat. No. 10,588,554, *Apple Inc.* v. *Masimo Corporation*, Inter Partes Review No. IPR2020-01539, dated Sep. 2, 2020, in 111 pages.

Declaration of Thomas W. Kenny, Ph.D., in support of Petition for Inter Partes Review of U.S. Pat. No. 10,588,554, Ex. 1003, *Apple Inc. v. Masimo Corporation*, Inter Partes Review No. IPR2020-01539, dated Sep. 2, 2020, in 170 pages.

Petition for Inter Partes Review of U.S. Pat. No. 10,624,564, *Apple Inc.* v. *Masimo Corporation*, Inter Partes Review No. IPR2020-01713, dated Sep. 30, 2020, in 117 pages.

Declaration of Thomas W. Kenny, Ph.D., in support of Petition for Inter Partes Review of U.S. Pat. No. 10,624,564, Ex. 1003, *Apple Inc. v. Masimo Corporation*, Inter Partes Review No. IPR2020-01713, dated Sep. 30, 2020, in 159 pages.

Petition for Inter Partes Review of U.S. Pat. No. 10,631,765, *Apple Inc.* v. *Masimo Corporation*, Inter Partes Review No. IPR2020-01714, dated Sep. 30, 2020, in 113 pages.

Declaration of Thomas W. Kenny, Ph.D., in support of Petition for Inter Partes Review of U.S. Pat. No. 10,631,765, Ex. 1003, *Apple Inc. v. Masimo Corporation*, Inter Partes Review No. IPR2020-01714, dated Sep. 30, 2020, in 122 pages.

Petition for Inter Partes Review of U.S. Pat. No. 10,631,765, *Apple Inc.* v. *Masimo Corporation*, Inter Partes Review No. IPR2020-01715, dated Sep. 30, 2020, in 114 pages.

Declaration of Thomas W. Kenny, Ph.D., in support of Petition for Inter Partes Review of U.S. Pat. No. 10,631,765, Ex. 1003, *Apple Inc. v. Masimo Corporation*, Inter Partes Review No. IPR2020-01715, dated Sep. 30, 2020, in 117 pages.

Petition for Inter Partes Review of U.S. Pat. No. 10,702,194, *Apple Inc.* v. *Masimo Corporation*, Inter Partes Review No. IPR2020-01716, dated Sep. 30, 2020, in 100 pages.

Declaration of Thomas W. Kenny, Ph.D., in support of Petition for Inter Partes Review of U.S. Pat. No. 10,702,194, Ex. 1003, *Apple Inc. v. Masimo Corporation*, Inter Partes Review No. IPR2020-01716, dated Sep. 30, 2020, in 109 pages.

Petition for Inter Partes Review of U.S. Pat. No. 10,702,195, *Apple Inc. v. Masimo Corporation*, Inter Partes Review No. IPR2020-01733, dated Sep. 30, 2020, in 105 pages.

Declaration of Thomas W. Kenny, Ph.D., in support of Petition for Inter Partes Review of U.S. Pat. No. 10,702,195, Ex. 1003, *Apple Inc. v. Masimo Corporation*, Inter Partes Review No. IPR2020-01733, dated Sep. 30, 2020, in 108 pages.

Petition for Inter Partes Review of U.S. Pat. No. 10,709,366, *Apple Inc.* v. *Masimo Corporation*, Inter Partes Review No. IPR2020-01737, dated Sep. 30, 2020, in 104 pages.

Declaration of Thomas W. Kenny, Ph.D., in support of Petition for Inter Partes Review of U.S. Pat. No. 10,709,366, Ex. 1003, *Apple Inc. v. Masimo Corporation*, Inter Partes Review No. IPR2020-01737, dated Sep. 30, 2020, in 110 pages.

D. Thompson et al., "Pulse Oximeter Improvement with an ADC-DAC Feedback Loop and a Radical Reflectance Sensor," Proceedings of the 28th IEEE EMBS Annual International Conference, 2006, pp. 815-818.

Service Manual: NPB-40 Handheld Pulse Oximeter, Nellcor Puritan Bennett, Inc., Copyright 2001, 55 pages.

J. Bronzino et al., The Biomedical Engineering Handbook, Second Edition, CRC Press LLC, 2000, 21 pages.

J. Bronzino et al., Medical Devices and Systems, The Biomedical Engineering Handbook, Third Edition, Taylor & Francis Group, LLC, Apr. 2006, 20 pages.

Page 16

(56) References Cited

OTHER PUBLICATIONS

- J. Webster et al., Nanoparticles—Radiotherapy Accessories, Encyclopedia of Medical Devices and Instrumentation, Second Edition, vol. 5, Wiley-Interscience, 2006, 42 pages.
- S. LeGare et al., "A Device to Assess the Severity of Peripheral Edema," IEEE 33rd Annual Northeast Bioengineering Conference, 2007, pp. 257-258.
- M. Corcoran et al., "A Humidifier for Olfaction Studies During Functional Magnetic Resonance Imaging," Proceedings of the IEEE 31st Annual Northeast Bioengineering Conference, 2005, pp. 1-2. Y. Mendelson et al., "A Multiwavelength VIS-NIR Spectrometer for Pulsatile Measurement of Hemoglobin Derivatives in Whole Blood," 18th Annual International Conference of the IEEE Engineering in Medicine and Biology Society, 1996, pp. 134-135.
- H. DiSpirito et al., "A Neural Stimulation System Model to Enhance Neural Integrated Circuit Design," 29th Southern Biomedical Engineering Conference, 2013, pp. 9-10.
- D. Sen et al., "A New Vision for Preventing Pressure Ulcers: Wearable Wireless Devices Could Help Solve a Common—and Serious—Problem," IEEE Pulse, vol. 9, No. 6, Nov. 2018, pp. 28-31.
- N. Selvaraj et al., "A Novel Approach Using Time-Frequency Analysis of Pulse-Oximeter Data to Detect Progressive Hypovolemia in Spontaneously Breathing Healthy Subjects," IEEE Transactions on Biomedical Engineering, vol. 58, No. 8, Aug. 2011, pp. 2272-2279.
- S. Salehizadeh et al., "A Novel Time-Varying Spectral Filtering Algorithm for Reconstruction of Motion Artifact Corrupted Heart Rate Signals During Intense Physical Activities Using a Wearable Photoplethysmogram Sensor," Sensors 2016, vol. 16, No. 1, Dec. 2015, pp. 1-20.
- A. Gendler et al., "A PAB-Based Multi-Prefetcher Mechanism," International Journal of Parallel Programming, vol. 34, No. 2, Apr. 2006, pp. 171-188.
- J. Harvey et al., "A Portable Sensor for Skin Bioimpedance Measurements," International Journal of Sensors and Sensor Networks, vol. 7, No. 1, Aug. 2019, pp. 1-8.
- D. Traviglia et al., "A Portable Setup for Comparing Transmittance and Reflectance Pulse Oximeters for Field Testing Applications," Proceedings of the IEEE 30th Annual Northeast Bioengineering Conference, 2004, pp. 212-213.
- S. Xie et al., "A Predictive Model for Force-Sensing Resistor Nonlinearity for Pressure Measurement in a Wearable Wireless Sensor Patch," IEEE 61st International Midwest Symposium on Circuits and Systems, 2018, pp. 476-479.
- P. Muller et al., "A Preliminary In-Vitro Evaluation and Comparative Study of Various Tissue pH Sensors," Proceedings of the 18th IEEE Annual Northeast Bioengineering Conference, 1992, pp. 158-159.
- D. Dao et al., "A Robust Motion Artifact Detection Algorithm for Accurate Detection of Heart Rates From Photoplethysmographic Signals Using Time-Frequency Spectral Features," IEEE Journal of Biomedical and Health Informatics, vol. 21, No. 5, Sep. 2017, pp. 1242-1253.
- G. Comtois et al., "A Wearable Wireless Reflectance Pulse Oximeter for Remote Triage Applications," Proceedings of the IEEE 32nd Annual Northeast Bioengineering Conference, 2006, pp. 53-54.
- S. Djamasbi et al., "Affect Feedback during Crisis and Its Role in Improving Is Utilization," Proceedings of the 7th International Conference on Information Systems for Crisis Response and Management (ISCRAM), 2010, pp. 1-4.
- B. Odegard et al., "An Analysis of Racewalking Styles Using a 2-Dimensional Mathematical Knee Model," Proceedings of the IEEE 23rd Northeast Bioengineering Conference, 1997, pp. 73-74. S. Patrick et al., "An Electromyogram Simulator for Myoelectric Prosthesis Testing," Proceedings of the IEEE 36th Annual Northeast Bioengineering Conference (NEBEC), 2010, pp. 1-2.

- Y. Mendelson et al., "An in Vitro Tissue Model for Evaluating the Effect of Carboxyhemoglobin Concentration on Pulse Oximetry," IEEE Transactions on Biomedical Engineering, vol. 36, No. 6, Jun. 1989, pp. 625-627.
- C. Tamanaha et al., "An Inorganic Membrane Filter to Support Biomembrane-Mimetic Structures," Proceedings of 17th International Conference of the Engineering in Medicine and Biology Society, Sep. 1995, pp. 1559-1560.
- A. Lader et al., "An Investigative Study of Membrane-Based Biosensors," Proceedings of the IEEE 17th Annual Northeast Bioengineering Conference, 1991, pp. 253-254.
- N. Reljin et al., "Automatic Detection of Dehydration using Support Vector Machines," 14th Symposium on Neural Networks and Applications (NEUREL), Nov. 2018, pp. 1-6.
- Y. Mendelson et al., Chapter 9: Biomedical Sensors, Introduction to Biomedical Engineering, Second Edition, Apr. 2005, pp. 505-548. R. Peura et al, "Biotechnology for Biomedical Engineers," IEEE Engineering in Medicine and Biology, vol. 14, No. 2, Apr. 1995, pp. 199-200.
- Y. Mendelson et al., "Blood Glucose Measurement by Multiple Attenuated Total Reflection and Infrared Absorption Spectroscopy," IEEE Transactions on Biomedical Engineering, vol. 37, No. 5, May 1990, pp. 458-465.
- Y. Mendelson et al., "Carbon dioxide laser based multiple ATR technique for measuring glucose in aqueous solutions," Applied Optics, vol. 27, No. 24, Dec. 1988, pp. 5077-5081.
- J. Harvey et al., "Correlation of bioimpedance changes after compressive loading of murine tissues in vivo," Physiological Measurement, vol. 40, No. 10, Oct. 2019, pp. 1-13.
- B. Yocum et al., "Design of a Reflectance Pulse Oximeter Sensor and its Evaluation in Swine," Proceedings of the 15th Annual Northeast Bioengineering Conference, IEEE, 1989, pp. 239-240.
- E. Tuite et al., "Design of Individual Balance Control Device Utilized during the Sit-to-Stand Task," ISB 2011 Brussels, 2011, pp. 1-2.
- C. E. Darling et al., "Detecting Blood Loss With a Wearable Photoplethysmography Device," Annals of Emergency Medicine, vol. 68, No. 45, Oct. 2016, p. S116.
- N. Reljin et al., "Detection of Blood Loss in Trauma Patients using Time-Frequency Analysis of Photoplethysmographic Signal," IEEE-EMBS International Conference on Biomedical and Health Informatics (BHI), 2016, pp. 118-121.
- Y. Xu et al., "Drowsiness Control Center by Photoplethysmogram," 38th Annual Northeast Bioengineering Conference (NECBEC), IEEE, 2012, pp. 430-431.
- M. Last et al., Chapter 14: Early Warning from Car Warranty Data using a Fuzzy Logic Technique, Scalable Fuzzy Algorithms for Data Management and Analysis: Methods and Design, 2009, pp. 347-364.
- W. Johnston et al., "Effects of Motion Artifacts on Helmet-Mounted Pulse Oximeter Sensors," Proceedings of the IEEE 30th Annual Northeast Bioengineering Conference, 2014, pp. 214-215.
- A. Nagre et al., "Effects of Motion Artifacts on Pulse Oximeter Readings from Different Facial Regions," Proceedings of the IEEE 31st Annual Northeast Bioengineering Conference, 2005, pp. 1-3.
- R. Kasbekar et al., "Evaluation of key design parameters for mitigating motion artefact in the mobile reflectance PPG signal to improve estimation of arterial oxygenation," Physiological Measurement, vol. 39, No. 7, Jul. 2018, pp. 1-12.
- Y. Mendelson et al., "Evaluation of the Datascope Accusat Pulse Oximeter in Healthy Adults," Journal of Clinical Monitoring, vol. 4, No. 1, Jan. 1988, pp. 59-63.
- C. Tamanaha et al., "Feasibility Study of an Inorganic Membrane Filter as a Support for Biomembrane-Mimetic Structures," Proceedings of the IEEE 21st Annual Northeast Bioengineering Conference, 1995, pp. 99-101.
- J. McNeill et al., "Flexible Sensor for Measurement of Skin Pressure and Temperature in a Clinical Setting," 2016 IEEE Sensors, Nov. 2016, pp. 1-3.
- P. Bhandare et al., "Glucose determination in simulated blood serum solutions by Fourier transforms infrared spectroscopy: investigation of spectral interferences," Vibrational Spectroscopy, vol. 6, No. 3, Mar. 1994, pp. 363-378.

Page 17

(56) References Cited

OTHER PUBLICATIONS

- P. Bhandare et al. "Glucose Determination in Simulated Plasma Solutions Using Infrared Spectrophotometry," 14th Annual International Conference of the IEEE Engineering in Medicine and Biology Society, Nov. 1992, pp. 163-164.
- C. Tamanaha et al., "Humidity and Cation Dependency of Purple Membrane Based Biosensors," Proceedings of the 18th IEEE Annual Northeast Bioengineering Conference, Mar. 1992, pp. 107-108.
- K. M. Warren et al., "Improving Pulse Rate Measurements during Random Motion Using a Wearable Multichannel Reflectance Photoplethysmograph," Sensors (Basel), vol. 16, No. 3, Mar. 2016, p. 1-18.
- P. Bhandare et al., "IR Spectrophotometric Measurement of Glucose in Phosphate Buffered Saline Solutions: Effects of Temperature and pH," Proceedings of the 18th IEEE Annual Northeast Bioengineering Conference, 1992, pp. 103-104.
- Y. Mendelson et al., "Multi-channel pulse oximetry for wearable physiological monitoring," IEEE International Conference on Body Sensor Networks, 2013, pp. 1-6.
- P. Bhandare et al., "Multivariate Determination of Glucose in Whole Blood Using Partial Least-Squares and Artificial Neural Networks Based on Mid-Infrared Spectroscopy," Society for Applied Spectroscopy, vol. 47, No. 8, 1993, pp. 1214-1221.
- E. Morillo et al., "Multiwavelength Transmission Spectrophotometry in the Pulsatile Measurement of Hemoglobin Derivatives in Whole Blood," Proceedings of the IEEE 23rd Northeast Bioengineering Conference, 1997, pp. 5-6.
- P. Bhandare et al., "Neural Network Based Spectral Analysis of Multicomponent Mixtures for Glucose Determination," Proceedings of the IEEE, 17th Annual Northeast Bioengineering Conference, 1991, pp. 249-250.
- Y. Mendelson et al., "Noninvasive Transcutaneous Monitoring of Arterial Blood Gases," IEEE Transactions on Biomedical Engineering, vol. BME-31, No. 12, Dec. 1984, pp. 792-800.
- J. Harvey et al., "OxiMA: A Frequency-Domain Approach to Address Motion Artifacts in Photoplethysmograms for Improved Estimation of Arterial Oxygen Saturation and Pulse Rate," IEEE Transactions on Biomedical Engineering, vol. 66, No. 2, Feb. 2019, pp. 311-318.
- J. Chong et al., "Photoplethysmograph Signal Reconstruction Based on a Novel Hybrid Motion Artifact Detection-Reduction Approach. Part I: Motion and Noise Artifact Detection," Annals of Biomedical Engineering, vol. 42, No. 11, Nov. 2014, pp. 2238-2250.
- S. M. A. Salehizadeh et al., "Photoplethysmograph Signal Reconstruction based on a Novel Motion Artifact Detection-Reduction Approach. Part II: Motion and Noise Artifact Removal," Annals of Biomedical Engineering, vol. 42, May 2014, pp. 2251-2263.
- C. G. Scully et al., "Physiological Parameter Monitoring from Optical Recordings With a Mobile Phone," IEEE Transactions on Biomedical Engineering, vol. 59, No. 2, Feb. 2012, pp. 303-306. D. Sen et al., "Pressure Ulcer Prevention System: Validation in a Clinical Setting." IEEE Life Sciences Conference (LSC) 2018, pp.
- Clinical Setting," IEEE Life Sciences Conference (LSC), 2018, pp. 105-108.

 Y. Mendelson et al., Pulse Oximetry: Theory and Applications for
- Y. Mendelson et al., Pulse Oximetry: Theory and Applications for Noninvasive Monitoring, Clinical Chemistry, vol. 38, No. 9, 1992, pp. 1601-1607.
- Y. Mendelson, Pulse Oximetry, PowerPoint, UMass Center for Clinical and Translational Science Research Retreat, 2017, 22 pages.
- E. Stohr et al., "Quantitative FT-IR Spectrometry of Blood Constituents," 14th Annual International Conference of the IEEE Engineering in Medicine and Biology Society, 1992, pp. 173-174.
- E. Stohr et al., "Quantitative FTIR Spectrophotometry of Cholesterol and Other Blood Constituents and their Interference with the In-Vitro Measurement of Blood Glucose," Proceedings of the 18th IEEE Annual Northeast Bioengineering Conference, 1992, pp. 105-106.
- N. Selvaraj et al., "Statistical Approach for the Detection of Motion/ Noise Artifacts in Photoplethysmogram," 33rd Annual International Conference of the IEEE EMBS, Sep. 2011, pp. 4972-4975.

- C. Tamanaha et al., "Surface Modification of y-Al₂O₃ Filters by Chemisorption of Alkyltrichlorosilane Molecules," 18th Annual International Conference of the IEEE Engineering in Medicine and Biology Society, 1996, pp. 2069-2070.
- D. Sen et al., "Time-Domain-Based Measurement Technique for Pressure Measurement in a Wearable Wireless Sensor Patch," IEEE International Symposium on Circuits and Systems (ISCAS), 2018, pp. 1-5.
- N. Reljin et al., "Using support vector machines on photoplethysmographic signals to discriminate between hypovolemia and euvolemia," PLoS One, vol. 13, No. 3, Mar. 2018, pp. 1-14.
- Y. Mendelson et al., "Variations in Optical Absorption Spectra of Adult and Fetal Hemoglobins and Its Effect on Pulse Oximetry," IEEE Transactions on Biomedical Engineering, vol. 36, No. 8, Aug. 1989, pp. 844-848.
- K. Chon et al., "Wearable Wireless Sensor for Multi-Scale Physiological Monitoring," Worcester Polytechnic Institute, Oct. 2014, 82 pages.
- K. Chon et al., "Wearable Wireless Sensor for Multi-Scale Physiological Monitoring," Worcester Polytechnic Institute, Oct. 2015, 142 pages.
- J. McNeill et al., "Wearable Wireless Sensor Patch for Continuous Monitoring of Skin Temperature, Pressure, and Relative Humidity," IEEE International Symposium on Circuits and Systems (ISCAS), 2017, pp. 1-4.
- D. Sen et al., "Wireless Sensor Patch Suitable for Continuous Monitoring of Contact Pressure in a Clinical Setting," 16th IEEE International New Circuits and Systems Conference (NEWCAS), 2018, pp. 91-95.
- K. Hickle et al., "Wireless Pressure Ulcer Sensor," Annals of Plastic Surgery, vol. 82, Supplement 3, Apr. 2019, pp. S215-S221.
- Y. Mendelson, et al., "Design and Evaluation of a New Reflectance Pulse Oximeter Sensor", Worcester Polytechnic Institute, Biomedical Engineering Program, Worcester, MA 01609, Association for the Advancement of Medical Instrumentation, vol. 22, No. 4, 1988, pp. 167-173.
- Definition of "gap", excerpt from Merriam-Webster's Collegiate Dictionary (11th ed.), 2005, 3 pages.
- "Acrylic: Strong, stiff, clear plastic available in variety of brilliant colors", Copyright 2020. available at http://www.curbellplastics.com/Research-Solutions/Materials/Acrylic, 5 pages.
- QuickSpecs, Version 3, Nov. 20, 2003, HP iPAQ Pocket PC h4150 Series, 8 pages.
- "Universal asynchronous receiver-transmitter", Wikipedia, available at https://en.wikipedia.org/wiki/Universal_asynchronous_receiver-transmitter, accessed Aug. 27, 2020, 10 pages.
- Y. Mendelson, et al., "Skin Reflectance Pulse Oximetry: In Vivo Measurements from the Forearm and Calf", Journal of Clinical Monitoring, vol. 7, No. 1, Jan. 1991, pp. 7-12.
- Design of Pulse Oximeters, J.G. Webster, Institution of Physics Publishing, IOP Publishing Ltd, 1997, 262 pages (uploaded in three parts).
- McPherson, "How to Do Everything with Windows Mobile", McGraw Hill, 2006, 431 pages (uploaded in three parts).
- B. Landon et al., "Master Visually Windows Mobile 2003", Wiley Publishing, Inc., 2004, 335 pages (uploaded in two parts).
- J. Yao, et al., "Stimulating Student Learning with a Novel 'In-House' Pulse Oximeter Design", Proceedings of the 2005 American Society for Engineering Education Annual Conference & Exposition, 2005, 14 pages.
- National Instruments LabVIEW User Manual, National Instruments Corporation, Nov. 2001 Edition, Part No. 320999D-01, 293 pages. Definition of "processor", excerpt from Merriam-Webster's Collegiate Dictionary (10th ed.), 1999, 6 pages.
- Y. Mendelson et al., "Noninvasive Pulse Oximetry Utilizing Skin Reflectance Photoplethysmography", IEEE Transactions on Biomedical Engineering, vol. 35, No. 10, Oct. 1988, pp. 798-805.
- J. Schmitt et al., "An Integrated Circuit-Based Optical Sensor for in Vivo Measurement of Blood Oxygenation," IEEE Transactions on Biomedical Engineering, vol. BME-33, No. 2, Feb. 1986, pp. 98-107.

Page 18

(56)References Cited

OTHER PUBLICATIONS

- C. Gutierrez et al, "Non-Invasive Functional Mapping of the Brain Using Cerebral Oximeter," Proceedings of the Second Joint EMBS/ BMES Conference, Oct. 2002, pp. 947-948.
- R. Gupta et al., "Design and Development of Pulse Oximeter," Proceedings RC IEEE-EMBS & 14th BMESI, 1995, pp. 1.13-1.16. S. Duun et al., "A Novel Ring Shaped Photodiode for Reflectance Pulse Oximetry in Wireless Applications," IEEE Sensors Conference, 2007, pp. 596-599.
- Oct. 20, 2020 Letter from B. K. Andrea to J. Re et al., Re: Masimo Corp, et al. v. Apple, Inc., C.A. 8:20-cv-00048 (C.D. Cal.), 19
- 3 pages of images, identified by bates Nos. "APL-MAS_ 00057600", "APL-MAS_00057601", and "APL-MAS 00057602".
- 2 pages of images, identified by bates Nos. "APL-MAS 00057598" and "APL-MAS_00057599". Undated.
- Y. Mendelson et al., A Wearable Reflectance Pulse Oximeter for Remote Physiological Monitoring, PowerPoint, The Bioengineering Institute, Worcester Polytechnic Institute, 18 pages. Undated.
- P. C. Branche et al., "A Wearable Wireless Reflectance Pulse Oximeter with Automatic and Remote On-Demand Activation,' Annual Fall Meeting of the BMES, 2004, p. 1.
- A Wireless Wearable Reflectance Pulse Oximeter Printout, The Bioengineering Institute, Worcester Polytechnic Institute, 1 page.
- Y. Mendelson et al., A Wireless Wearable Reflectance-Based Forehead Pulse Oximeter, PowerPoint, The Bioengineering Institute, Worcester Polytechnic Institute, 8 pages. Undated.
- R. J. Duckworth et al., Field Testing of a Wireless Wearable Reflectance Pulse Oximeter Printout, Department of Electrical and Computer Engineering and Department of Biomedical Engineering, Worcester Polytechnic Institute, 1 page. Undated.
- V. Floroff, "PDA Interface for the WPI Wireless Physiological Monitor," Directed research, Department of Biomedical Engineering, Worcester Polytechnic Institute, Mar. 2006, 42 pages.
- Wireless Wearable Reflectance Pulse Oximeter, PowerPoint, The Bioengineering Institute, Worcester Polytechnic Institute, TATRC, 10 pages. Undated.
- V. Floroff, "Remote Pulse Oximetry: The wireless side of the TATRC project." Thesis, Worcester Polytechnic Institute, Feb. 2005, pp. 1-20.
- Y. Mendelson et al., "The Feasibility of Measuring SpO2 from the Head Using a Reflectance Pulse Oximeter: Effect of Motion Artifacts," Proceeding of the 3rd European Medical & Biological Engineering Conference, 2005, 5 pages.
- Y. Mendelson, "Wearable, Wireless, Noninvasive Physiological Sensing," The Bioengineering Institute, Worcester Polytechnic Institute, 2005, 2 pages.
- Y. Mendelson et al., "Wireless Reflectance Pulse Oximetery for Remote Triage Application," Worcester Polytechnic Institute, 1 page. Undated.
- A. C. M. Dassel et al., "Reflectance Pulse Oximetry at the Forehead Improves by Pressure on the Probe," Journal of Clinical Monitoring, vol. 11, No. 4, Jul. 1995, pp. 237-244.
- A. Tura et al., "A Wearable Device with Wireless Bluetooth-based Data Transmission," Measurement Science Review, vol. 3, Sec. 2, 2003, pp. 1-4.
- Akira Sakane et al., "Estimating Arterial Wall Impedance using a Plethysmogram," IEEE 2003, pp. 580-585.
- B. McGarry et al., "Reflections on a candidate design of the user-interface for a wireless vital-signs monitor," Proceedings of DARE 2000 on Designing Augmented Reality Environments, Jan. 2000, pp. 33-40.
- B.-H. Yang et al., "Development of the ring sensor for healthcare automation," Robotics and Autonomous Systems, 2000, pp. 273-281.
- B-H. Yang et al., "A Twenty-Four Hour Tele-Nursing System Using a Ringer Sensor," Proceedings of 1998 IEEE International Conference on Robotics and Automation, May 16-20, 1998, 6 pages.

- B-S. Lin etal., "RTWPMS: A Real-Time Wireless Physiological Monitoring System," IEEE Transactions on Information Technology in Biomedicine, vol. 10, No. 4, Oct. 2006, pp. 647-656.
- Burritt, Mary F.; Current Analytical Approaches to Measuring Blood Analytes; vol. 36; No. 8(B); 1990.
- C. J. Pujary, "Investigation of Photodetector Optimization in Reducing Power Consumption by a Noninvasive Pulse Oximeter Sensor," Worcester Polytechnic Institute, Jan. 16, 2004, 133 pages.
- C. Pujary et al., "Photodetector Size Considerations in the Design of a Noninvasive Reflectance Pulse Oximeter for Telemedicine Applications," Proceedings of IEEE Annual Northeast Bioengineering Conference, 2003, pp. 148-149.
- C. W. Mundt et al., "A Multiparameter Wearable Physiologic Monitoring System for Space and Terrestrial Applications," IEEE Transactions on Information Technology in Biomedicine, vol. 9, No. 3, Sep. 2005, pp. 382-391.
- D. C. Zheng and Y. T. Zhang, "A ring-type device for the noninvasive measurement of arterial blood pressure," Proceedings of the 25th Annual International Conference of the IEEE Engineering in Medicine and Biology Society (IEEE Cat. No. 03CH37439), Sep. 17-21, 2003, Cancun, pp. 3184-3187 vol. 4.
- D. Konstantas et al., "Mobile Patient Monitoring: The MobiHealth System," In Proceedings of International Conference on Medical and Care Compunetics, NCC'04, Feb. 2004, 8 pages.
- D. Marculescu et al., "Ready to Ware," IEEE Spectrum, vol. 40,
- Issue 10, Oct. 2003, pp. 28-32. E. Higurashi et al., "An integrated laser blood flowmeter," Journal of Lightwave Technology, vol. 21, No. 3, pp. 591-595, Mar. 2003. Eiji Higurashi et al., "Hybrid integration technologies for optical micro-systems", Proc. SPIE 5604, Optomechatronic Micro/Nano Components, Devices, and Systems, Oct. 25, 2004, pp. 67-73.
- European Office Action issued in Application No. 09791157.2, dated Jun. 20, 2016.
- European Office Action issued in application No. 10763901.5 dated Jan. 11, 2013.
- European Office Action issued in application No. 10763901.5 dated Aug. 6, 2015.
- European Office Action issued in application No. 10763901.5 dated Aug. 27, 2014.
- Fabio Buttussi et al., "MOPET: A context-aware and user-adaptive wearable system for fitness training," Artificial Intelligence in Medicine 42, 2008, pp. 153-163.
- G. Comtois et al., "A Noise Reference Input to an Adaptive Filter Algorithm for Signal Processing in a Wearable Pulse Oximeter," IEEE, 2007, pp. 106-107.
- G. Comtois, "A Comparative Evaluation of Adaptive Noise Cancellation Algorithms for Minimizing Motion Artifacts in a Forehead-Mounted Wearable Pulse Oximeter," Proceedings of the 29th Annual international Conference of the IEEE EMBS, Aug. 23-26, 2007, pp. 1528-1531
- G. Tamannagari, "Power Efficient Design of Finder-Ring Sensor for Patient Monitoring," Master of Science in Electrical Engineering, The University of Texas at San Antonio, College of Engineering, Department of Electrical Engineering, Dec. 2008, 74 pages.
- H.H. Asada et al., "Mobile Monitoring with Wearable Photoplethysmographic Biosensors," IEEE Engineering in Medicine and Biology Magazine, May/Jun. 2003, pp. 28-40.
- Hall, et al., Jeffrey W.; Near-Infrared Spectrophotometry: A New Dimension in Clinical Chemistry; vol. 38; No. 9; 1992.
- http://amivital.ugr.es/blog/?tag+spo2; Monitorizacion de la hemoglobina . . . y mucho mas, printed on Aug. 20, 2009.
- http://blogderoliveira.blogspot.com/2008_02_01_archive.html; Ricardo Oliveira, printed on Aug. 20, 2009.
- http://www.masimo.com/generalFloor/system.htm; Masimo Patient SafetyNet System at a Glance, printed on Aug. 20, 2009.
- http://www.masimo.com/partners/GRASEBY.htm; Graseby Medical Limited, printed on Aug. 20, 2009.
- http://www.masimo.com/PARTNERS/WELCHALLYN.htm; Welch Allyn Expands Patient Monitor Capabilities with Masimo Pulse Oximetry Technology, printed on Aug. 20, 2009.
- http://www.masimo.com/pulseOximeter/PPO.htm; Masimo Personal Pulse Oximeter, printed on Aug. 20, 2009.

Page 19

(56) References Cited

OTHER PUBLICATIONS

http://www.masimo.com/pulseOximeter/Rad5.htm; Signal Extraction Pulse Oximeter, printed on Aug. 20, 2009.

http://www.masimo.com/rad-57/; Noninvasive Measurement of Methemoglobin, Carboxyhemoglobin and Oxyhemoglobin in the blood. Printed on Aug. 20, 2009.

http://www.masimo.com/rainbow/pronto.htm Noninvasive & Immediate Hemoglobin Testing, printed on Aug. 20, 2009.

http://www.masimo.com/spco/; Carboxyhemoglobin Noninvasive > Continuous > Immediate, printed on Aug. 20, 2009.

International Preliminary Report on Patentability and Written Opinion for International Application No. PCT/US2016/040190, dated Jan. 2, 2018, in 7 pages.

International Preliminary Report on Patentability and Written Opinion of the International Searching Authority issued in Application No. PCT US2009/049638, dated Jan. 5, 2011 in 9 pages.

International Preliminary Report on Patentability and Written Opinion of the International Searching Authority issued in Application No. PCT/US2009/052756, dated Feb. 8, 2011 in 8 pages.

International Search Report and Written Opinion for PCT/US2009/049638, dated Jan. 7, 2010.

International Search Report issued in Application No. PCT/US2009/052756, dated Feb. 10, 2009 in 14 pages.

International Search Report, App. No. PCT/US2010/047899, Date of Actual Completion of Search: Jan. 26, 2011, 4 pages.

J Kraitl et al., "An optical device to measure blood components by a photoplethysmographic method," Journal of Optics A: Pure and Applied Optics. 7, 2005, pp. S318-S324.

J. A. Tamada et al., "Noninvasive Glucose Monitoring: Comprehensive Clinical Results," JAMA, Nov. 17, 1999, vol. 282, No. 19, pp. 1839-1844.

J. C. D. Conway et al., "Wearable computer as a multi-parametric monitor for physiological signals," Proceedings IEEE International Symposium on Bio-Informatics and Biomedical Engineering, Arlington, VA, USA, 2000, pp. 236-242.

Japanese Notice of Allowance, re JP Application No. 2011-516895, dated May 12, 2015, no translation.

Japanese Office Action, re JP Application No. 2011-516895, dated Sep. 2, 2014, with translation.

K. Nakajima et al., "Monitoring of heart and respiratory rates by photoplethysmography using digital filtering technique," Med. Eng. Phy. vol. 18, No. 5, pp. 365-372, 1996.

Kanukurthy et al., "Data Acquisition Unit for an Implantable Multi-Channel Optical Glucose Sensor", Electro/Information Technology Conference, Chicago, IL, USA, May 17-20, 2007, pp. 1-6. Konig et al., "Reflectance Pulse Oximetry—Principles and Obstetric Application in the Zurich System", Journal of Clinical Monitoring and Computing, vol. 14, No. 6, Aug. 1998, pp. 403-412.

Kuenstner, et al., J. Todd; Measurement of Hemoglobin in Unlysed Blood by Near-Infrared Spectroscopy; vol. 48; No. 4, 1994.

L. Grajales et al., "Wearable multisensor heart rate monitor," International Workshop on Wearable and Implantable Body Sensor Networks (BSN'06), Cambridge, MA, 2006, pp. 4-157.

L. Xu et al., "An integrated wrist-worn routine monitoring system for the elderly using BSN," 2008 5th International Summer School and Symposium on Medical Devices and Biosensors, Hong Kong, 2008, pp. 45-48.

Laukkanen RM et al., "Heart Rate Monitors: State of the Art," Journal of Sports Science, Jan. 1998, pp. S3-S7.

M. Savage et al., "Optimizing Power Consumption in the Design of a Wearable Wireless Telesensor: Comparison of Pulse Oximeter Modes," Proceedings of IEEE 29th Annual Nonheust Bioengineering Conference, 2003, pp. 150-151.

M. Yamashita et al., "Development of a Ring-Type Vital Sign Telemeter," Biotelemetry XIII, Mar. 26-31, 1995, pp. 145-150. Manzke, et al., B., Multi Wavelength Pulse Oximetry in the Measurement of Hemoglobin Fractions; SPIE, vol. 2676, Apr. 24, 1996. Mendelson et al., "A Mobile PDA-Based Wireless Pulse Oximeter," Proceedings of the IASTED International Conference Telehealth, Jul. 19-21, 2005, pp. 1-6.

Mendelson et al., "A Wearable Reflectance Pulse Oximeter for Remote Physiological Monitoring," Proceedings of the 28th IEEE EMBS Annual International Conference, Aug. 30-Sep. 3, 2006, pp. 912-915.

Mendelson et al., "Accelerometery-Based Adaptive Noise Cancellation for Remote Physiological Monitoring by a Wearable Pulse Oximeter," Proceedings of the 3rd IASTED International Conference TELEHEALTH, May 31-Jun. 1, 2007, pp. 28-33.

Mendelson et al., "Measurement Site and Photodetector Size Considerations in Optimizing Power Consumption of a Wearable Reflectance Pulse Oximeter," Proceedings of the 25th Annual International Conference of the IEEE EMBS, Sep. 17-21, 2003, pp. 3016-3019.

Mendelson et al., "Minimization of LED Power Consumption in the Design of a Wearable Pulse Oximeter," Proceedings of the IASTED International Conference Biomedical Engineering, Jun. 25-27, 2003, 6 pages.

Townsend, "Pulse Oximetry," Medical Electronics, 2001, pp. 32-42. Naumenko, E. K.; Choice of Wavelengths for Stable Determination of Concentrations of Hemoglobin Derivatives from Absorption Spectra of Erythrocytes; vol. 63; No. 1; pp. 60-66 Jan.-Feb. 1996; Original article submitted Nov. 3, 1994.

Nonin Medical, Inc., "Operator's Manual—Models 8600F0 and 8600F0M Pulse Oximeters," 2005, 25 pages.

Nuria Oliver et al., "HealthGear: A Real-time Wearable System for Monitoring and Analyzing Physiological Signals," Proceedings of the International Workshop on Wearable and Implantable Body Sensor Networks 2006 IEEE, pp. 1-4.

P. Branche et al., "Signal Quality and Power Consumption of a New Prototype Reflectance Pulse Oximeter Sensor," Proceeding of the 31th Annual Northeast Bioengineering Conference, Hoboken, NJ, IEEE, 2005, pp. 1-2.

P. C. Branche et al., "Measurement Reproducibility and Sensor Placement Considerations in Designing a Wearable Pulse Oximeter for Military Applications," IEEE, 2004, pp. 216-217.

P. Celka et al., "Motion Resistant Earphone Located Infrared Based Hearth Rate Measurement Device," In Proceeding of the 2nd International Conference on Biomedical Engineering, Innsbruck, Austria, Feb. 16-18, 2004, pp. 582-585.

P. Lukowicz et al., "AMON: A Wearable Medical Computer for High Risk Patient," Proceedings of the 6th International Symposium on Wearable Computers (ISWC'02), 2002, pp. 1-2.

P. Lukowicz et al., "The WearARM Modular, Low-Power Computing Core," IEEE Micro, May-Jun. 2001, pp. 16-28.

P. Renevey et al., "Wrist-Located Pulse Detection Using IR Signals, Activity and Nonlinear Artifact Cancellation," Proceedings of the 23rd Annual EMBS International Conference, Oct. 25-28, 2001, pp. 3030-3033.

P. Shaltis et al., "Novel Design for a Wearable, Rapidly Depolyable, Wireless Noninvasive Triage Sensor," Proceedings of the 2005 IEEE, Engineering in Medicine and Biology 27th Annual Conference, Sep. 1-4, 2005, pp. 3567-3570.

P. T. Gibbs et al., "Active Motion Artifact Cancellation for Wearable Health Monitoring Sensors Using Collocated MEMS Accelerometers," Proceedings of SPIE Smart Structures and Materials: Sensors and Smart Structures Technologies for Civil, Mechanical, and Aerospace Systems, May 17, 2005, pp. 811-819.
P.S. Pandian et al., "Smart Vest: Wearable Multi-Parameter Remote

P.S. Pandian et al., "Smart Vest: Wearable Multi-Parameter Remote Physiological Monitoring System," Medical Engineering & Physics 30, 2008. pp. 466-477.

R. Fensli et al., "A Wireless ECG System for Continuous Event Recording and Communication to a Clinical Alarm Station," Conf Proc IEEE Eng Med Biol Soc, 2004, pp. 1-4.

R. P. Dresher et al., "A New Reflectance Pulse Oximeter Housing to Reduce Contact Pressure Effects," IEEE, 2006, pp. 49-50.

R. P. Dresher et al., "Reflectance Forehead Pulse Oximetry: Effects on Contact Pressure During Walking," Proceedings of the 28th IEEE EMBS Annual International Conference, Aug. 30-Sep. 3, 2006, pp. 3529-3532.

R. Paradiso, "Wearable Health Care System for Vital Signs Monitoring," In Proceedings of IEEE International Conference on Information Technology Applications in Biomedicine, May 2003, pp. 283-286.

Page 20

(56) References Cited

OTHER PUBLICATIONS

Russell Dresher, "Wearable Forehead Pulse Oximetry: Minimization of Motion and Pressure Artifacts," May 3, 2006, 93 pages. S. Pentland, "Healthwear: Medical Technology Becomes Wearable," IEEE Computer Society, vol. 37, Issue 5, May 2004, pp. 34-41.

S. Rhee et al., "Artifact-Resistant, Power Efficient Design of Finger-Ring Plethysmographic Sensors, Part I: Design and Analysis," 22^{nd} Annual International Conference IEEE Engineering in Medicine and Biology Society, Jul. 23-28, 2000, pp. 2792-2795.

S. Rhee et al., "Design of a Artifact-Free Wearable Plethysmographic Sensor," 21st Annual International Conference IEEE Engineering in Medicine and Biology Society, Oct. 13-16, 1999, p. 786.

S. Rhee et al., "The Ring Sensor: a New Ambulatory Wearable Sensor for Twenty-Four Hour Patient Monitoring," Proceedings of the 20th Annual International Conference of the IEEE Engineering in Medicine and Biology Society, Oct. 29-Nov. 1, 1998, 4 pages. S. Warren et al., "Designing Smart Health Care Technology into the Home of the Future," Workshops on Future Medical Devices: Home Care Technologies for the 21st Century, Apr. 1999, 19 pages.

Schmitt, et al., Joseph M.; Measurement of Blood Hematocrit by Dual-Wavelength near-IR Photoplethysmography; vol. 1641; 1992. Schmitt, Joseph M.; Simple Photon Diffusion Anaylsis of the Effects of Multiple Scattering on Pulse Oximetry; Mar. 14, 1991; revised Aug. 30, 1991.

Schnapp, et al., L.M.; Pulse Oximetry. Uses and Abuses.; Chest 1990; 98; 1244-1250 DOI 10.1378/Chest.98.5.1244.

Small et al., "Data Handling Issues for Near-Infrared Glucose Measurements", http://www.ieee.org/organizations/pubs/newsletters/leos/apr98/datahandling.htm, accessed Nov. 27, 2007.

Smith, "The Pursuit of Noninvasive Glucose: 'Hunting the Deceitful Turkey'", 2006.

Sokwoo Rhee et al., "Artifact-Resistant Power-Efficient Design of Finger-Ring Plethysmographic Sensors," IEEE Transactions on Biomedical Engineering, Jul. 2001, pp. 795-805, vol. 48, No. 7. Sonnia Maria López Silva et al., "Near-infrared transmittance pulse oximetry with laser diodes," Journal of Biomedical Optics vol. 8 No. 3, Jul. 2003, pp. 525-533.

Stephen A. Mascaro et al., "Measurement of Finger Posture and Three-Axis Fingertip Touch Force Using Fingernail Sensors," IEEE International Conference on Robotics and Automation, 2002, pp. 1-11.

Stephen A. Mascaro et al., "Photoplethysmograph Fingernail Sensors for Measuring Finger Forces Without Haptic Obstruction," IEEE Transactions on Robotics and Automation, vol. 17, No. 5, Oct. 2001, pp. 698-708.

T. Kiyokura et al., "Wearable Laser Blood Flowmeter for Ubiquitous Healthcare Service," 2007 IEEE/LEOS International Conference on Optical MEMS and Nanophotonics, Hualien, 2007, pp. 4-5. T. Martin et al., "Issues in Wearable Computing for Medical Montioring Applications: A Case Study of a Wearable ECG Monitoring Device," In Proceedings of International Symposium of Wearable Computers (ISWC'00), Feb. 2000, pp. 43-49.

T. Torfs et al., "Body-Heat Powered Autonomous Pulse Oximeter," IEEE Sensors 2006, EXCO, Oct. 22-25, 2006, pp. 427-430.

Takumi Morita et al., "Integrated Blood Flowmeter Using Micromachining Technology," Dec. 2004, pp. 77-80.

U. Anliker et al., "AMON: A Wearable Multiparameter Medical Monitoring and Alert System," IEEE Transactions on Information Technology in Biomedicine, Jan. 2005, pp. 1-11.

W. Johnston et al., "Extracting Heart Rate Variability from a Wearable Reflectance Pulse Oximeter," IEEE, 2005, pp. 1-2.

W. S. Johnston et al., "Extracting Breathing Rate Information from a Wearable Reflectance Pulse Oximeter Sensor," Proceedings of the 26th Annual International Conference of the IEEE EMBS, Sep. 1-5, 2004, pp. 5388-5391.

W. S. Johnston et al., "Investigation of Signal Processing Algorithms for an Embedded Microcontroller-Based Wearable Pulse Oximeter," Proceedings of the 28th IEEE EMBS Annual International Conference, Aug. 30-Sep. 3, 2006, pp. 5888-5891.

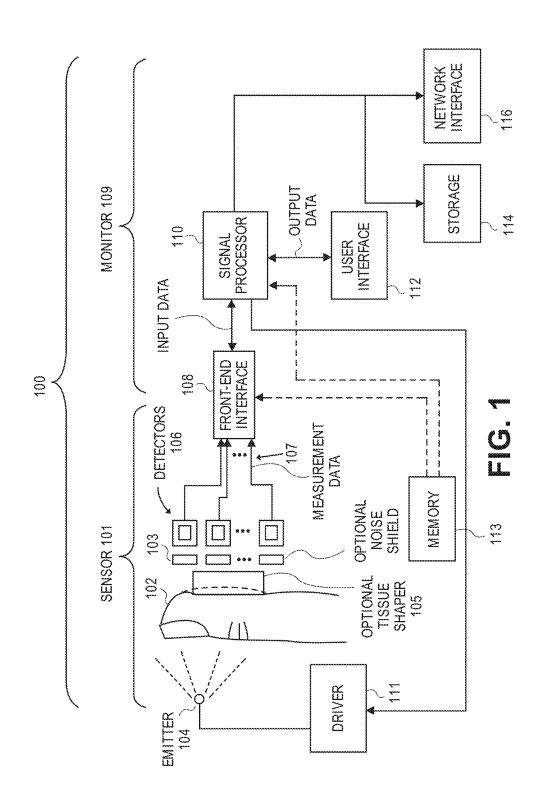
Y-S. Yan et al., "An Efficient Motion-Resistant Method for Wearable Pulse Oximeter," IEEE Transactions on Information Technology in Biomedicine, vol. 12, No. 3, May 2008, pp. 399-405.

Yuan-Hsiang Lin et al., "A wireless PDA-based physiological monitoring system for patient transport," IEEE Transactions on Information Technology in Biomedicine, vol. 8, No. 4, pp. 439-447, Dec. 2004.

^{*} cited by examiner

Mar. 16, 2021

Sheet 1 of 65



U.S. Patent Mar. 16, 2021 Sheet 2 of 65

21 Sheet 2 of 65 US 10,945,648 B2

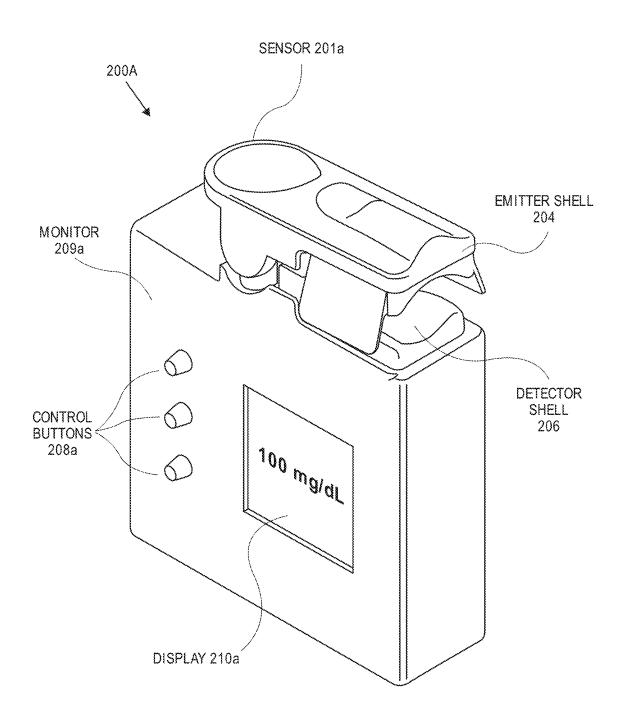
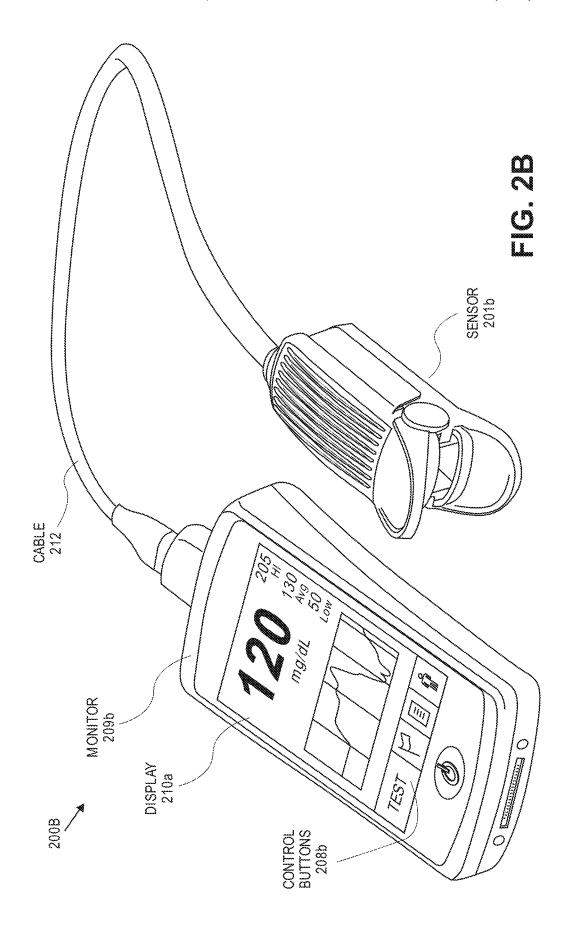


FIG. 2A

U.S. Patent Mar. 16, 2021 Sheet 3 of 65 US 10,945,648 B2



Mar. 16, 2021

Sheet 4 of 65

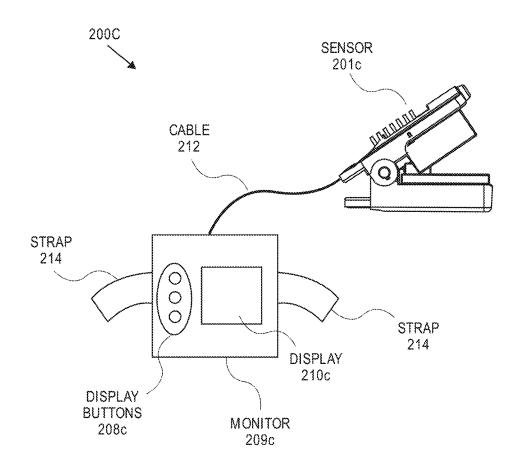


FIG. 2C

Mar. 16, 2021

Sheet 5 of 65

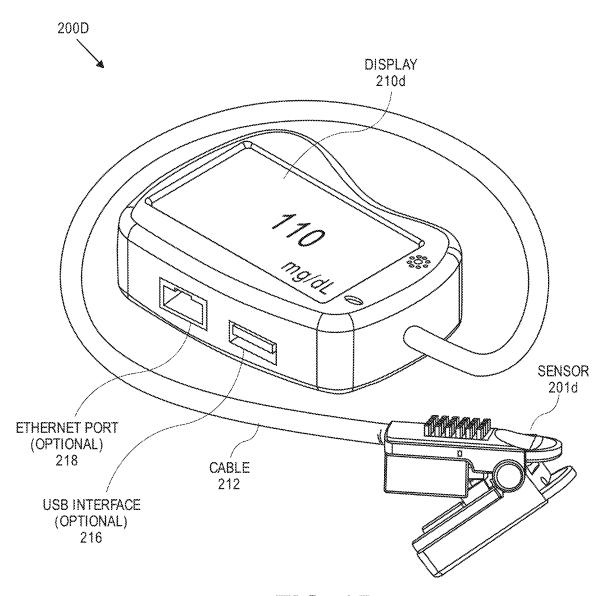
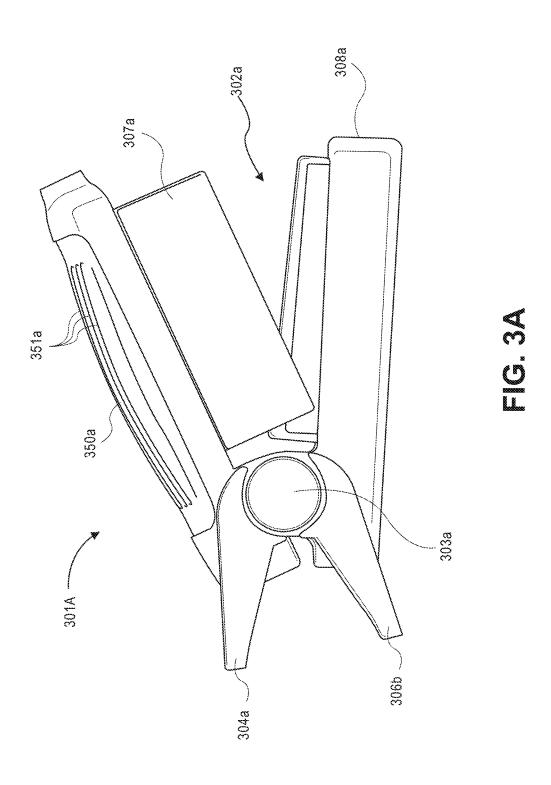


FIG. 2D

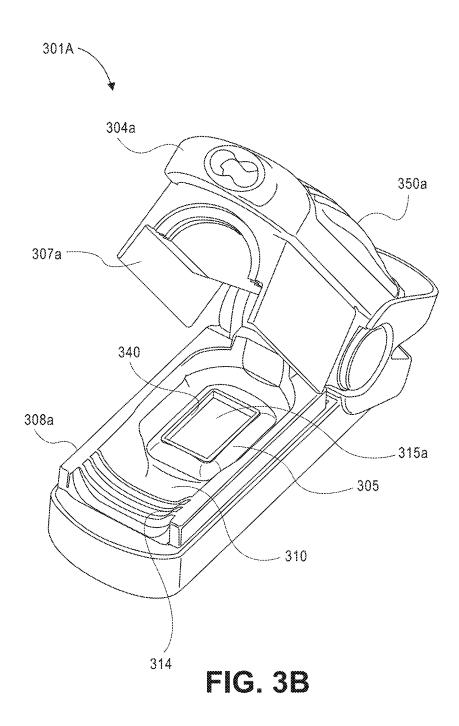
Mar. 16, 2021

Sheet 6 of 65



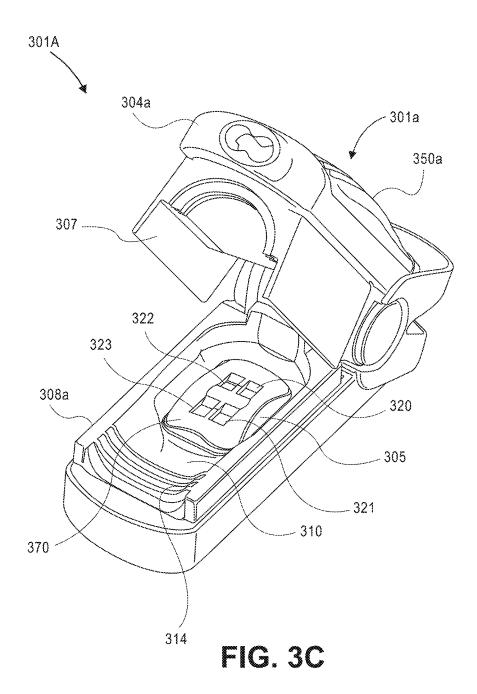
Mar. 16, 2021

Sheet 7 of 65



Mar. 16, 2021

Sheet 8 of 65



Mar. 16, 2021

Sheet 9 of 65

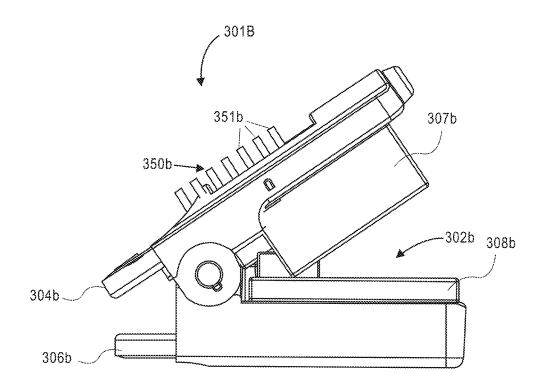


FIG. 3D

Mar. 16, 2021

Sheet 10 of 65

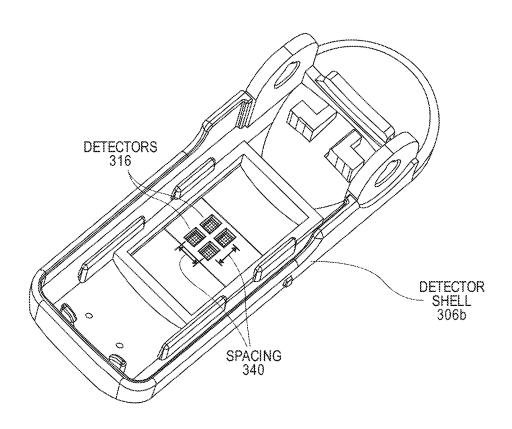
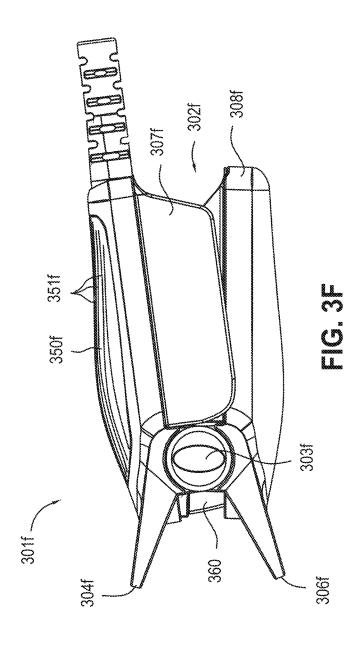


FIG. 3E

Mar. 16, 2021

Sheet 11 of 65



Mar. 16, 2021

Sheet 12 of 65

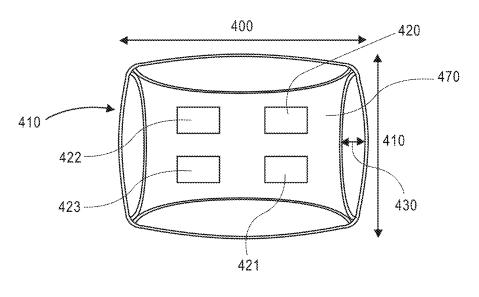


FIG. 4A

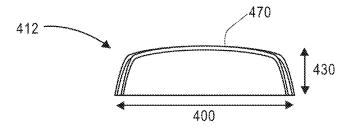
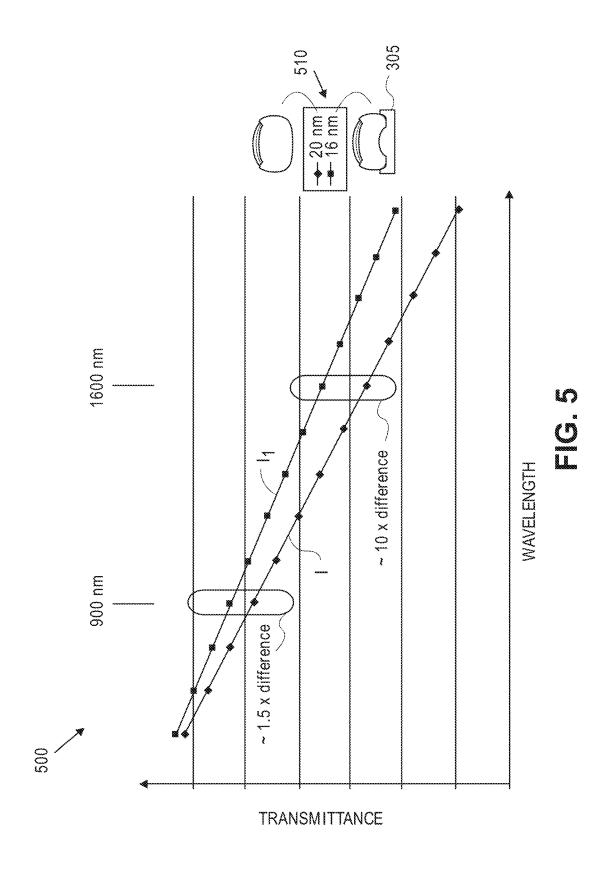


FIG. 4B
420
470
423
421

FIG. 4C

Mar. 16, 2021

Sheet 13 of 65



U.S. Patent

Mar. 16, 2021

Sheet 14 of 65

US 10,945,648 B2

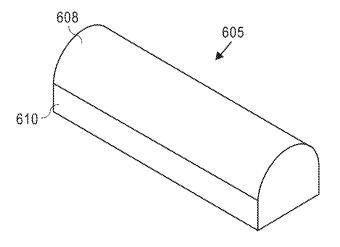
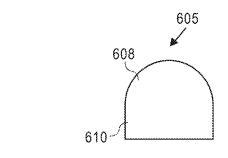


FIG. 6A



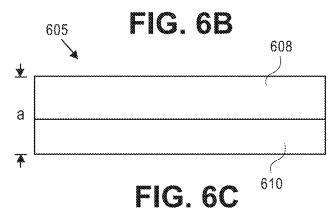
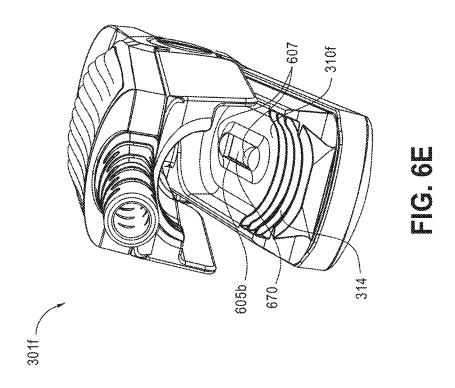




FIG. 6D

Mar. 16, 2021

Sheet 15 of 65



Mar. 16, 2021

Sheet 16 of 65

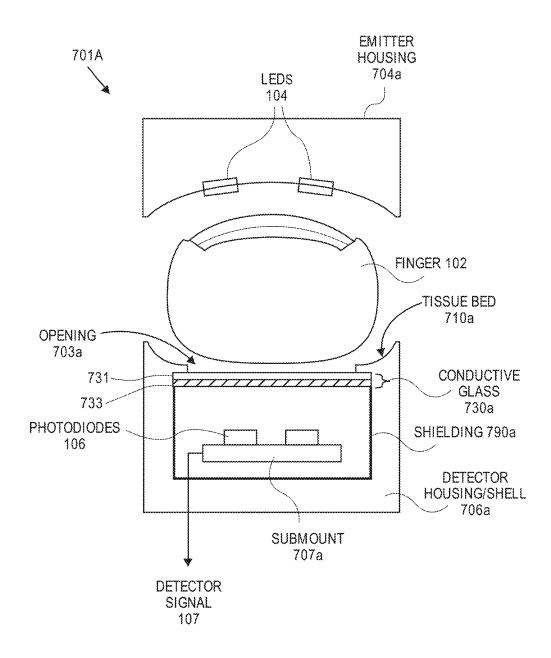


FIG. 7A

Mar. 16, 2021

Sheet 17 of 65

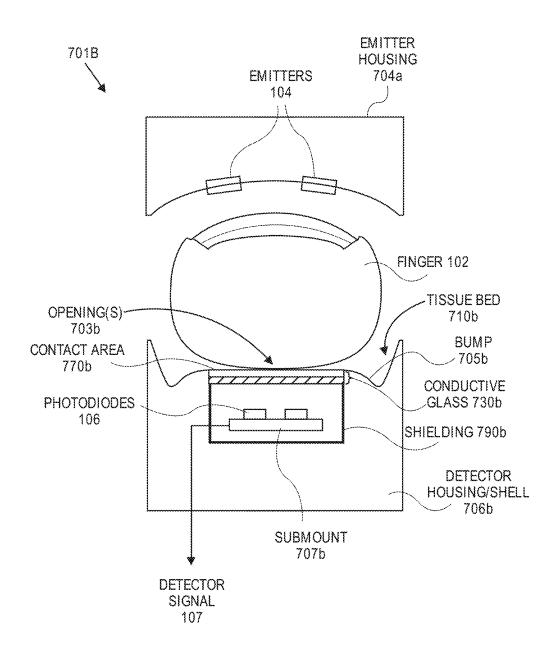


FIG. 7B

U.S. Patent Mar. 16, 2021 Sheet 18 of 65 US 10,945,648 B2

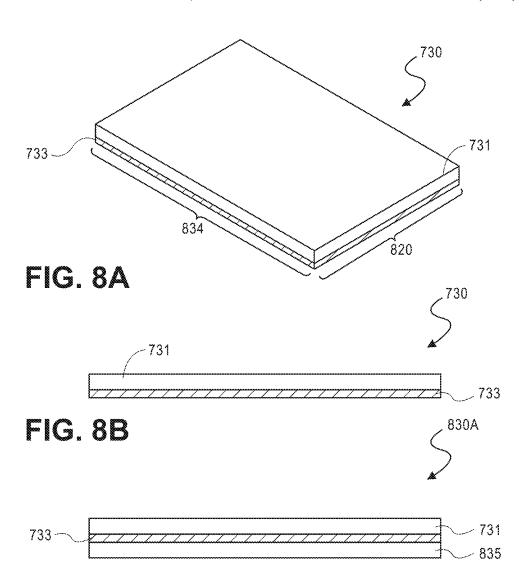
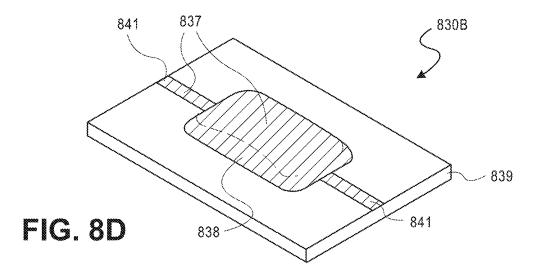
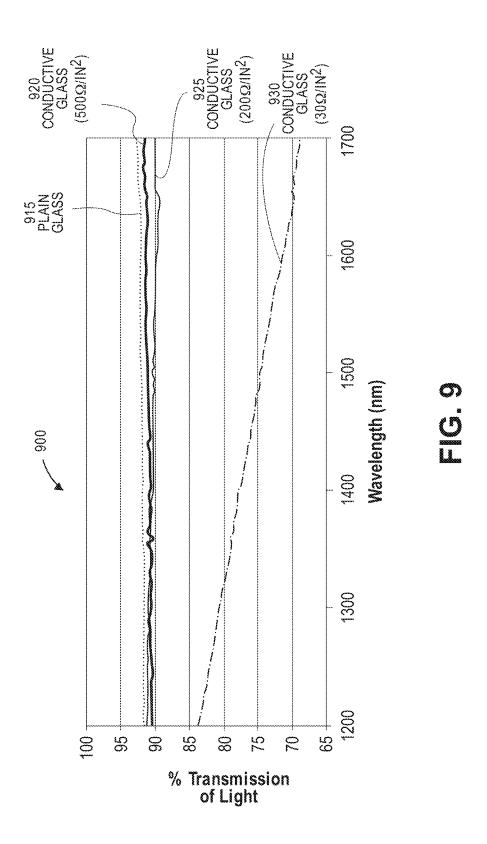


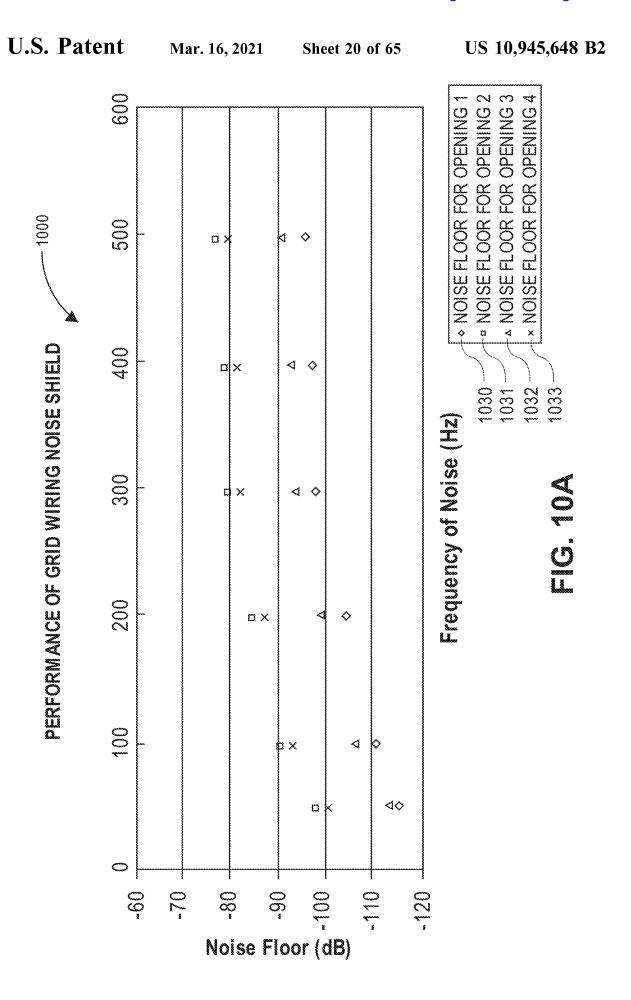
FIG. 8C

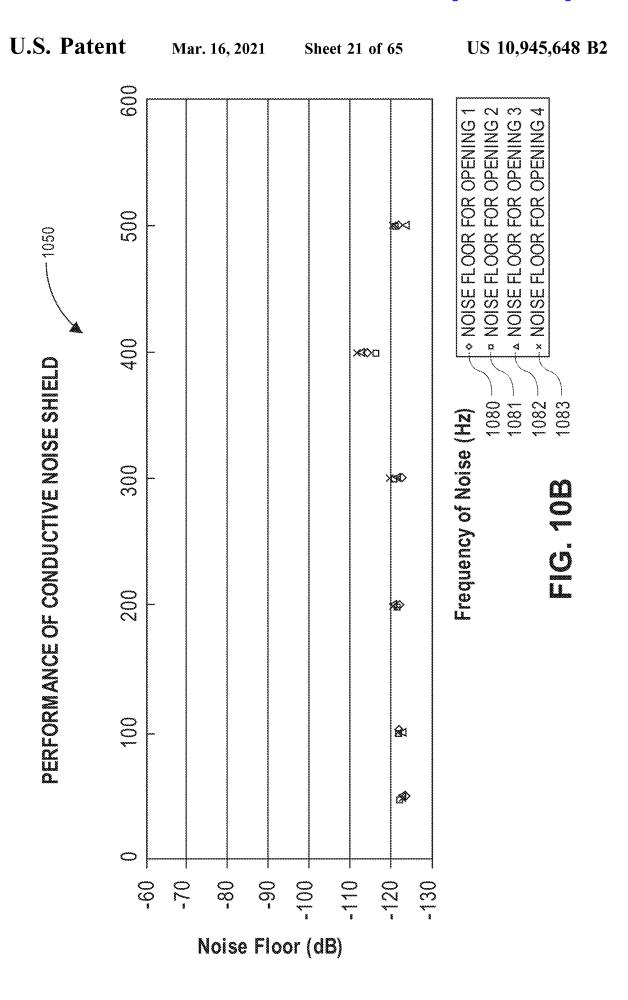


Mar. 16, 2021

Sheet 19 of 65

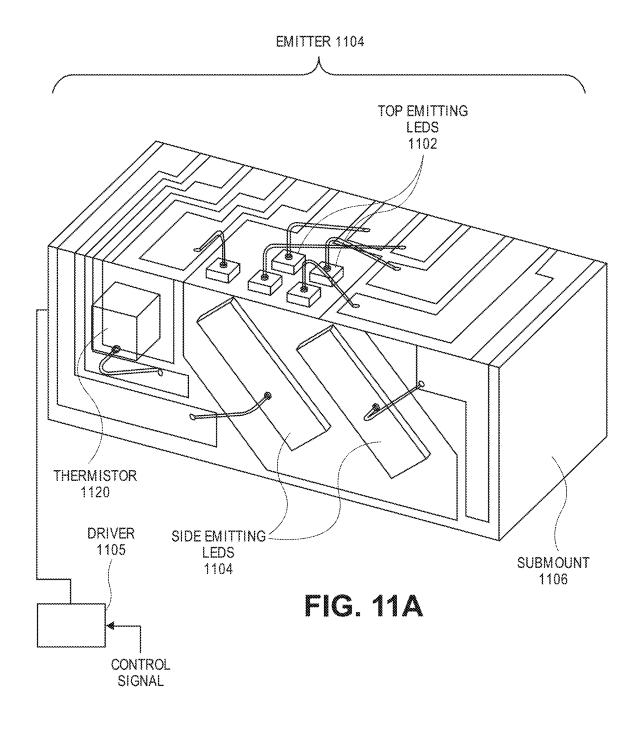






Mar. 16, 2021

Sheet 22 of 65



Mar. 16, 2021

Sheet 23 of 65

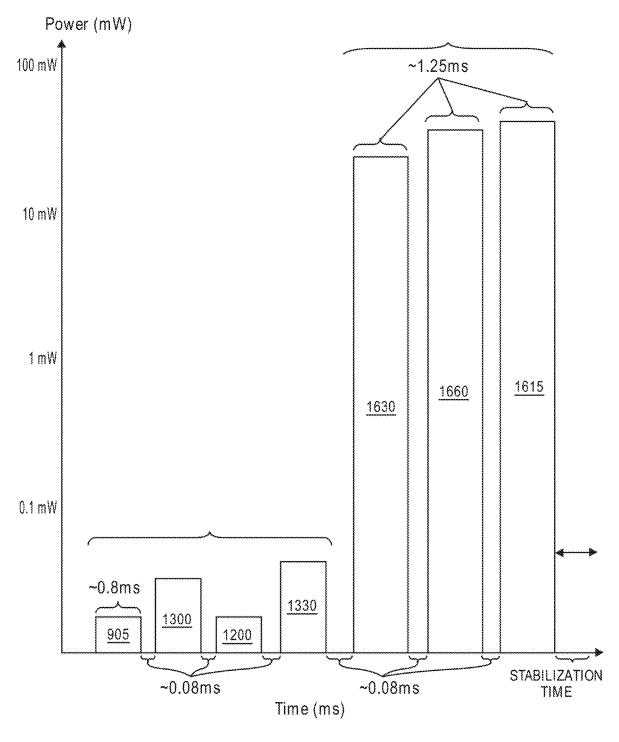
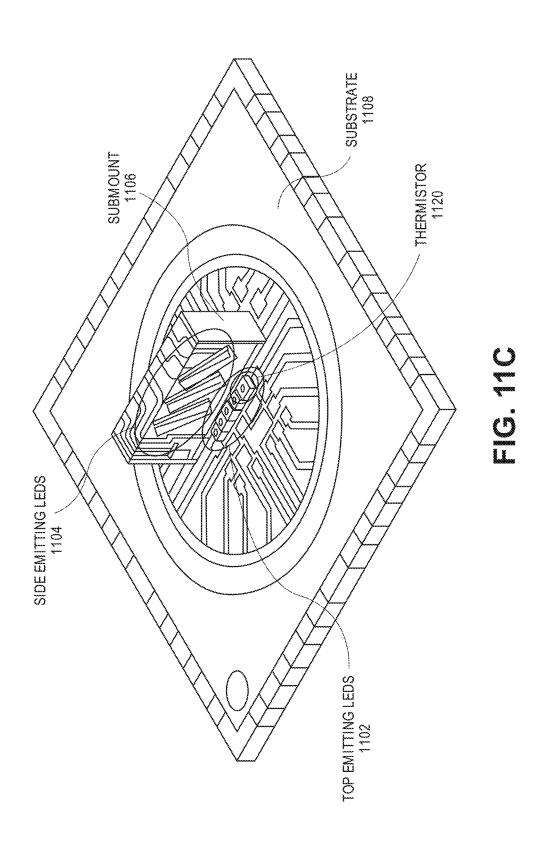


FIG. 11B

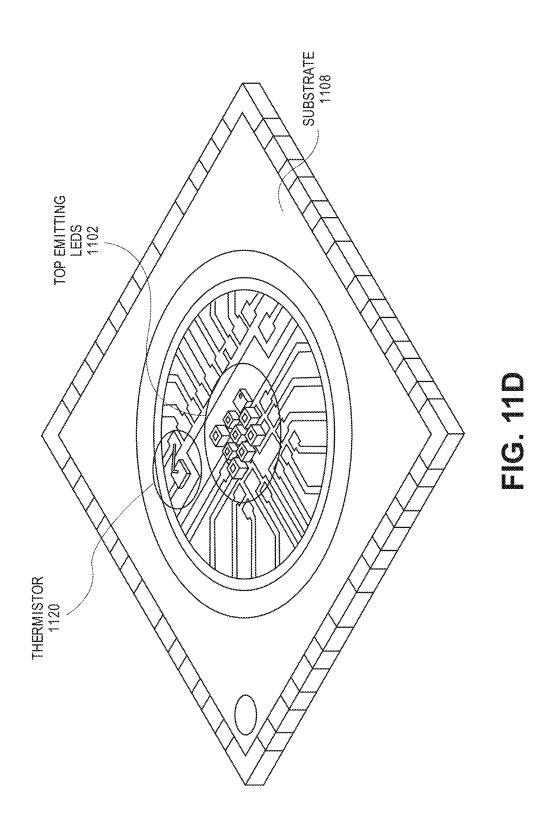
Mar. 16, 2021

Sheet 24 of 65



Mar. 16, 2021

Sheet 25 of 65



Mar. 16, 2021

Sheet 26 of 65

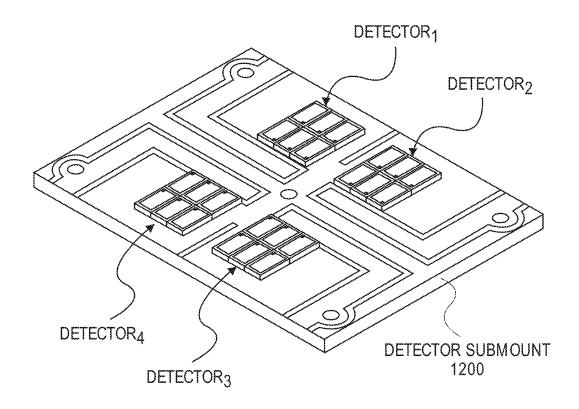
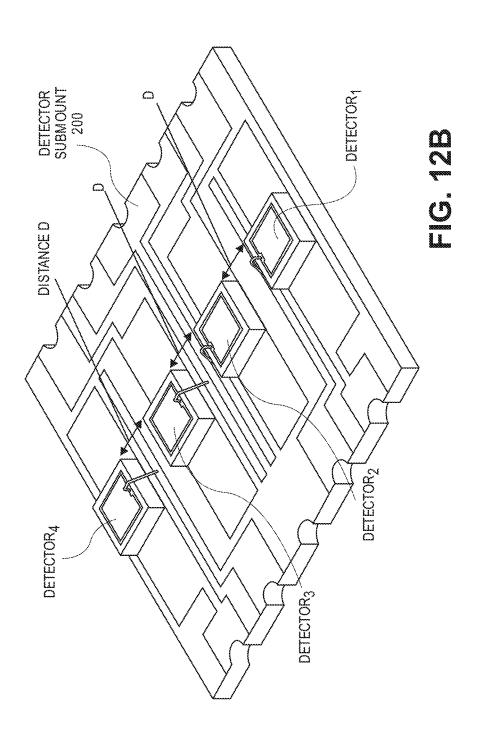


FIG. 12A

Mar. 16, 2021

Sheet 27 of 65



U.S. Patent Mar. 16, 2021 Sheet 28 of 65

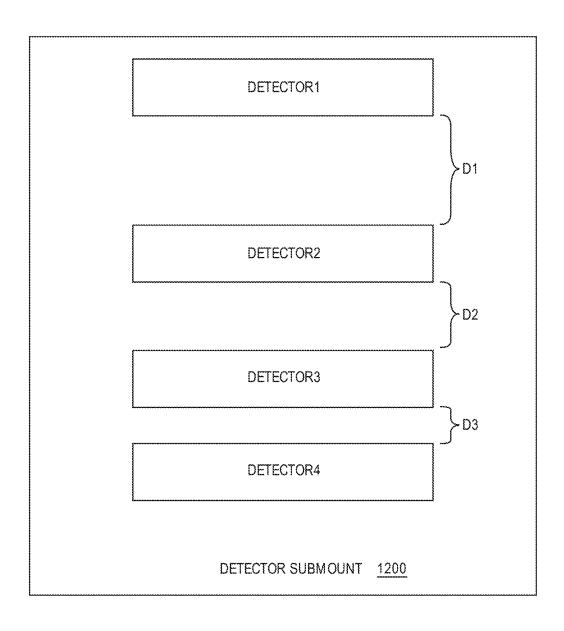


FIG. 12C

Mar. 16, 2021 Sheet 29 of 65

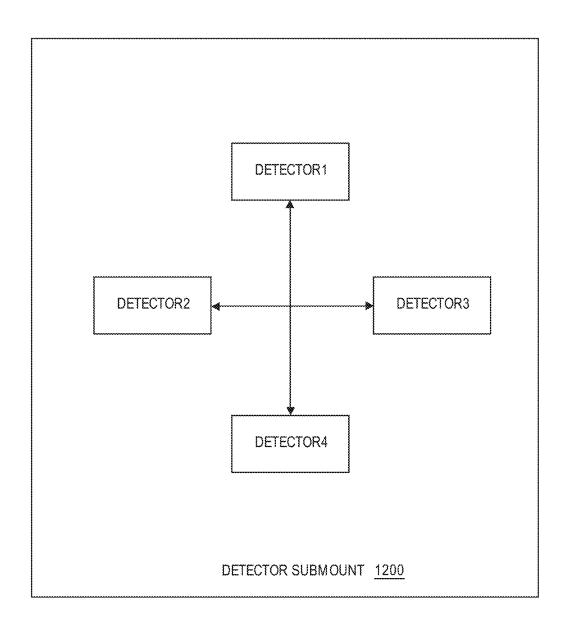


FIG. 12D

Mar. 16, 2021

Sheet 30 of 65

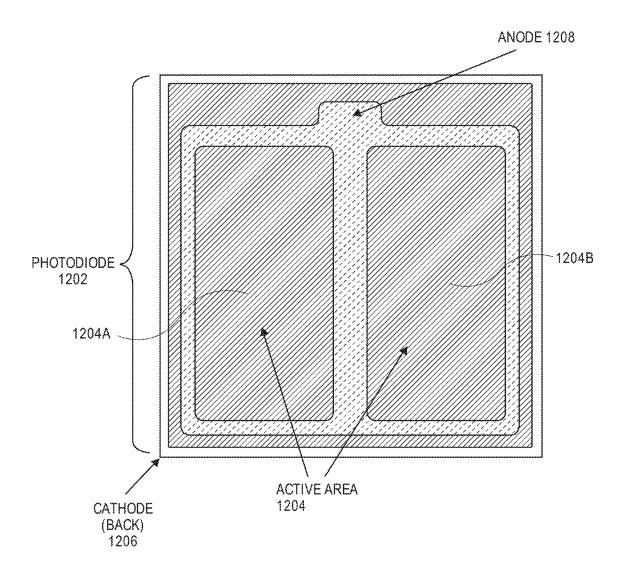


FIG. 12E

Mar. 16, 2021

Sheet 31 of 65

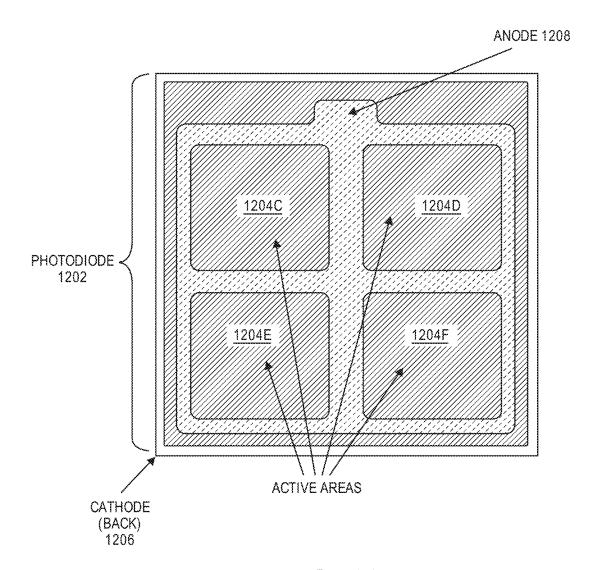


FIG. 12F

Mar. 16, 2021

Sheet 32 of 65

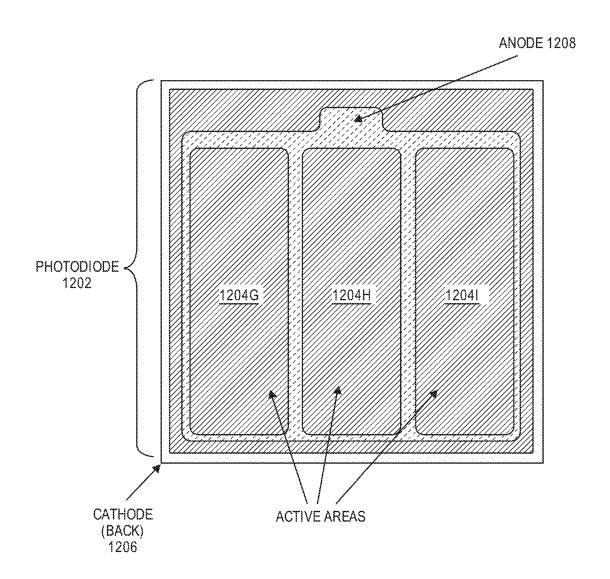


FIG. 12G

Mar. 16, 2021

Sheet 33 of 65

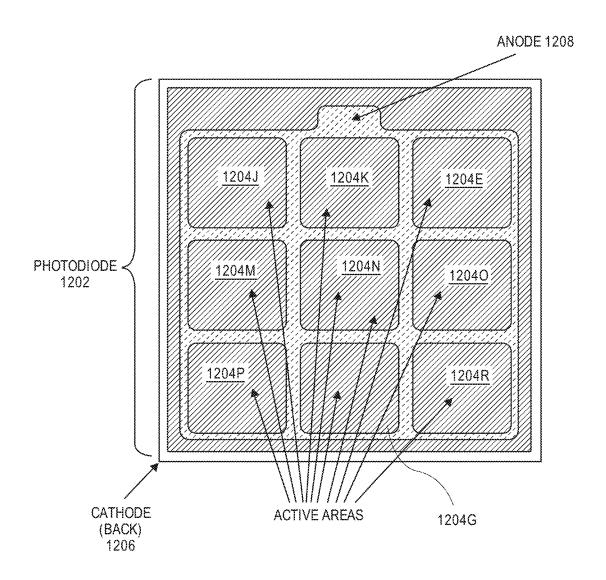
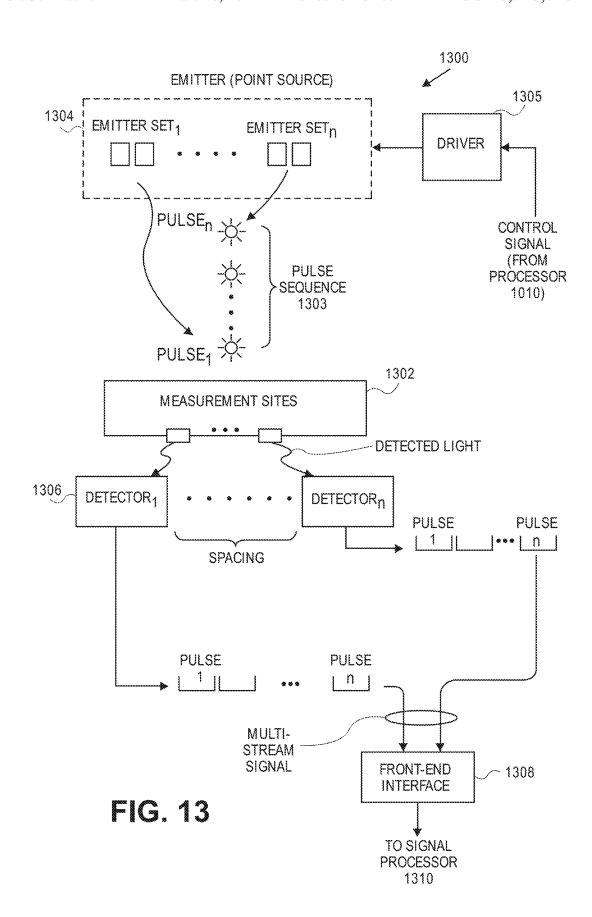


FIG. 12H

Mar. 16, 2021

Sheet 34 of 65



Mar. 16, 2021

Sheet 35 of 65

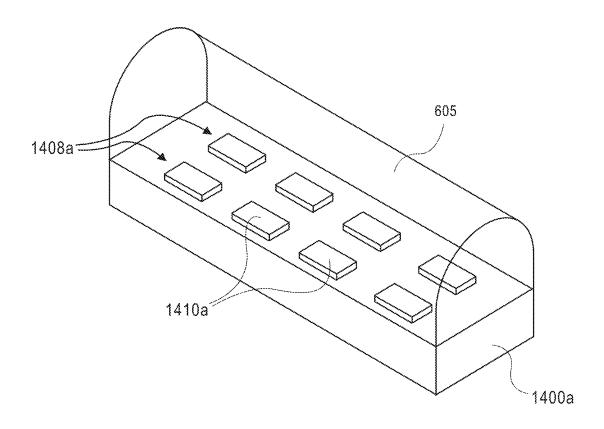


FIG. 14A

Mar. 16, 2021

Sheet 36 of 65

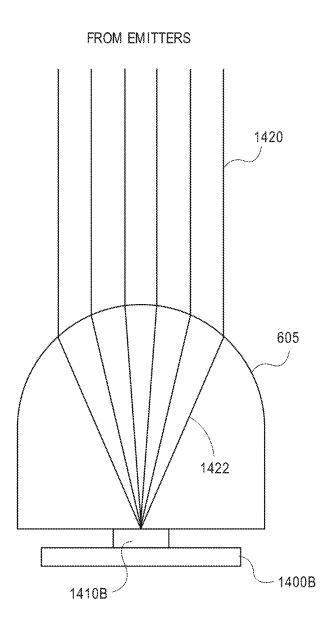


FIG. 14B

Mar. 16, 2021

Sheet 37 of 65

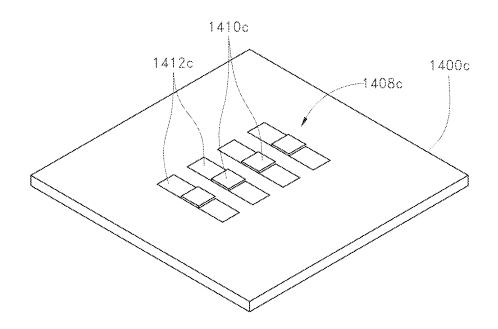


FIG. 14C

Mar. 16, 2021

Sheet 38 of 65

US 10,945,648 B2

38/65

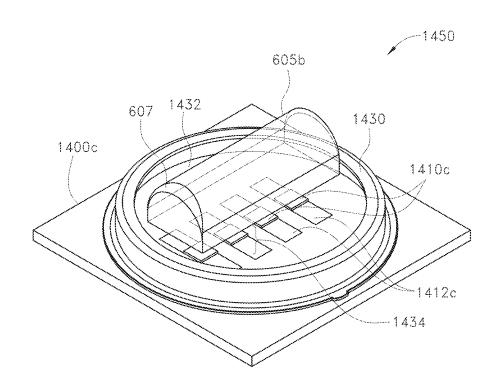


FIG. 14D

Mar. 16, 2021

Sheet 39 of 65

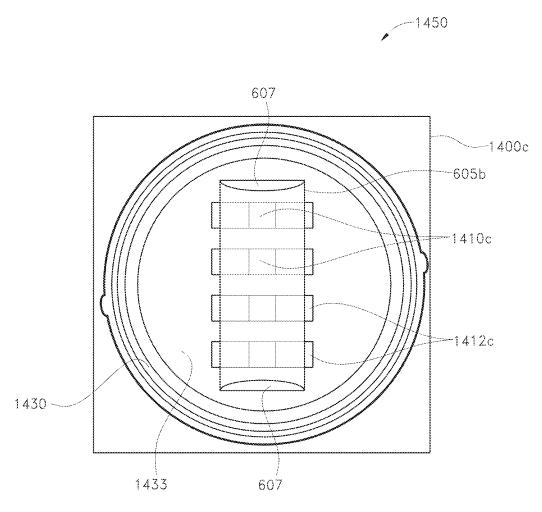


FIG. 14E

Mar. 16, 2021

Sheet 40 of 65

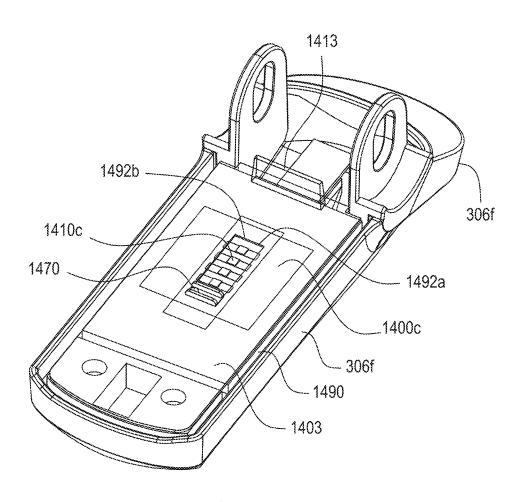


FIG. 14F

Mar. 16, 2021

Sheet 41 of 65

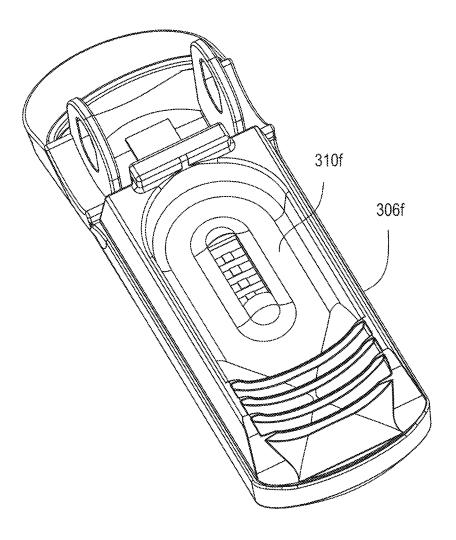


FIG. 14G

Mar. 16, 2021

Sheet 42 of 65

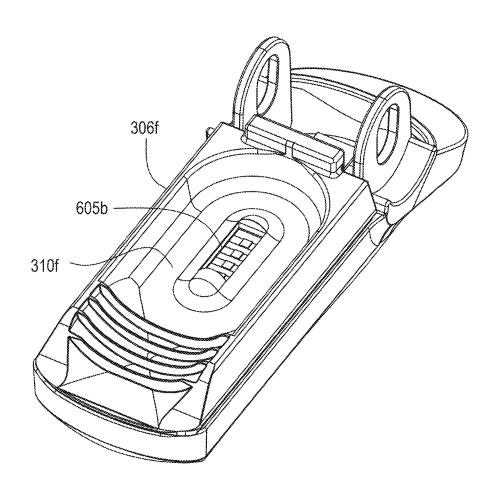


FIG. 14H

Mar. 16, 2021

Sheet 43 of 65

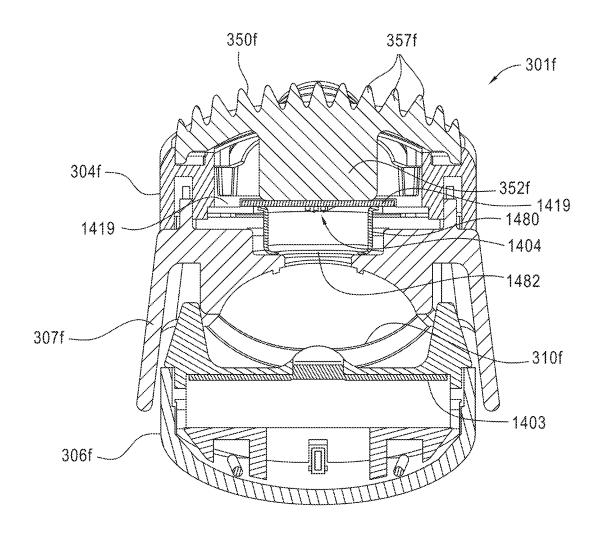
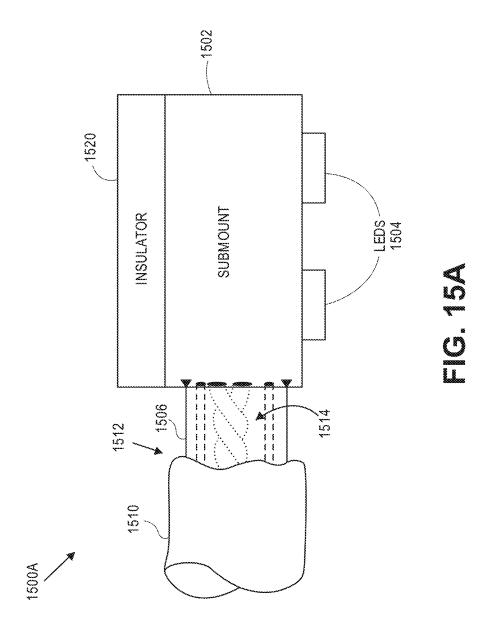


FIG. 141

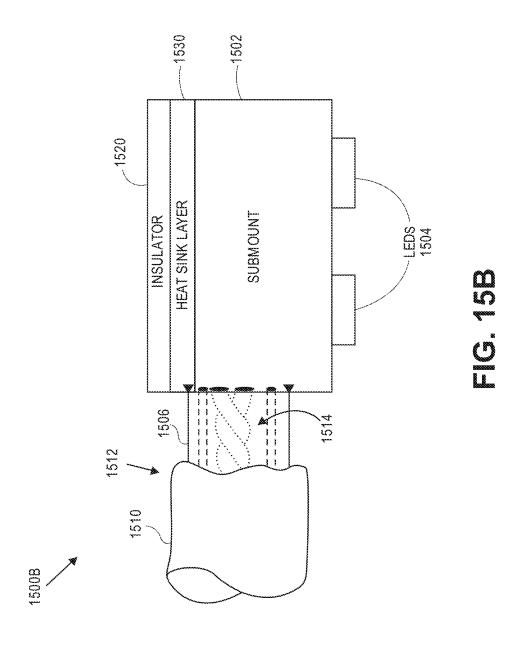
Mar. 16, 2021

Sheet 44 of 65



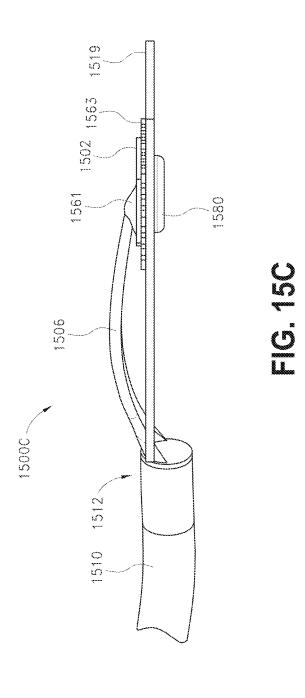
Mar. 16, 2021

Sheet 45 of 65



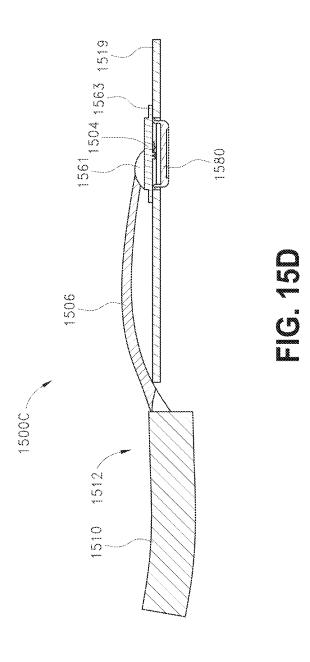
Mar. 16, 2021

Sheet 46 of 65



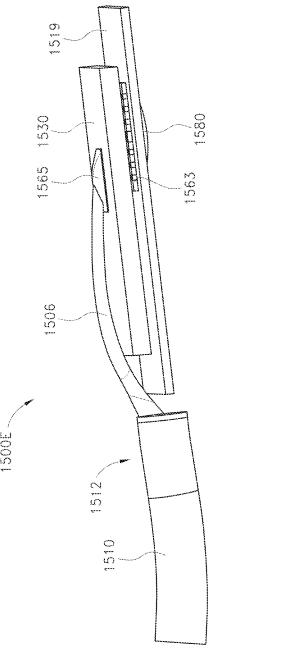
Mar. 16, 2021

Sheet 47 of 65



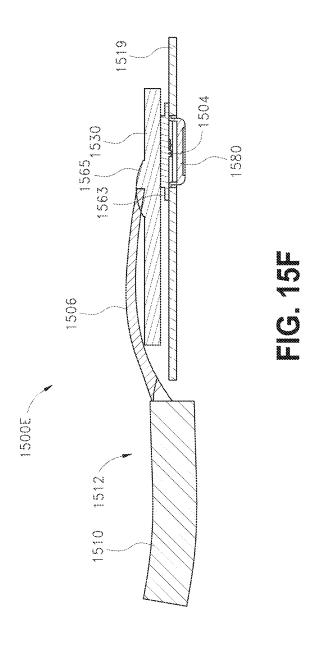
Mar. 16, 2021

Sheet 48 of 65



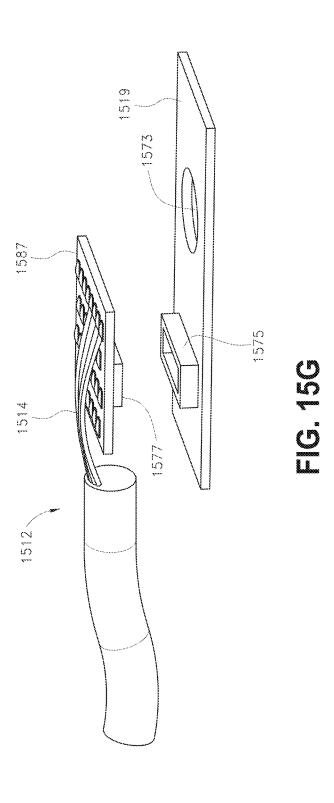
Mar. 16, 2021

Sheet 49 of 65



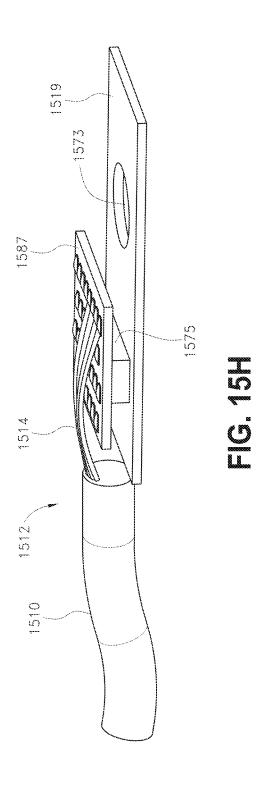
Mar. 16, 2021

Sheet 50 of 65



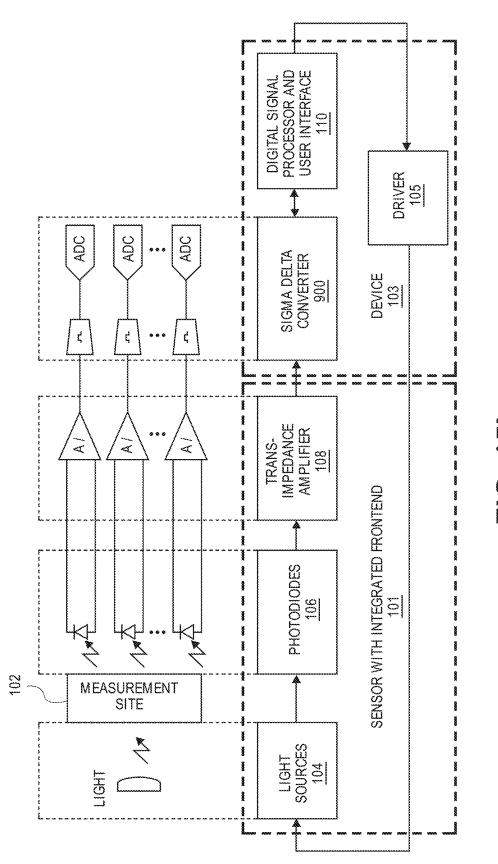
Mar. 16, 2021

Sheet 51 of 65



Mar. 16, 2021

Sheet 52 of 65



C C L

Mar. 16, 2021

Sheet 53 of 65

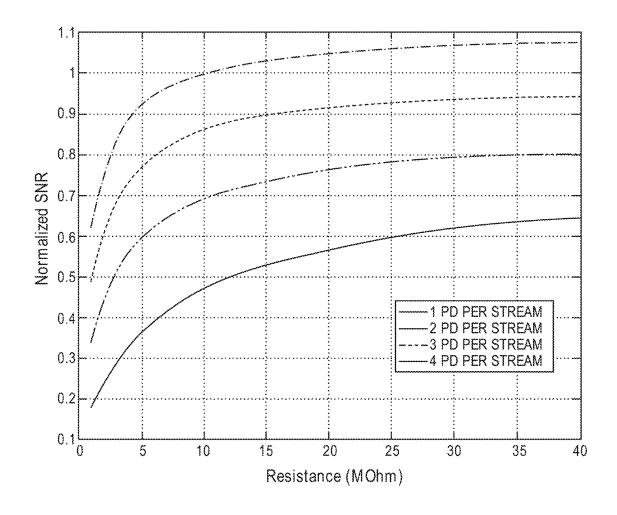
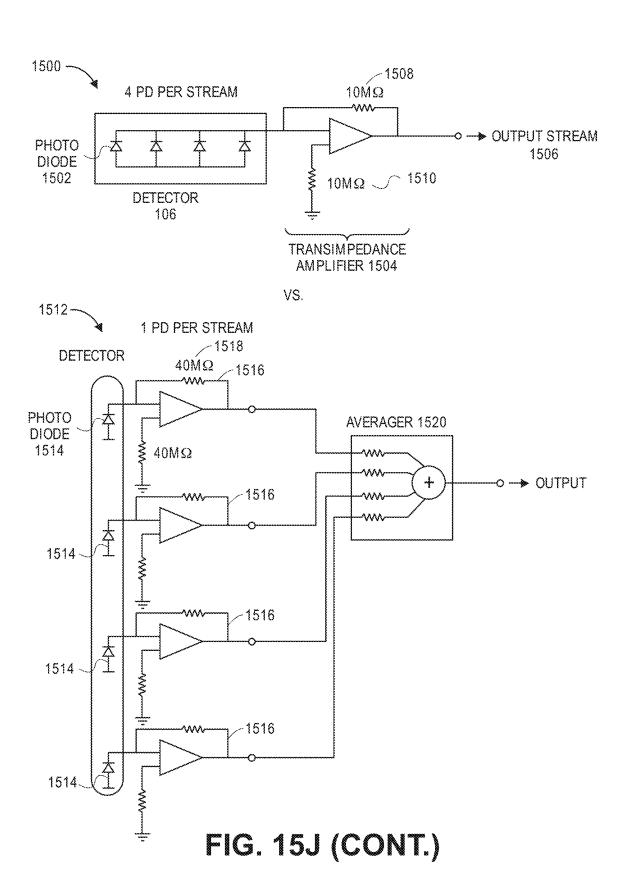


FIG. 15J

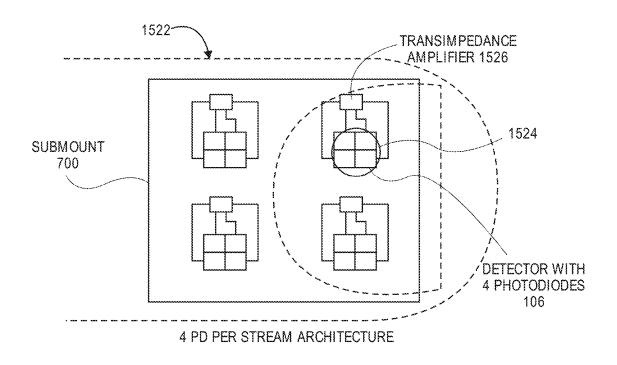
Mar. 16, 2021

Sheet 54 of 65



Mar. 16, 2021

Sheet 55 of 65



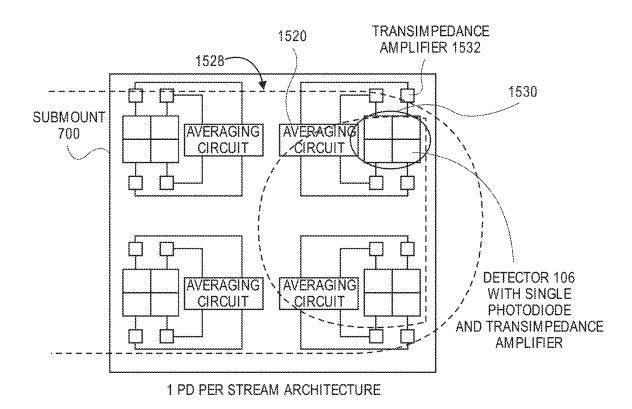
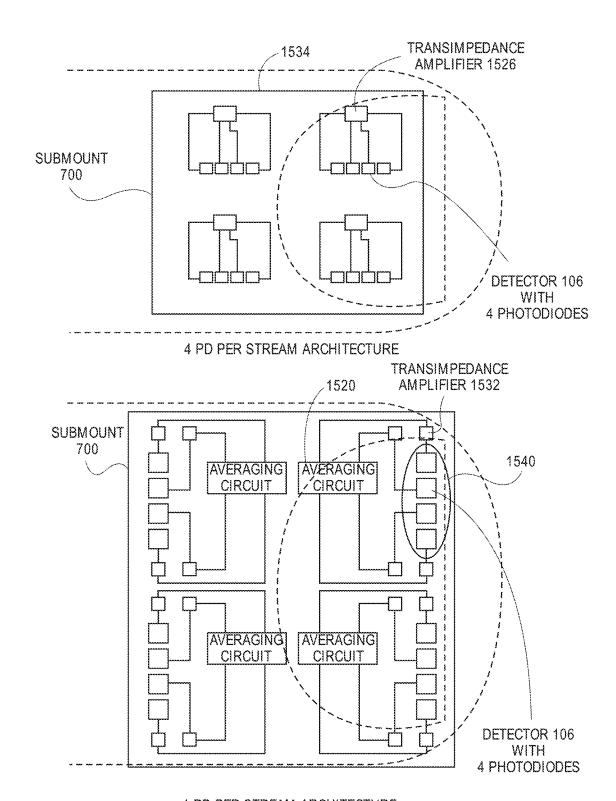


FIG. 15K

Mar. 16, 2021

Sheet 56 of 65

US 10,945,648 B2



1 PD PER STREAM ARCHITECTURE

FIG. 15K (CONT.)

Mar. 16, 2021

Sheet 57 of 65

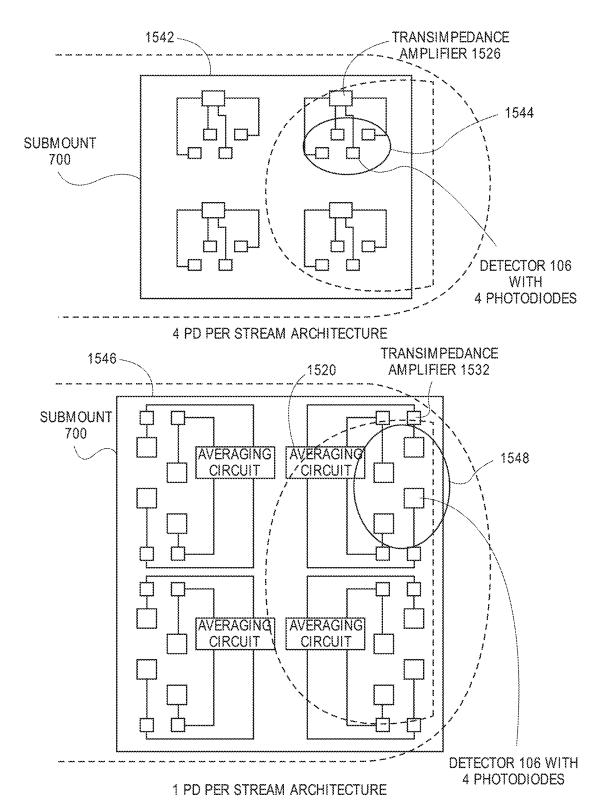
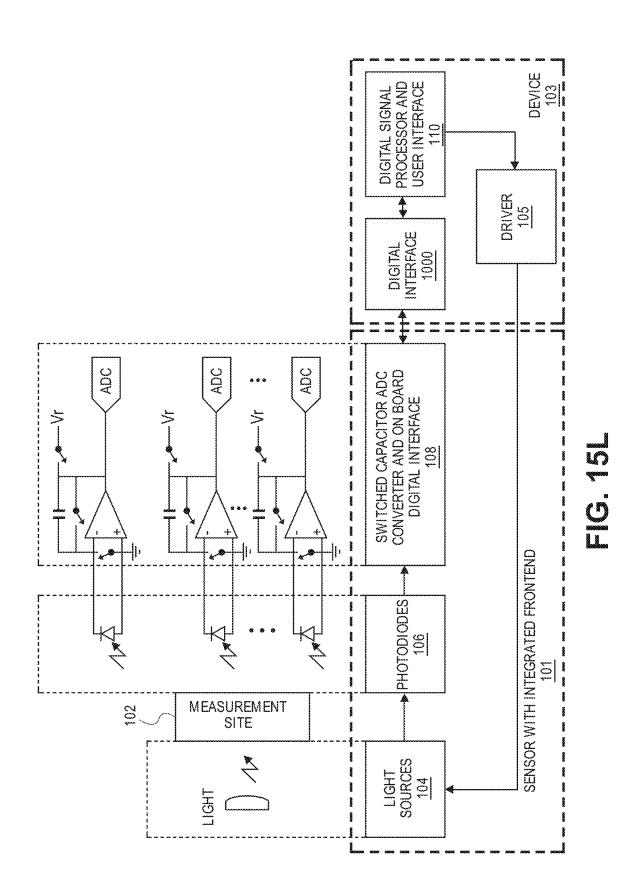


FIG. 15K (CONT.)

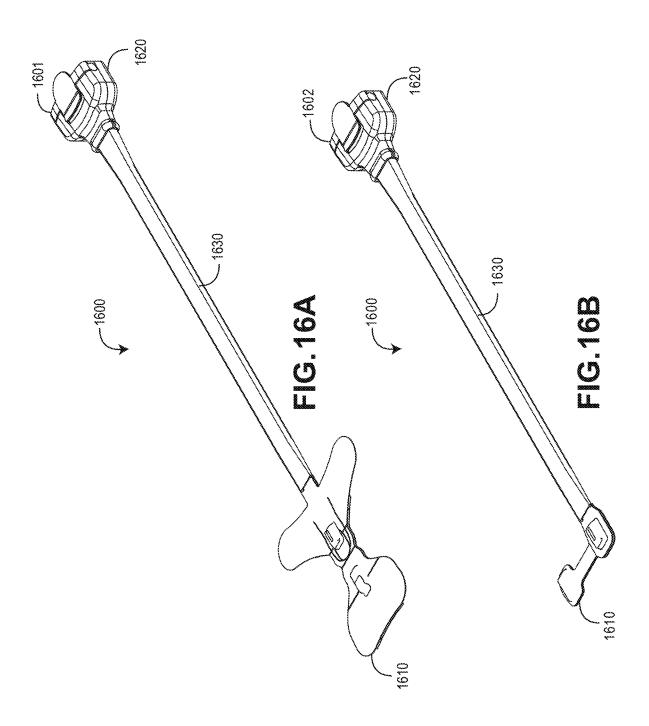
Mar. 16, 2021

Sheet 58 of 65



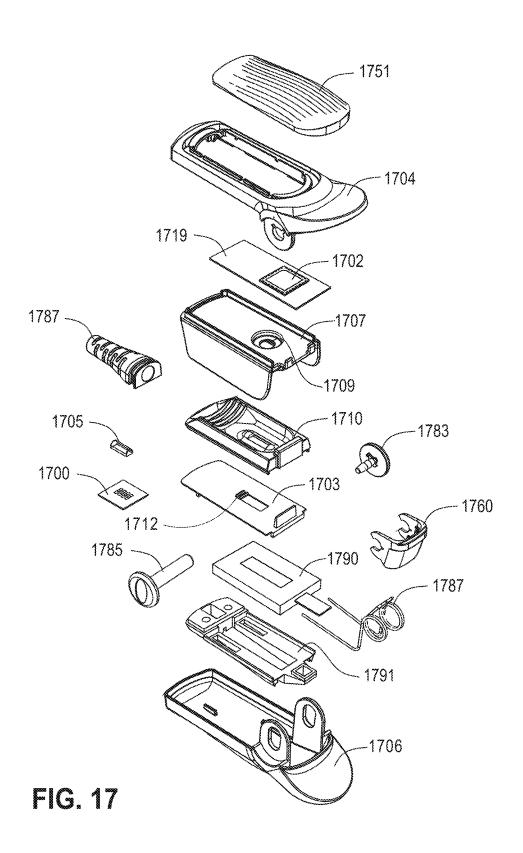
Mar. 16, 2021

Sheet 59 of 65



Mar. 16, 2021

Sheet 60 of 65



Mar. 16, 2021

Sheet 61 of 65

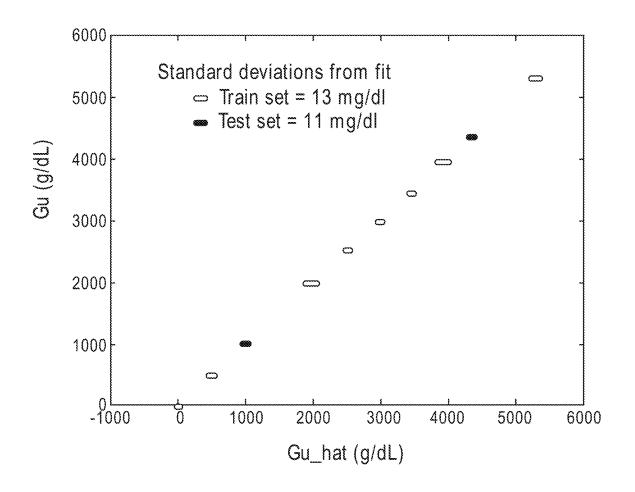


FIG. 18

Mar. 16, 2021

Sheet 62 of 65

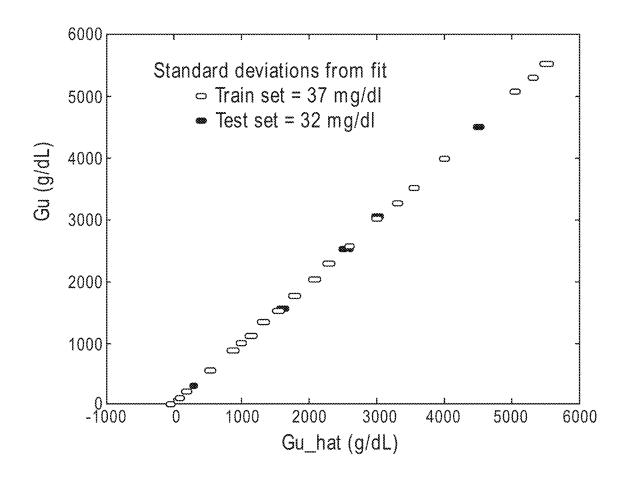


FIG. 19

Mar. 16, 2021

Sheet 63 of 65

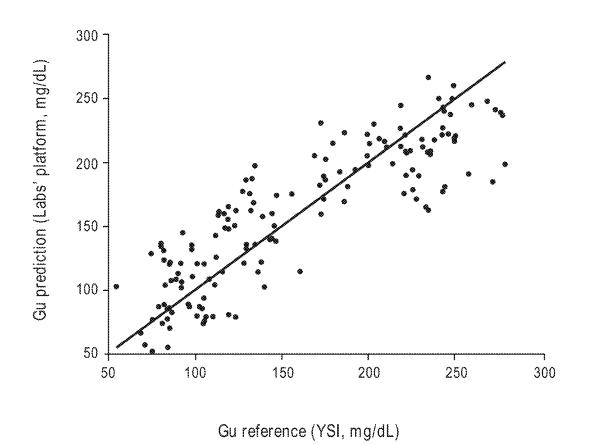


FIG. 20

Mar. 16, 2021

Sheet 64 of 65

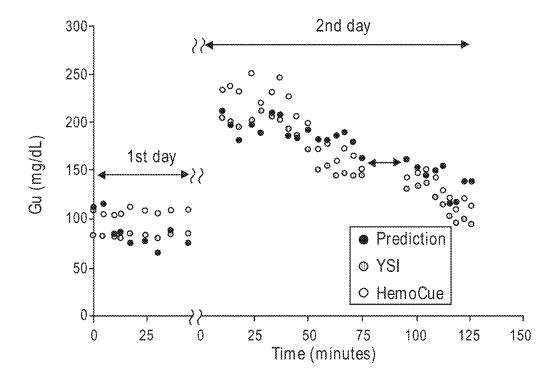


FIG. 21

Mar. 16, 2021

Sheet 65 of 65

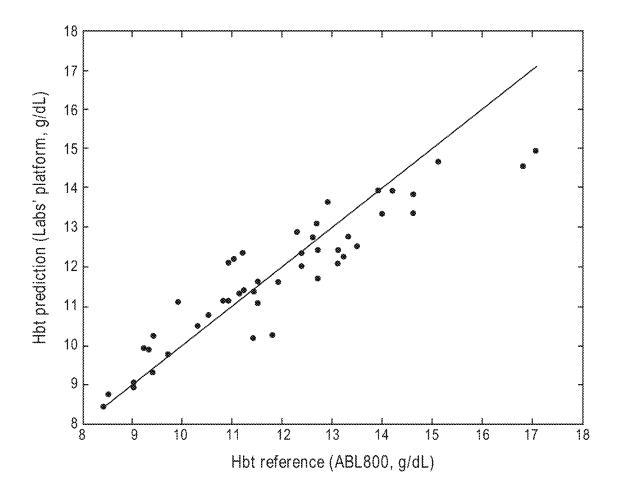


FIG. 22

1 USER-WORN DEVICE FOR NONINVASIVELY MEASURING A PHYSIOLOGICAL PARAMETER OF A USER

RELATED APPLICATIONS

This application is a continuation of U.S. patent application Ser. No. 16/834,538, filed Mar. 30, 2020, which is a continuation of U.S. patent application Ser. No. 16/725,292, filed Dec. 23, 2019, which is a continuation of U.S. patent application Ser. No. 16/534,949, filed Aug. 7, 2019, which is a continuation of U.S. patent application Ser. No. 16/409, 515, filed May 10, 2019, which is a continuation of U.S. patent application Ser. No. 16/261,326, filed Jan. 29, 2019, 15 which is a continuation of U.S. patent application Ser. No. 16/212,537, filed Dec. 6, 2018, which is a continuation of U.S. patent application Ser. No. 14/981,290 filed Dec. 28, 2015, which is a continuation of U.S. patent application Ser. No. 12/829,352 filed Jul. 1, 2010, which is a continuation of $_{20}$ U.S. patent application Ser. No. 12/534,827 filed Aug. 3, 2009, which claims the benefit of priority under 35 U.S.C. § 119(e) of the following U.S. Provisional Patent Application Nos. 61/086,060 filed Aug. 4, 2008, 61/086,108 filed Aug. 4, 2008, 61/086,063 filed Aug. 4, 2008, 61/086,057 filed Aug. 4, 2008, and 61/091,732 filed Aug. 25, 2008. U.S. patent application Ser. No. 12/829,352 is also a continuation-in-part of U.S. patent application Ser. No. 12/497,528 filed Jul. 2, 2009, which claims the benefit of priority under 35 U.S.C. § 119(e) of the following U.S. Provisional Patent 30 Application Nos. 61/086,060 filed Aug. 4, 2008, 61/086,108 filed Aug. 4, 2008, 61/086,063 filed Aug. 4, 2008, 61/086, 057 filed Aug. 4, 2008, 61/078,228 filed Jul. 3, 2008, 61/078,207 filed Jul. 3, 2008, and 61/091,732 filed Aug. 25, 2008. U.S. patent application Ser. No. 12/497,528 also 35 claims the benefit of priority under 35 U.S.C. § 120 as a continuation-in-part of the following U.S. Design Patent Application Nos. 29/323,409 filed Aug. 25, 2008 and 29/323,408 filed Aug. 25, 2008. U.S. patent application No. 12/829,352 is also a continuation-in-part of U.S. patent 40 application No. 12/497,523 filed Jul. 2, 2009, which claims the benefit of priority under 35 U.S.C. § 119(e) of the following U.S. Provisional Patent Application Nos. 61/086, 060 filed Aug. 4, 2008, 61/086,108 filed Aug. 4, 2008, 61/086,063 filed Aug. 4, 2008, 61/086,057 filed Aug. 4, 45 2008, 61/078,228 filed Jul. 3, 2008, 61/078,207 filed Jul. 3, 2008, and 61/091,732 filed Aug. 25, 2008. U.S. patent application No. 12/497,523 also claims the benefit of priority under 35 U.S.C. § 120 as a continuation-in-part of the following U.S. Design Patent Application Nos. 29/323,409 50 filed Aug. 25, 2008 and 29/323,408 filed Aug. 25, 2008.

This application is related to the following U.S. patent applications:

application No.	Filing Date	Title
12/497,528	Jul. 2, 2009	Noise Shielding for Noninvasive Device Contoured Protrusion for Improving
12/497,523	Jul. 2, 2009	Spectroscopic Measurement of Blood Constituents
12/497,506	Jul. 2, 2009	Heat Sink for Noninvasive Medical Sensor
12/534,812	Aug. 3, 2009	Multi-Stream Sensor Front Ends for Non-Invasive Measurement of Blood
12/534,823	Aug. 3, 2009	Constituents Multi-Stream Sensor for Non-Invasive Measurement of Blood Constituents

2 -continued

application No.	Filing Date	Title
12/534,825	Aug. 3, 2009	Multi-Stream Emitter for Non-Invasive Measurement of Blood Constituents

The foregoing applications are hereby incorporated by reference in their entirety.

BACKGROUND

The standard of care in caregiver environments includes patient monitoring through spectroscopic analysis using, for example, a pulse oximeter. Devices capable of spectroscopic analysis generally include a light source(s) transmitting optical radiation into or reflecting off a measurement site, such as, body tissue carrying pulsing blood. After attenuation by tissue and fluids of the measurement site, a photodetection device(s) detects the attenuated light and outputs a detector signal(s) responsive to the detected attenuated light. A signal processing device(s) process the detector(s) signal(s) and outputs a measurement indicative of a blood constituent of interest, such as glucose, oxygen, met hemoglobin, total hemoglobin, other physiological parameters, or other data or combinations of data useful in determining a state or trend of wellness of a patient.

In noninvasive devices and methods, a sensor is often adapted to position a finger proximate the light source and light detector. For example, noninvasive sensors often include a clothespin-shaped housing that includes a contoured bed conforming generally to the shape of a finger.

SUMMARY

This disclosure describes embodiments of noninvasive methods, devices, and systems for measuring a blood constituent or analyte, such as oxygen, carbon monoxide, methemoglobin, total hemoglobin, glucose, proteins, glucose, lipids, a percentage thereof (e.g., saturation) or for measuring many other physiologically relevant patient characteristics. These characteristics can relate, for example, to pulse rate, hydration, trending information and analysis, and the like.

In an embodiment, the system includes a noninvasive sensor and a patient monitor communicating with the non-invasive sensor. The non-invasive sensor may include different architectures to implement some or all of the disclosed features. In addition, an artisan will recognize that the non-invasive sensor may include or may be coupled to other components, such as a network interface, and the like. Moreover, the patient monitor may include a display device, a network interface communicating with any one or combination of a computer network, a handheld computing device, a mobile phone, the Internet, or the like. In addition, embodiments may include multiple optical sources that emit light at a plurality of wavelengths and that are arranged from the perspective of the light detector(s) as a point source.

In an embodiment, a noninvasive device is capable of producing a signal responsive to light attenuated by tissue at a measurement site. The device may comprise an optical source and a plurality of photodetectors. The optical source is configured to emit optical radiation at least at wavelengths
 between about 1600 nm and about 1700 nm. The photodetectors are configured to detect the optical radiation from said optical source after attenuation by the tissue of the

3 measurement site and each output a respective signal stream responsive to the detected optical radiation.

In an embodiment, a noninvasive, physiological sensor is capable of outputting a signal responsive to a blood analyte present in a monitored patient. The sensor may comprise a 5 sensor housing, an optical source, and photodetectors. The optical source is positioned by the housing with respect to a tissue site of a patient when said housing is applied to the patient. The photodetectors are positioned by the housing with respect to said tissue site when the housing is applied to the patient with a variation in path length among at least some of the photodetectors from the optical source. The photodetectors are configured to detect a sequence of optical radiation from the optical source after attenuation by tissue of the tissue site. The photodetectors may be each configured 15 to output a respective signal stream responsive to the detected sequence of optical radiation. An output signal responsive to one or more of the signal streams is then usable to determine the blood analyte based at least in part on the variation in path length.

In an embodiment, a method of measuring an analyte based on multiple streams of optical radiation measured from a measurement site is provided. A sequence of optical radiation pulses is emitted to the measurement site. At a first location, a first stream of optical radiation is detected from 25 the measurement site. At least at one additional location different from the first location, an additional stream of optical radiation is detected from the measurement site. An output measurement value indicative of the analyte is then determined based on the detected streams of optical radia- 30 tion.

In various embodiments, the present disclosure relates to an interface for a noninvasive sensor that comprises a front-end adapted to receive an input signals from optical detectors and provide corresponding output signals. In an 35 embodiment, the front-end is comprised of switched-capacitor circuits that are capable of handling multiple streams of signals from the optical detectors. In another embodiment, the front-end comprises transimpedance amplifiers that are capable of handling multiple streams of input signals. In 40 addition, the transimpedance amplifiers may be configured based on the characteristics of the transimpedance amplifier itself, the characteristics of the photodiodes, and the number of photodiodes coupled to the transimpedance amplifier.

In disclosed embodiments, the front-ends are employed in 45 noninvasive sensors to assist in measuring and detecting various analytes. The disclosed noninvasive sensor may also include, among other things, emitters and detectors positioned to produce multi-stream sensor information. An artisan will recognize that the noninvasive sensor may have 50 different architectures and may include or be coupled to other components, such as a display device, a network interface, and the like. An artisan will also recognize that the front-ends may be employed in any type of noninvasive sensor

In an embodiment, a front-end interface for a noninvasive, physiological sensor comprises: a set of inputs configured to receive signals from a plurality of detectors in the sensor; a set of transimpedance amplifiers configured to convert the signals from the plurality of detectors into an output signal 60 having a stream for each of the plurality of detectors; and an output configured to provide the output signal.

In an embodiment, a front-end interface for a noninvasive, physiological sensor comprises: a set of inputs configured to receive signals from a plurality of detectors in the sensor; a 65 set of switched capacitor circuits configured to convert the signals from the plurality of detectors into a digital output

signal having a stream for each of the plurality of detectors; and an output configured to provide the digital output signal.

In an embodiment, a conversion processor for a physiological, noninvasive sensor comprises: a multi-stream input configured to receive signals from a plurality of detectors in the sensor, wherein the signals are responsive to optical radiation from a tissue site; a modulator that converts the multi-stream input into a digital bit-stream; and a signal processor that produces an output signal from the digital bit-stream.

In an embodiment, a front-end interface for a noninvasive, physiological sensor comprises: a set of inputs configured to receive signals from a plurality of detectors in the sensor; a set of respective transimpedance amplifiers for each detector configured to convert the signals from the plurality of detectors into an output signal having a stream for each of the plurality of detectors; and an output configured to provide the output signal.

In certain embodiments, a noninvasive sensor interfaces with tissue at a measurement site and deforms the tissue in a way that increases signal gain in certain desired wavelengths.

In some embodiments, a detector for the sensor may comprise a set of photodiodes that are arranged in a spatial configuration. This spatial configuration may allow, for example, signal analysis for measuring analytes like glucose. In various embodiments, the detectors can be arranged across multiple locations in a spatial configuration. The spatial configuration provides a geometry having a diversity of path lengths among the detectors. For example, the detector in the sensor may comprise multiple detectors that are arranged to have a sufficient difference in mean path length to allow for noise cancellation and noise reduction.

In an embodiment, a physiological, noninvasive detector is configured to detect optical radiation from a tissue site. The detector comprises a set of photodetectors and a conversion processor. The set of photodetectors each provide a signal stream indicating optical radiation from the tissue site. The set of photodetectors are arranged in a spatial configuration that provides a variation in path lengths between at least some of the photodetectors. The conversion processor that provides information indicating an analyte in the tissue site based on ratios of pairs of the signal streams.

The present disclosure, according to various embodiments, relates to noninvasive methods, devices, and systems for measuring a blood analyte, such as glucose. In the present disclosure, blood analytes are measured noninvasively based on multi-stream infrared and near-infrared spectroscopy. In some embodiments, an emitter may include one or more sources that are configured as a point optical source. In addition, the emitter may be operated in a manner that allows for the measurement of an analyte like glucose. In embodiments, the emitter may comprise a plurality of LEDs that emit a sequence of pulses of optical radiation 55 across a spectrum of wavelengths. In addition, in order to achieve the desired SNR for detecting analytes like glucose, the emitter may be driven using a progression from low power to higher power. The emitter may also have its duty cycle modified to achieve a desired SNR.

In an embodiment, a multi-stream emitter for a noninvasive, physiological device configured to transmit optical radiation in a tissue site comprises: a set of optical sources arranged as a point optical source; and a driver configured to drive the at least one light emitting diode and at least one optical source to transmit near-infrared optical radiation at sufficient power to measure an analyte in tissue that responds to near-infrared optical radiation.

In an embodiment, an emitter for a noninvasive, physiological device configured to transmit optical radiation in a tissue site comprises: a point optical source comprising an optical source configured to transmit infrared and near-infrared optical radiation to a tissue site; and a driver configured to drive the point optical source at a sufficient power and noise tolerance to effectively provide attenuated

optical radiation from a tissue site that indicates an amount

of glucose in the tissue site.

5

In an embodiment, a method of transmitting a stream of pulses of optical radiation in a tissue site is provided. At least one pulse of infrared optical radiation having a first pulse width is transmitted at a first power. At least one pulse of near-infrared optical radiation is transmitted at a power that is higher than the first power.

FIGS. 8A through 8D view, side views, and conductive glass that may 1, according to embodiment of a sensor; is higher than the first power.

In an embodiment, a method of transmitting a stream of pulses of optical radiation in a tissue site is provided. At least one pulse of infrared optical radiation having a first pulse width is transmitted at a first power. At least one pulse of 20 near-infrared optical radiation is then transmitted, at a second power that is higher than the first power.

For purposes of summarizing the disclosure, certain aspects, advantages and novel features of the inventions have been described herein. It is to be understood that not 25 necessarily all such advantages can be achieved in accordance with any particular embodiment of the inventions disclosed herein. Thus, the inventions disclosed herein can be embodied or carried out in a manner that achieves or optimizes one advantage or group of advantages as taught 30 herein without necessarily achieving other advantages as can be taught or suggested herein.

BRIEF DESCRIPTION OF THE DRAWINGS

Throughout the drawings, reference numbers can be reused to indicate correspondence between referenced elements. The drawings are provided to illustrate embodiments of the inventions described herein and not to limit the scope thereof

FIG. 1 illustrates a block diagram of an example data collection system capable of noninvasively measuring one or more blood analytes in a monitored patient, according to an embodiment of the disclosure;

FIGS. 2A-2D illustrate an exemplary handheld monitor 45 and an exemplary noninvasive optical sensor of the patient monitoring system of FIG. 1, according to embodiments of the disclosure;

FIGS. 3A-3C illustrate side and perspective views of an exemplary noninvasive sensor housing including a finger 50 detector submount; bed protrusion and heat sink, according to an embodiment of the disclosure; FIGS. 14C through the disclosure; FIGS. 14F through the disclosure;

FIG. 3D illustrates a side view of another example non-invasive sensor housing including a heat sink, according to an embodiment of the disclosure;

FIG. 3E illustrates a perspective view of an example noninvasive sensor detector shell including example detectors, according to an embodiment of the disclosure;

FIG. 3F illustrates a side view of an example noninvasive sensor housing including a finger bed protrusion and heat 60 sink, according to an embodiment of the disclosure;

FIGS. 4A through 4C illustrate top elevation, side and top perspective views of an example protrusion, according to an embodiment of the disclosure;

FIG. 5 illustrates an example graph depicting possible 65 effects of a protrusion on light transmittance, according to an embodiment of the disclosure;

6

FIGS. 6A through 6D illustrate perspective, front elevation, side and top views of another example protrusion, according to an embodiment of the disclosure;

FIG. **6**E illustrates an example sensor incorporating the protrusion of FIGS. **6**A through **6**D, according to an embodiment of the disclosure;

FIGS. 7A through 7B illustrate example arrangements of conductive glass that may be employed in the system of FIG. 1, according to embodiments of the disclosure;

FIGS. **8**A through **8**D illustrate an example top elevation view, side views, and a bottom elevation view of the conductive glass that may be employed in the system of FIG. **1**, according to embodiments of the disclosure;

FIG. 9 shows example comparative results obtained by an embodiment of a sensor;

FIGS. 10A and 10B illustrate comparative noise floors of various embodiments of the present disclosure;

FIG. 11A illustrates an exemplary emitter that may be employed in the sensor, according to an embodiment of the disclosure;

FIG. 11B illustrates a configuration of emitting optical radiation into a measurement site for measuring blood constituents, according to an embodiment of the disclosure;

FIG. 11C illustrates another exemplary emitter that may be employed in the sensor according to an embodiment of the disclosure;

FIG. 11D illustrates another exemplary emitter that may be employed in the sensor according to an embodiment of the disclosure;

FIG. 12A illustrates an example detector portion that may be employed in an embodiment of a sensor, according to an embodiment of the disclosure;

FIGS. 12B through 12D illustrate exemplary arrangements of detectors that may be employed in an embodiment of the sensor, according to some embodiments of the disclosure:

FIGS. 12E through 12H illustrate exemplary structures of photodiodes that may be employed in embodiments of the detectors, according to some embodiments of the disclosure;

FIG. 13 illustrates an example multi-stream operation of the system of FIG. 1, according to an embodiment of the disclosure:

FIG. 14A illustrates another example detector portion having a partially cylindrical protrusion that can be employed in an embodiment of a sensor, according to an embodiment of the disclosure;

FIG. 14B depicts a front elevation view of the partially cylindrical protrusion of FIG. 14A;

FIGS. 14C through 14E illustrate embodiments of a detector submount;

FIGS. 14F through 14H illustrate embodiment of portions of a detector shell;

FIG. 14I illustrates a cutaway view of an embodiment of a sensor;

FIGS. 15A through 15F illustrate embodiments of sensors that include heat sink features;

FIGS. 15G and 15H illustrate embodiments of connector features that can be used with any of the sensors described herein:

FIG. **15**I illustrates an exemplary architecture for a transimpedance-based front-end that may be employed in any of the sensors described herein;

FIG. **15**J illustrates an exemplary noise model for configuring the transimpedance-based front-ends shown in FIG. **15**I.

FIG. 15K shows different architectures and layouts for various embodiments of a sensor and its detectors;

FIG. **15**L illustrates an exemplary architecture for a switched-capacitor-based front-end that may be employed in any of the sensors described herein:

FIGS. 16A and 16B illustrate embodiments of disposable optical sensors:

FIG. 17 illustrates an exploded view of certain components of an example sensor; and

FIGS. 18 through 22 illustrate various results obtained by an exemplary sensor of the disclosure.

DETAILED DESCRIPTION

The present disclosure generally relates to non-invasive medical devices. In the present disclosure, a sensor can measure various blood constituents or analytes noninvasively using multi-stream spectroscopy. In an embodiment, the multi-stream spectroscopy can employ visible, infrared and near infrared wavelengths. As disclosed herein, the sensor is capable of noninvasively measuring blood analytes or percentages thereof (e.g., saturation) based on various combinations of features and components.

In various embodiments, the present disclosure relates to an interface for a noninvasive glucose sensor that comprises a front-end adapted to receive an input signals from optical 25 detectors and provide corresponding output signals. The front-end may comprise, among other things, switched capacitor circuits or transimpedance amplifiers. In an embodiment, the front-end may comprise switched capacitor circuits that are configured to convert the output of sensor's 30 detectors into a digital signal. In another embodiment, the front-end may comprise transimpedance amplifiers. These transimpedance amplifiers may be configured to match one or more photodiodes in a detector based on a noise model that accounts for characteristics, such as the impedance, of 35 the transimpedance amplifier, characteristics of each photodiode, such as the impedance, and the number of photodiodes coupled to the transimpedance amplifier.

In the present disclosure, the front-ends are employed in a sensor that measures various blood analytes noninvasively 40 using multi-stream spectroscopy. In an embodiment, the multi-stream spectroscopy can employ visible, infrared and near infrared wavelengths. As disclosed herein, the sensor is capable of noninvasively measuring blood analytes, such as glucose, total hemoglobin, methemoglobin, oxygen content, 45 and the like, based on various combinations of features and components.

In an embodiment, a physiological sensor includes a detector housing that can be coupled to a measurement site, such as a patient's finger. The sensor housing can include a 50 curved bed that can generally conform to the shape of the measurement site. In addition, the curved bed can include a protrusion shaped to increase an amount of light radiation from the measurement site. In an embodiment, the protrusion is used to thin out the measurement site. This allows the 55 light radiation to pass through less tissue, and accordingly is attenuated less. In an embodiment, the protrusion can be used to increase the area from which attenuated light can be measured. In an embodiment, this is done through the use of a lens which collects attenuated light exiting the measure- 60 ment site and focuses onto one or more detectors. The protrusion can advantageously include plastic, including a hard opaque plastic, such as a black or other colored plastic, helpful in reducing light noise. In an embodiment, such light noise includes light that would otherwise be detected at a 65 photodetector that has not been attenuated by tissue of the measurement site of a patient sufficient to cause the light to

adequately included information indicative of one or more physiological parameters of the patient. Such light noise includes light piping.

In an embodiment, the protrusion can be formed from the curved bed, or can be a separate component that is positionable with respect to the bed. In an embodiment, a lens made from any appropriate material is used as the protrusion. The protrusion can be convex in shape. The protrusion can also be sized and shaped to conform the measurement site into a flat or relatively flat surface. The protrusion can also be sized to conform the measurement site into a rounded surface, such as, for example, a concave or convex surface. The protrusion can include a cylindrical or partially cylindrical shape. The protrusion can be sized or shaped differently for different types of patients, such as an adult, child, or infant. The protrusion can also be sized or shaped differently for different measurement sites, including, for example, a finger, toe, hand, foot, ear, forehead, or the like. The protrusion can thus be helpful in any type of noninvasive sensor. The external surface of the protrusion can include one or more openings or windows. The openings can be made from glass to allow attenuated light from a measurement site, such as a finger, to pass through to one or more detectors. Alternatively, some of all of the protrusion can be a lens, such as a partially cylindrical lens.

The sensor can also include a shielding, such as a metal enclosure as described below or embedded within the protrusion to reduce noise. The shielding can be constructed from a conductive material, such as copper, in the form of a metal cage or enclosure, such as a box. The shielding can include a second set of one or more openings or windows. The second set of openings can be made from glass and allow light that has passed through the first set of windows of the external surface of the protrusion to pass through to one or more detectors that can be enclosed, for example, as described below.

In various embodiments, the shielding can include any substantially transparent, conductive material placed in the optical path between an emitter and a detector. The shielding can be constructed from a transparent material, such as glass, plastic, and the like. The shielding can have an electrically conductive material or coating that is at least partially transparent. The electrically conductive coating can be located on one or both sides of the shielding, or within the body of the shielding. In addition, the electrically conductive coating can be uniformly spread over the shielding or may be patterned. Furthermore, the coating can have a uniform or varying thickness to increase or optimize its shielding effect. The shielding can be helpful in virtually any type of non-invasive sensor that employs spectroscopy.

In an embodiment, the sensor can also include a heat sink. In an embodiment, the heat sink can include a shape that is functional in its ability to dissipate excess heat and aesthetically pleasing to the wearer. For example, the heat sink can be configured in a shape that maximizes surface area to allow for greater dissipation of heat. In an embodiment, the heat sink includes a metalicized plastic, such as plastic including carbon and aluminum to allow for improved thermal conductivity and diffusivity. In an embodiment, the heat sink can advantageously be inexpensively molded into desired shapes and configurations for aesthetic and functional purposes. For example, the shape of the heat sink can be a generally curved surface and include one or more fins, undulations, grooves or channels, or combs.

The sensor can include photocommunicative components, such as an emitter, a detector, and other components. The emitter can include a plurality of sets of optical sources that,

in an embodiment, are arranged together as a point source. The various optical sources can emit a sequence of optical radiation pulses at different wavelengths towards a measurement site, such as a patient's finger. Detectors can then detect optical radiation from the measurement site. The 5 optical sources and optical radiation detectors can operate at any appropriate wavelength, including, as discussed herein,

9

infrared, near infrared, visible light, and ultraviolet. In addition, the optical sources and optical radiation detectors can operate at any appropriate wavelength, and such modifications to the embodiments desirable to operate at any such wavelength will be apparent to those skilled in the art.

In certain embodiments, multiple detectors are employed and arranged in a spatial geometry. This spatial geometry provides a diversity of path lengths among at least some of 15 the detectors and allows for multiple bulk and pulsatile measurements that are robust. Each of the detectors can provide a respective output stream based on the detected optical radiation, or a sum of output streams can be provided from multiple detectors. In some embodiments, the sensor 20 can also include other components, such as one or more heat sinks and one or more thermistors.

The spatial configuration of the detectors provides a geometry having a diversity of path lengths among the detectors. For example, a detector in the sensor may comprise multiple detectors that are arranged to have a sufficient difference in mean path length to allow for noise cancellation and noise reduction. In addition, walls may be used to separate individual photodetectors and prevent mixing of detected optical radiation between the different locations on 30 the measurement site. A window may also be employed to facilitate the passing of optical radiation at various wavelengths for measuring glucose in the tissue.

In the present disclosure, a sensor may measure various blood constituents or analytes noninvasively using spectroscopy and a recipe of various features. As disclosed herein, the sensor is capable of non-invasively measuring blood analytes, such as, glucose, total hemoglobin, methemoglobin, oxygen content, and the like. In an embodiment, the spectroscopy used in the sensor can employ visible, infrared and near infrared wavelengths. The sensor may comprise an emitter, a detector, and other components. In some embodiments, the sensor may also comprise other components, such as one or more heat sinks and one or more thermistors.

In various embodiments, the sensor may also be coupled 45 to one or more companion devices that process and/or display the sensor's output. The companion devices may comprise various components, such as a sensor front-end, a signal processor, a display, a network interface, a storage device or memory, etc.

A sensor can include photocommunicative components, such as an emitter, a detector, and other components. The emitter is configured as a point optical source that comprises a plurality of LEDs that emit a sequence of pulses of optical radiation across a spectrum of wavelengths. In some 55 embodiments, the plurality of sets of optical sources may each comprise at least one top-emitting LED and at least one super luminescent LED. In some embodiments, the emitter comprises optical sources that transmit optical radiation in the infrared or near-infrared wavelengths suitable for detecting blood analytes like glucose. In order to achieve the desired SNR for detecting analytes like glucose, the emitter may be driven using a progression from low power to higher power. In addition, the emitter may have its duty cycle modified to achieve a desired SNR.

The emitter may be constructed of materials, such as aluminum nitride and may include a heat sink to assist in 10

heat dissipation. A thermistor may also be employed to account for heating effects on the LEDs. The emitter may further comprise a glass window and a nitrogen environment to improve transmission from the sources and prevent oxidative effects.

The sensor can be coupled to one or more monitors that process and/or display the sensor's output. The monitors can include various components, such as a sensor front end, a signal processor, a display, etc.

The sensor can be integrated with a monitor, for example, into a handheld unit including the sensor, a display and user controls. In other embodiments, the sensor can communicate with one or more processing devices. The communication can be via wire(s), cable(s), flex circuit(s), wireless technologies, or other suitable analog or digital communication methodologies and devices to perform those methodologies. Many of the foregoing arrangements allow the sensor to be attached to the measurement site while the device is attached elsewhere on a patient, such as the patient's arm, or placed at a location near the patient, such as a bed, shelf or table. The sensor or monitor can also provide outputs to a storage device or network interface.

Reference will now be made to the Figures to discuss embodiments of the present disclosure.

FIG. 1 illustrates an example of a data collection system 100. In certain embodiments, the data collection system 100 noninvasively measure a blood analyte, such as oxygen, carbon monoxide, methemoglobin, total hemoglobin, glucose, proteins, glucose, lipids, a percentage thereof (e.g., saturation) or for measuring many other physiologically relevant patient characteristics. The system 100 can also measure additional blood analytes and/or other physiological parameters useful in determining a state or trend of wellness of a patient.

The data collection system 100 can be capable of measuring optical radiation from the measurement site. For example, in some embodiments, the data collection system 100 can employ photodiodes defined in terms of area. In an embodiment, the area is from about 1 mm²-5 mm² (or higher) that are capable of detecting about 100 nanoamps (nA) or less of current resulting from measured light at full scale. In addition to having its ordinary meaning, the phrase "at full scale" can mean light saturation of a photodiode amplifier (not shown). Of course, as would be understood by a person of skill in the art from the present disclosure, various other sizes and types of photodiodes can be used with the embodiments of the present disclosure.

The data collection system 100 can measure a range of approximately about 2 nA to about 100 nA full scale. The data collection system 100 can also include sensor frontends that are capable of processing and amplifying current from the detector(s) at signal-to-noise ratios (SNRs) of about 100 decibels (dB) or more, such as about 120 dB in order to measure various desired analytes. The data collection system 100 can operate with a lower SNR if less accuracy is desired for an analyte like glucose.

The data collection system 100 can measure analyte concentrations, including glucose, at least in part by detecting light attenuated by a measurement site 102. The measurement site 102 can be any location on a patient's body, such as a finger, foot, ear lobe, or the like. For convenience, this disclosure is described primarily in the context of a finger measurement site 102. However, the features of the embodiments disclosed herein can be used with other measurement sites 102.

In the depicted embodiment, the system 100 includes an optional tissue thickness adjuster or tissue shaper 105, which

11

can include one or more protrusions, bumps, lenses, or other suitable tissue-shaping mechanisms. In certain embodiments, the tissue shaper 105 is a flat or substantially flat surface that can be positioned proximate the measurement site 102 and that can apply sufficient pressure to cause the 5 tissue of the measurement site 102 to be flat or substantially flat. In other embodiments, the tissue shaper 105 is a convex or substantially convex surface with respect to the measurement site 102. Many other configurations of the tissue shaper 105 are possible. Advantageously, in certain embodiments, the tissue shaper 105 reduces thickness of the measurement site 102 while preventing or reducing occlusion at the measurement site 102. Reducing thickness of the site can advantageously reduce the amount of attenuation of the light because there is less tissue through which the light must 15 travel. Shaping the tissue in to a convex (or alternatively concave) surface can also provide more surface area from which light can be detected.

The embodiment of the data collection system 100 shown also includes an optional noise shield 103. In an embodi- 20 ment, the noise shield 103 can be advantageously adapted to reduce electromagnetic noise while increasing the transmittance of light from the measurement site 102 to one or more detectors 106 (described below). For example, the noise shield 103 can advantageously include a conductive coated 25 glass or metal grid electrically communicating with one or more other shields of the sensor 101 or electrically grounded. In an embodiment where the noise shield 103 includes conductive coated glass, the coating can advantageously include indium tin oxide. In an embodiment, the 30 indium tin oxide includes a surface resistivity ranging from approximately 30 ohms per square inch to about 500 ohms per square inch. In an embodiment, the resistivity is approximately 30, 200, or 500 ohms per square inch. As would be understood by a person of skill in the art from the present 35 disclosure, other resistivities can also be used which are less than about 30 ohms or more than about 500 ohms. Other conductive materials transparent or substantially transparent to light can be used instead.

In some embodiments, the measurement site **102** is 40 located somewhere along a non-dominant arm or a non-dominant hand, e.g., a right-handed person's left arm or left hand. In some patients, the non-dominant arm or hand can have less musculature and higher fat content, which can result in less water content in that tissue of the patient. Tissue 45 having less water content can provide less interference with the particular wavelengths that are absorbed in a useful manner by blood analytes like glucose. Accordingly, in some embodiments, the data collection system **100** can be used on a person's non-dominant hand or arm.

The data collection system 100 can include a sensor 101 (or multiple sensors) that is coupled to a processing device or physiological monitor 109. In an embodiment, the sensor 101 and the monitor 109 are integrated together into a single unit. In another embodiment, the sensor 101 and the monitor 55 109 are separate from each other and communicate one with another in any suitable manner, such as via a wired or wireless connection. The sensor 101 and monitor 109 can be attachable and detachable from each other for the convenience of the user or caregiver, for ease of storage, sterility 60 issues, or the like. The sensor 101 and the monitor 109 will now be further described.

In the depicted embodiment shown in FIG. 1, the sensor 101 includes an emitter 104, a tissue shaper 105, a set of detectors 106, and a front-end interface 108. The emitter 104 65 can serve as the source of optical radiation transmitted towards measurement site 102. As will be described in

further detail below, the emitter 104 can include one or more sources of optical radiation, such as LEDs, laser diodes, incandescent bulbs with appropriate frequency-selective fil-

12

ters, combinations of the same, or the like. In an embodiment, the emitter 104 includes sets of optical sources that are capable of emitting visible and near-infrared optical radiation.

In some embodiments, the emitter **104** is used as a point optical source, and thus, the one or more optical sources of the emitter **104** can be located within a close distance to each other, such as within about a 2 mm to about 4 mm. The emitters **104** can be arranged in an array, such as is described in U.S. Publication No. 2006/0211924, filed Sep. 21, 2006, titled "Multiple Wavelength Sensor Emitters," the disclosure of which is hereby incorporated by reference in its entirety. In particular, the emitters **104** can be arranged at least in part as described in paragraphs [0061] through [0068] of the aforementioned publication, which paragraphs are hereby incorporated specifically by reference. Other relative spatial relationships can be used to arrange the emitters **104**.

For analytes like glucose, currently available non-invasive techniques often attempt to employ light near the water absorbance minima at or about 1600 nm. Typically, these devices and methods employ a single wavelength or single band of wavelengths at or about 1600 nm. However, to date, these techniques have been unable to adequately consistently measure analytes like glucose based on spectroscopy.

In contrast, the emitter 104 of the data collection system 100 can emit, in certain embodiments, combinations of optical radiation in various bands of interest. For example, in some embodiments, for analytes like glucose, the emitter 104 can emit optical radiation at three (3) or more wavelengths between about 1600 nm to about 1700 nm. In particular, the emitter 104 can emit optical radiation at or about 1610 nm, about 1640 nm, and about 1665 nm. In some circumstances, the use of three wavelengths within about 1600 nm to about 1700 nm enable sufficient SNRs of about 100 dB, which can result in a measurement accuracy of about 20 mg/dL or better for analytes like glucose.

In other embodiments, the emitter 104 can use two (2) wavelengths within about 1600 nm to about 1700 nm to advantageously enable SNRs of about 85 dB, which can result in a measurement accuracy of about 25-30 mg/dL or better for analytes like glucose. Furthermore, in some embodiments, the emitter 104 can emit light at wavelengths above about 1670 nm. Measurements at these wavelengths can be advantageously used to compensate or confirm the contribution of protein, water, and other non-hemoglobin species exhibited in measurements for analytes like glucose conducted between about 1600 nm and about 1700 nm. Of course, other wavelengths and combinations of wavelengths can be used to measure analytes and/or to distinguish other types of tissue, fluids, tissue properties, fluid properties, combinations of the same or the like.

For example, the emitter 104 can emit optical radiation across other spectra for other analytes. In particular, the emitter 104 can employ light wavelengths to measure various blood analytes or percentages (e.g., saturation) thereof. For example, in one embodiment, the emitter 104 can emit optical radiation in the form of pulses at wavelengths about 905 nm, about 1050 nm, about 1200 nm, about 1300 nm, about 1330 nm, about 1610 nm, about 1640 nm, and about 1665 nm. In another embodiment, the emitter 104 can emit optical radiation ranging from about 860 nm to about 950 nm, about 950 nm to about 1100 nm, about 1100 nm to about 1270 nm, about 1250 nm to about 1350 nm, about 1300 nm to about 1360 nm, and about 1590 nm to about 1700 nm. Of

13 course, the emitter 104 can transmit any of a variety of wavelengths of visible or near-infrared optical radiation.

Due to the different responses of analytes to the different wavelengths, certain embodiments of the data collection system 100 can advantageously use the measurements at 5 these different wavelengths to improve the accuracy of measurements. For example, the measurements of water from visible and infrared light can be used to compensate for water absorbance that is exhibited in the near-infrared wave-

As briefly described above, the emitter 104 can include sets of light-emitting diodes (LEDs) as its optical source. The emitter 104 can use one or more top-emitting LEDs. In particular, in some embodiments, the emitter 104 can $_{15}$ include top-emitting LEDs emitting light at about 850 nm to 1350 nm.

The emitter 104 can also use super luminescent LEDs (SLEDs) or side-emitting LEDs. In some embodiments, the emitter 104 can employ SLEDs or side-emitting LEDs to 20 emit optical radiation at about 1600 nm to about 1800 nm. Emitter 104 can use SLEDs or side-emitting LEDs to transmit near infrared optical radiation because these types of sources can transmit at high power or relatively high power, e.g., about 40 mW to about 100 mW. This higher 25 power capability can be useful to compensate or overcome the greater attenuation of these wavelengths of light in tissue and water. For example, the higher power emission can effectively compensate and/or normalize the absorption signal for light in the mentioned wavelengths to be similar in 30 amplitude and/or effect as other wavelengths that can be detected by one or more photodetectors after absorption. However, the embodiments of the present disclosure do not necessarily require the use of high power optical sources. For example, some embodiments may be configured to 35 measure analytes, such as total hemoglobin (tHb), oxygen saturation (SpO₂), carboxyhemoglobin, methemoglobin, etc., without the use of high power optical sources like side emitting LEDs. Instead, such embodiments may employ other types of optical sources, such as top emitting LEDs. 40 Alternatively, the emitter **104** can use other types of sources of optical radiation, such as a laser diode, to emit nearinfrared light into the measurement site 102.

In addition, in some embodiments, in order to assist in achieving a comparative balance of desired power output 45 between the LEDs, some of the LEDs in the emitter 104 can have a filter or covering that reduces and/or cleans the optical radiation from particular LEDs or groups of LEDs. For example, since some wavelengths of light can penetrate through tissue relatively well, LEDs, such as some or all of 50 the top-emitting LEDs can use a filter or covering, such as a cap or painted dye. This can be useful in allowing the emitter 104 to use LEDs with a higher output and/or to equalize intensity of LEDs.

The data collection system 100 also includes a driver 111 55 that drives the emitter 104. The driver 111 can be a circuit or the like that is controlled by the monitor 109. For example, the driver 111 can provide pulses of current to the emitter 104. In an embodiment, the driver 111 drives the emitter 104 in a progressive fashion, such as in an alternat- 60 ing manner. The driver 111 can drive the emitter 104 with a series of pulses of about 1 milliwatt (mW) for some wavelengths that can penetrate tissue relatively well and from about 40 mW to about 100 mW for other wavelengths that tend to be significantly absorbed in tissue. A wide variety of other driving powers and driving methodologies can be used in various embodiments.

14

The driver 111 can be synchronized with other parts of the sensor 101 and can minimize or reduce jitter in the timing of pulses of optical radiation emitted from the emitter 104. In some embodiments, the driver 111 is capable of driving the emitter 104 to emit optical radiation in a pattern that varies by less than about 10 parts-per-million.

The detectors 106 capture and measure light from the measurement site 102. For example, the detectors 106 can capture and measure light transmitted from the emitter 104 that has been attenuated or reflected from the tissue in the measurement site 102. The detectors 106 can output a detector signal 107 responsive to the light captured or measured. The detectors 106 can be implemented using one or more photodiodes, phototransistors, or the like.

In addition, the detectors 106 can be arranged with a spatial configuration to provide a variation of path lengths among at least some of the detectors 106. That is, some of the detectors 106 can have the substantially, or from the perspective of the processing algorithm, effectively, the same path length from the emitter 104. However, according to an embodiment, at least some of the detectors 106 can have a different path length from the emitter 104 relative to other of the detectors 106. Variations in path lengths can be helpful in allowing the use of a bulk signal stream from the detectors 106. In some embodiments, the detectors 106 may employ a linear spacing, a logarithmic spacing, or a two or three dimensional matrix of spacing, or any other spacing scheme in order to provide an appropriate variation in path

The front end interface 108 provides an interface that adapts the output of the detectors 106, which is responsive to desired physiological parameters. For example, the front end interface 108 can adapt a signal 107 received from one or more of the detectors 106 into a form that can be processed by the monitor 109, for example, by a signal processor 110 in the monitor 109. The front end interface 108 can have its components assembled in the sensor 101, in the monitor 109, in connecting cabling (if used), combinations of the same, or the like. The location of the front end interface 108 can be chosen based on various factors including space desired for components, desired noise reductions or limits, desired heat reductions or limits, and the like.

The front end interface 108 can be coupled to the detectors 106 and to the signal processor 110 using a bus, wire, electrical or optical cable, flex circuit, or some other form of signal connection. The front end interface 108 can also be at least partially integrated with various components, such as the detectors 106. For example, the front end interface 108 can include one or more integrated circuits that are on the same circuit board as the detectors 106. Other configurations can also be used.

The front end interface 108 can be implemented using one or more amplifiers, such as transimpedance amplifiers, that are coupled to one or more analog to digital converters (ADCs) (which can be in the monitor 109), such as a sigma-delta ADC. A transimpedance-based front end interface 108 can employ single-ended circuitry, differential circuitry, and/or a hybrid configuration. A transimpedancebased front end interface 108 can be useful for its sampling rate capability and freedom in modulation/demodulation algorithms. For example, this type of front end interface 108 can advantageously facilitate the sampling of the ADCs being synchronized with the pulses emitted from the emitter

The ADC or ADCs can provide one or more outputs into multiple channels of digital information for processing by

the signal processor 110 of the monitor 109. Each channel can correspond to a signal output from a detector 106.

In some embodiments, a programmable gain amplifier (PGA) can be used in combination with a transimpedance-based front end interface 108. For example, the output of a 5 transimpedance-based front end interface 108 can be output to a PGA that is coupled with an ADC in the monitor 109. A PGA can be useful in order to provide another level of amplification and control of the stream of signals from the detectors 106. Alternatively, the PGA and ADC components can be integrated with the transimpedance-based front end interface 108 in the sensor 101.

In another embodiment, the front end interface 108 can be implemented using switched-capacitor circuits. A switched-capacitor-based front end interface 108 can be useful for, in 15 certain embodiments, its resistor-free design and analog averaging properties. In addition, a switched-capacitor-based front end interface 108 can be useful because it can provide a digital signal to the signal processor 110 in the monitor 109.

As shown in FIG. 1, the monitor 109 can include the signal processor 110 and a user interface, such as a display 112. The monitor 109 can also include optional outputs alone or in combination with the display 112, such as a storage device 114 and a network interface 116. In an 25 embodiment, the signal processor 110 includes processing logic that determines measurements for desired analytes, such as glucose, based on the signals received from the detectors 106. The signal processor 110 can be implemented using one or more microprocessors or subprocessors (e.g., 30 cores), digital signal processors, application specific integrated circuits (ASICs), field programmable gate arrays (FPGAs), combinations of the same, and the like.

The signal processor 110 can provide various signals that control the operation of the sensor 101. For example, the 35 signal processor 110 can provide an emitter control signal to the driver 111. This control signal can be useful in order to synchronize, minimize, or reduce jitter in the timing of pulses emitted from the emitter 104. Accordingly, this control signal can be useful in order to cause optical radia- 40 tion pulses emitted from the emitter 104 to follow a precise timing and consistent pattern. For example, when a transimpedance-based front end interface 108 is used, the control signal from the signal processor 110 can provide synchronization with the ADC in order to avoid aliasing, cross-talk, 45 and the like. As also shown, an optional memory 113 can be included in the front-end interface 108 and/or in the signal processor 110. This memory 113 can serve as a buffer or storage location for the front-end interface 108 and/or the signal processor 110, among other uses.

The user interface 112 can provide an output, e.g., on a display, for presentation to a user of the data collection system 100. The user interface 112 can be implemented as a touch-screen display, an LCD display, an organic LED display, or the like. In addition, the user interface 112 can be 55 manipulated to allow for measurement on the non-dominant side of patient. For example, the user interface 112 can include a flip screen, a screen that can be moved from one side to another on the monitor 109, or can include an ability to reorient its display indicia responsive to user input or 60 device orientation. In alternative embodiments, the data collection system 100 can be provided without a user interface 112 and can simply provide an output signal to a separate display or system.

A storage device 114 and a network interface 116 represent other optional output connections that can be included in the monitor 109. The storage device 114 can include any

16

computer-readable medium, such as a memory device, hard disk storage, EEPROM, flash drive, or the like. The various software and/or firmware applications can be stored in the storage device 114, which can be executed by the signal processor 110 or another processor of the monitor 109. The network interface 116 can be a serial bus port (RS-232/RS-485), a Universal Serial Bus (USB) port, an Ethernet port, a wireless interface (e.g., WiFi such as any 802.1x interface, including an internal wireless card), or other suitable communication device(s) that allows the monitor 109 to communicate and share data with other devices. The monitor 109 can also include various other components not shown, such as a microprocessor, graphics processor, or controller to output the user interface 112, to control data communications, to compute data trending, or to perform other operations.

Although not shown in the depicted embodiment, the data collection system 100 can include various other components or can be configured in different ways. For example, the sensor 101 can have both the emitter 104 and detectors 106 on the same side of the measurement site 102 and use reflectance to measure analytes. The data collection system 100 can also include a sensor that measures the power of light emitted from the emitter 104.

FIGS. 2A through 2D illustrate example monitoring devices 200 in which the data collection system 100 can be housed. Advantageously, in certain embodiments, some or all of the example monitoring devices 200 shown can have a shape and size that allows a user to operate it with a single hand or attach it, for example, to a patient's body or limb. Although several examples are shown, many other monitoring device configurations can be used to house the data collection system 100. In addition, certain of the features of the monitoring devices 200 shown in FIGS. 2A through 2D can be combined with features of the other monitoring devices 200 shown.

Referring specifically to FIG. 2A, an example monitoring device 200A is shown, in which a sensor 201a and a monitor 209a are integrated into a single unit. The monitoring device 200A shown is a handheld or portable device that can measure glucose and other analytes in a patient's finger. The sensor 201a includes an emitter shell 204a and a detector shell 206a. The depicted embodiment of the monitoring device 200A also includes various control buttons 208a and a display 210a.

The sensor 201a can be constructed of white material used for reflective purposes (such as white silicone or plastic), which can increase the usable signal at the detector 106 by forcing light back into the sensor 201a. Pads in the emitter shell 204a and the detector shell 206a can contain separated windows to prevent or reduce mixing of light signals, for example, from distinct quadrants on a patient's finger. In addition, these pads can be made of a relatively soft material, such as a gel or foam, in order to conform to the shape, for example, of a patient's finger. The emitter shell 204a and the detector shell 206a can also include absorbing black or grey material portions to prevent or reduce ambient light from entering into the sensor 201a.

In some embodiments, some or all portions of the emitter shell 204a and/or detector shell 206a can be detachable and/or disposable. For example, some or all portions of the shells 204a and 206a can be removable pieces. The removability of the shells 204a and 206a can be useful for sanitary purposes or for sizing the sensor 201a to different patients. The monitor 209a can include a fitting, slot, magnet, or other connecting mechanism to allow the sensor 201c to be removably attached to the monitor 209a.

17

The monitoring device 200a also includes optional control buttons 208a and a display 210a that can allow the user to control the operation of the device. For example, a user can operate the control buttons 208a to view one or more measurements of various analytes, such as glucose. In 5 addition, the user can operate the control buttons 208a to view other forms of information, such as graphs, histograms, measurement data, trend measurement data, parameter combination views, wellness indications, and the like. Many parameters, trends, alarms and parameter displays could be 10 output to the display 210a, such as those that are commercially available through a wide variety of noninvasive monitoring devices from Masimo® Corporation of Irvine, Calif.

Furthermore, the controls **208***a* and/or display **210***a* can provide functionality for the user to manipulate settings of 15 the monitoring device **200***a*, such as alarm settings, emitter settings, detector settings, and the like. The monitoring device **200***a* can employ any of a variety of user interface designs, such as frames, menus, touch-screens, and any type of button

FIG. 2B illustrates another example of a monitoring device 200B. In the depicted embodiment, the monitoring device 200B includes a finger clip sensor 201b connected to a monitor 209b via a cable 212. In the embodiment shown, the monitor 209b includes a display 210b, control buttons 25 208b and a power button. Moreover, the monitor 209b can advantageously include electronic processing, signal processing, and data storage devices capable of receiving signal data from said sensor 201b, processing the signal data to determine one or more output measurement values indicative of one or more physiological parameters of a monitored patient, and displaying the measurement values, trends of the measurement values, combinations of measurement values, and the like.

The cable 212 connecting the sensor 201b and the monitor 35 209b can be implemented using one or more wires, optical fiber, flex circuits, or the like. In some embodiments, the cable 212 can employ twisted pairs of conductors in order to minimize or reduce cross-talk of data transmitted from the sensor 201b to the monitor 209b. Various lengths of the 40 cable 212 can be employed to allow for separation between the sensor 201b and the monitor 209b. The cable 212 can be fitted with a connector (male or female) on either end of the cable 212 so that the sensor 201b and the monitor 209b can be connected and disconnected from each other. Alternatively, the sensor 201b and the monitor 209b can be coupled together via a wireless communication link, such as an infrared link, radio frequency channel, or any other wireless communication protocol and channel.

The monitor **209***b* can be attached to the patient. For 50 example, the monitor **209***b* can include a belt clip or straps (see, e.g., FIG. **2**C) that facilitate attachment to a patient's belt, arm, leg, or the like. The monitor **209***b* can also include a fitting, slot, magnet, LEMO snap-click connector, or other connecting mechanism to allow the cable **212** and sensor 55 **201***b* to be attached to the monitor **209**B.

The monitor **209***b* can also include other components, such as a speaker, power button, removable storage or memory (e.g., a flash card slot), an AC power port, and one or more network interfaces, such as a universal serial bus 60 interface or an Ethernet port. For example, the monitor **209***b* can include a display **210***b* that can indicate a measurement for glucose, for example, in mg/dL. Other analytes and forms of display can also appear on the monitor **209***b*.

In addition, although a single sensor 201b with a single 65 monitor 209b is shown, different combinations of sensors and device pairings can be implemented. For example,

18

multiple sensors can be provided for a plurality of differing patient types or measurement sites or even patient fingers.

FIG. 2C illustrates yet another example of monitoring device 200C that can house the data collection system 100. Like the monitoring device 200B, the monitoring device 200C includes a finger clip sensor 201c connected to a monitor 209c via a cable 212. The cable 212 can have all of the features described above with respect to FIG. 2B. The monitor 209c can include all of the features of the monitor 200B described above. For example, the monitor 209c includes buttons 208c and a display 210c. The monitor 209c shown also includes straps 214c that allow the monitor 209c to be attached to a patient's limb or the like.

FIG. 2D illustrates yet another example of monitoring device 200D that can house the data collection system 100. Like the monitoring devices 200B and 200C, the monitoring device 200D includes a finger clip sensor 201d connected to a monitor 209d via a cable 212. The cable 212 can have all of the features described above with respect to FIG. 2B. In 20 addition to having some or all of the features described above with respect to FIGS. 2B and 2C, the monitoring device 200D includes an optional universal serial bus (USB) port 216 and an Ethernet port 218. The USB port 216 and the Ethernet port 218 can be used, for example, to transfer information between the monitor 209d and a computer (not shown) via a cable. Software stored on the computer can provide functionality for a user to, for example, view physiological data and trends, adjust settings and download firmware updates to the monitor 209b, and perform a variety of other functions. The USB port 216 and the Ethernet port 218 can be included with the other monitoring devices 200A, 200B, and 200C described above.

FIGS. 3A through 3C illustrate more detailed examples of embodiments of a sensor 301a. The sensor 301a shown can include all of the features of the sensors 100 and 200 described above.

Referring to FIG. 3A, the sensor 301a in the depicted embodiment is a clothespin-shaped clip sensor that includes an enclosure 302a for receiving a patient's finger. The enclosure 302a is formed by an upper section or emitter shell 304a, which is pivotably connected with a lower section or detector shell 306a. The emitter shell 304a can be biased with the detector shell 306a to close together around a pivot point 303a and thereby sandwich finger tissue between the emitter and detector shells 304a, 306a.

In an embodiment, the pivot point 303a advantageously includes a pivot capable of adjusting the relationship between the emitter and detector shells 304a, 306a to effectively level the sections when applied to a tissue site. In another embodiment, the sensor 301a includes some or all features of the finger clip described in U.S. Publication No. 2006/0211924, incorporated above, such as a spring that causes finger clip forces to be distributed along the finger. Paragraphs [0096] through [0105], which describe this feature, are hereby specifically incorporated by reference.

The emitter shell 304a can position and house various emitter components of the sensor 301a. It can be constructed of reflective material (e.g., white silicone or plastic) and/or can be metallic or include metalicized plastic (e.g., including carbon and aluminum) to possibly serve as a heat sink. The emitter shell 304a can also include absorbing opaque material, such as, for example, black or grey colored material, at various areas, such as on one or more flaps 307a, to reduce ambient light entering the sensor 301a.

The detector shell **306***a* can position and house one or more detector portions of the sensor **301***a*. The detector shell **306***a* can be constructed of reflective material, such as white

silicone or plastic. As noted, such materials can increase the usable signal at a detector by forcing light back into the tissue and measurement site (see FIG. 1). The detector shell 306a can also include absorbing opaque material at various areas, such as lower area 308a, to reduce ambient light 5 entering the sensor 301a.

19

Referring to FIGS. 3B and 3C, an example of finger bed 310 is shown in the sensor 301b. The finger bed 310 includes a generally curved surface shaped generally to receive tissue, such as a human digit. The finger bed 310 includes 10 one or more ridges or channels 314. Each of the ridges 314 has a generally convex shape that can facilitate increasing traction or gripping of the patient's finger to the finger bed. Advantageously, the ridges 314 can improve the accuracy of spectroscopic analysis in certain embodiments by reducing 15 noise that can result from a measurement site moving or shaking loose inside of the sensor 301a. The ridges 314 can be made from reflective or opaque materials in some embodiments to further increase SNR. In other implementations, other surface shapes can be used, such as, for 20 example, generally flat, concave, or convex finger beds 310.

Finger bed 310 can also include an embodiment of a tissue thickness adjuster or protrusion 305. The protrusion 305 includes a measurement site contact area 370 (see FIG. 3C) that can contact body tissue of a measurement site. The 25 protrusion 305 can be removed from or integrated with the finger bed 310. Interchangeable, different shaped protrusions 305 can also be provided, which can correspond to different finger shapes, characteristics, opacity, sizes, or the like.

Referring specifically to FIG. 3C, the contact area 370 of the protrusion 305 can include openings or windows 320, 321, 322, and 323. When light from a measurement site passes through the windows 320, 321, 322, and 323, the light can reach one or more photodetectors (see FIG. 3E). In an 35 embodiment, the windows 320, 321, 322, and 323 mirror specific detector placements layouts such that light can impinge through the protrusion 305 onto the photodetectors. Any number of windows 320, 321, 322, and 323 can be employed in the protrusion 305 to allow light to pass from 40 the measurement site to the photodetectors.

The windows 320, 321, 322, and 323 can also include shielding, such as an embedded grid of wiring or a conductive glass coating, to reduce noise from ambient light or other electromagnetic noise. The windows 320, 321, 322, 45 and 323 can be made from materials, such as plastic or glass. In some embodiments, the windows 320, 321, 322, and 323 can be constructed from conductive glass, such as indium tin oxide (ITO) coated glass. Conductive glass can be useful because its shielding is transparent, and thus allows for a 50 larger aperture versus a window with an embedded grid of wiring. In addition, in certain embodiments, the conductive glass does not need openings in its shielding (since it is transparent), which enhances its shielding performance. For example, some embodiments that employ the conductive 55 glass can attain up to an about 40% to about 50% greater signal than non-conductive glass with a shielding grid. In addition, in some embodiments, conductive glass can be useful for shielding noise from a greater variety of directions than non-conductive glass with a shielding grid.

Turning to FIG. 3B, the sensor 301a can also include a shielding 315a, such as a metal cage, box, metal sheet, perforated metal sheet, a metal layer on a non-metal material, or the like. The shielding 315a is provided in the depicted embodiment below or embedded within the protrusion 305 to reduce noise. The shielding 315a can be constructed from a conductive material, such as copper. The

20

shielding 315a can include one or more openings or windows (not shown). The windows can be made from glass or plastic to thereby allow light that has passed through the windows 320, 321, 322, and 323 on an external surface of the protrusion 305 (see FIG. 3C) to pass through to one or more photodetectors that can be enclosed or provided below (see FIG. 3E).

In some embodiments, the shielding cage for shielding 315a can be constructed in a single manufactured component with or without the use of conductive glass. This form of construction may be useful in order to reduce costs of manufacture as well as assist in quality control of the components. Furthermore, the shielding cage can also be used to house various other components, such as sigma delta components for various embodiments of front end interfaces 108.

In an embodiment, the photodetectors can be positioned within or directly beneath the protrusion 305 (see FIG. 3E). In such cases, the mean optical path length from the emitters to the detectors can be reduced and the accuracy of blood analyte measurement can increase. For example, in one embodiment, a convex bump of about 1 mm to about 3 mm in height and about 10 mm² to about 60 mm² was found to help signal strength by about an order of magnitude versus other shapes. Of course other dimensions and sizes can be employed in other embodiments. Depending on the properties desired, the length, width, and height of the protrusion 305 can be selected. In making such determinations, consideration can be made of protrusion's 305 effect on blood flow at the measurement site and mean path length for optical radiation passing through openings 320, 321, 322, and 323. Patient comfort can also be considered in determining the size and shape of the protrusion.

In an embodiment, the protrusion 305 can include a pliant material, including soft plastic or rubber, which can somewhat conform to the shape of a measurement site. Pliant materials can improve patient comfort and tactility by conforming the measurement site contact area 370 to the measurement site. Additionally, pliant materials can minimize or reduce noise, such as ambient light. Alternatively, the protrusion 305 can be made from a rigid material, such as hard plastic or metal.

Rigid materials can improve measurement accuracy of a blood analyte by conforming the measurement site to the contact area 370. The contact area 370 can be an ideal shape for improving accuracy or reducing noise. Selecting a material for the protrusion 305 can include consideration of materials that do not significantly alter blood flow at the measurement site. The protrusion 305 and the contact area 370 can include a combination of materials with various characteristics.

The contact area 370 serves as a contact surface for the measurement site. For example, in some embodiments, the contact area 370 can be shaped for contact with a patient's finger. Accordingly, the contact area 370 can be sized and shaped for different sizes of fingers. The contact area 370 can be constructed of different materials for reflective purposes as well as for the comfort of the patient. For example, the contact area 370 can be constructed from materials having various hardness and textures, such as plastic, gel, foam, and the like.

The formulas and analysis that follow with respect to FIG. 5 provide insight into how selecting these variables can alter transmittance and intensity gain of optical radiation that has been applied to the measurement site. These examples do not limit the scope of this disclosure.

21

Referring to FIG. 5, a plot 500 is shown that illustrates examples of effects of embodiments of the protrusion 305 on the SNR at various wavelengths of light. As described above, the protrusion 305 can assist in conforming the tissue and effectively reduce its mean path length. In some 5 instances, this effect by the protrusion 305 can have significant impact on increasing the SNR.

According to the Beer Lambert law, a transmittance of light (l) can be expressed as follows: $l=l_o{}^*e^{-m^*b^*c}$, where lo is the initial power of light being transmitted, m is the path 10 length traveled by the light, and the component "b*c" corresponds to the bulk absorption of the light at a specific wavelength of light. For light at about 1600 nm to about 1700 nm, for example, the bulk absorption component is generally around 0.7 mm⁻¹. Assuming a typical finger 15 thickness of about 12 mm and a mean path length of 20 mm due to tissue scattering, then $l=l_o{}^*e^{(-20^*0.7)}$.

In an embodiment where the protrusion 305 is a convex bump, the thickness of the finger can be reduced to 10 mm (from 12 mm) for some fingers and the effective light mean 20 path is reduced to about 16.6 mm from 20 mm (see box 510). This results in a new transmittance, $l_1 = l_a * e^{(-16.6*0.7)}$. A curve for a typical finger (having a mean path length of 20 mm) across various wavelengths is shown in the plot 500 of FIG. 5. The plot 500 illustrates potential effects of the 25 protrusion 305 on the transmittance. As illustrated, comparing 1 and l_1 results in an intensity gain of $e^{(-1.6.6*0.7)}/e^{(-1.6.6*0.7)}$ 20*0.7), which is about a 10 times increase for light in the about 1600 nm to about 1700 nm range. Such an increase can affect the SNR at which the sensor can operate. The 30 foregoing gains can be due at least in part to the about 1600 nm to about 1700 nm range having high values in bulk absorptions (water, protein, and the like), e.g., about 0.7 mm⁻¹. The plot **500** also shows improvements in the visible/ near-infrared range (about 600 nm to about 1300 nm).

Turning again to FIGS. 3A through 3C, an example heat sink 350a is also shown. The heat sink 350a can be attached to, or protrude from an outer surface of, the sensor 301a, thereby providing increased ability for various sensor components to dissipate excess heat. By being on the outer 40 surface of the sensor 301a in certain embodiments, the heat sink 350a can be exposed to the air and thereby facilitate more efficient cooling. In an embodiment, one or more of the emitters (see FIG. 1) generate sufficient heat that inclusion of the heat sink 350a can advantageously allows the sensor 45 301a to remain safely cooled. The heat sink 350a can include one or more materials that help dissipate heat, such as, for example, aluminum, steel, copper, carbon, combinations of the same, or the like. For example, in some embodiments, the emitter shell 304a can include a heat 50 conducting material that is also readily and relatively inexpensively moldable into desired shapes and forms.

In some embodiments, the heat sink 350a includes metalicized plastic. The metalicized plastic can include aluminum and carbon, for example. The material can allow for 55 improved thermal conductivity and diffusivity, which can increase commercial viability of the heat sink. In some embodiments, the material selected to construct the heat sink 350a can include a thermally conductive liquid crystalline polymer, such as CoolPoly® D5506, commercially available from Cool Polymers®, Inc. of Warwick, Rhode Island. Such a material can be selected for its electrically nonconductive and dielectric properties so as, for example, to aid in electrical shielding. In an embodiment, the heat sink 350a provides improved heat transfer properties when the 65 sensor 301a is active for short intervals of less than a full day's use. In an embodiment, the heat sink 350a can

advantageously provide improved heat transfers in about three (3) to about four (4) minute intervals, for example, although a heat sink 350a can be selected that performs

22

effectively in shorter or longer intervals.

Moreover, the heat sink 350a can have different shapes and configurations for aesthetic as well as for functional purposes. In an embodiment, the heat sink is configured to maximize heat dissipation, for example, by maximizing surface area. In an embodiment, the heat sink 350a is molded into a generally curved surface and includes one or more fins, undulations, grooves, or channels. The example heat sink 350a shown includes fins 351a (see FIG. 3A).

An alternative shape of a sensor 301b and heat sink 350b is shown in FIG. 3D. The sensor 301b can include some or all of the features of the sensor 301a. For example, the sensor 301b includes an enclosure 302b formed by an emitter shell 304b and a detector shell 306b, pivotably connected about a pivot 303a. The emitter shell 304b can also include absorbing opaque material on one or more flaps 307b, and the detector shell 306a can also include absorbing opaque material at various areas, such as lower area 308b.

However, the shape of the sensor 301b is different in this embodiment. In particular, the heat sink 350b includes comb protrusions 351b. The comb protrusions 351b are exposed to the air in a similar manner to the fins 351a of the heat sink 350a, thereby facilitating efficient cooling of the sensor 301b

FIG. 3E illustrates a more detailed example of a detector shell 306b of the sensor 301b. The features described with respect to the detector shell 306b can also be used with the detector shell 306a of the sensor 301a.

As shown, the detector shell 306b includes detectors 316. The detectors 316 can have a predetermined spacing 340 from each other, or a spatial relationship among one another that results in a spatial configuration. This spatial configuration can purposefully create a variation of path lengths among detectors 316 and the emitter discussed above.

In the depicted embodiment, the detector shell 316 can hold multiple (e.g., two, three, four, etc.) photodiode arrays that are arranged in a two-dimensional grid pattern. Multiple photodiode arrays can also be useful to detect light piping (e.g., light that bypasses measurement site 102). In the detector shell 316, walls can be provided to separate the individual photodiode arrays to prevent or reduce mixing of light signals from distinct quadrants. In addition, the detector shell 316 can be covered by windows of transparent material, such as glass, plastic, or the like, to allow maximum or increased transmission of power light captured. In various embodiments, the transparent materials used can also be partially transparent or translucent or can otherwise pass some or all of the optical radiation passing through them. As noted, this window can include some shielding in the form of an embedded grid of wiring, or a conductive layer or coating.

As further illustrated by FIG. 3E, the detectors 316 can have a spatial configuration of a grid. However, the detectors 316 can be arranged in other configurations that vary the path length. For example, the detectors 316 can be arranged in a linear array, a logarithmic array, a two-dimensional array, a zig-zag pattern, or the like. Furthermore, any number of the detectors 316 can be employed in certain embodiments.

FIG. 3F illustrates another embodiment of a sensor 301f. The sensor 301f can include some or all of the features of the sensor 301a of FIG. 3A described above. For example, the sensor 301f includes an enclosure 302f formed by an upper section or emitter shell 304f, which is pivotably connected

23

with a lower section or detector shell **306***f* around a pivot point **303***f*. The emitter shell **304***f* can also include absorbing opaque material on various areas, such as on one or more flaps **307***f*, to reduce ambient light entering the sensor **301***f*. The detector shell **306***f* can also include absorbing opaque material at various areas, such as a lower area **308***f*. The sensor **301***f* also includes a heat sink **350***f*, which includes fins **351***f*.

In addition to these features, the sensor 301f includes a flex circuit cover 360, which can be made of plastic or 10 another suitable material. The flex circuit cover 360 can cover and thereby protect a flex circuit (not shown) that extends from the emitter shell 304f to the detector shell 306f. An example of such a flex circuit is illustrated in U.S. Publication No. 2006/0211924, incorporated above (see 15 FIG. 46 and associated description, which is hereby specifically incorporated by reference). The flex circuit cover 360 is shown in more detail below in FIG. 17.

In addition, sensors 301a-f has extra length—extends to second joint on finger—Easier to place, harder to move due 20 to cable, better for light piping.

FIGS. 4A through 4C illustrate example arrangements of a protrusion 405, which is an embodiment of the protrusion 305 described above. In an embodiment, the protrusion 405 can include a measurement site contact area 470. The 25 measurement site contact area 470 can include a surface that molds body tissue of a measurement site, such as a finger, into a flat or relatively flat surface.

The protrusion 405 can have dimensions that are suitable for a measurement site such as a patient's finger. As shown, 30 the protrusion 405 can have a length 400, a width 410, and a height 430. The length 400 can be from about 9 to about 11 millimeters, e.g., about 10 millimeters. The width 410 can be from about 7 to about 9 millimeters, e.g., about 8 millimeters. The height 430 can be from about 0.5 millimeters to about 3 millimeters, e.g., about 2 millimeters. In an embodiment, the dimensions 400, 410, and 430 can be selected such that the measurement site contact area 470 includes an area of about 80 square millimeters, although larger and smaller areas can be used for different sized tissue 40 for an adult, an adolescent, or infant, or for other considerations

The measurement site contact area **470** can also include differently shaped surfaces that conform the measurement site into different shapes. For example, the measurement site 45 contact area **470** can be generally curved and/or convex with respect to the measurement site. The measurement site contact area **470** can be other shapes that reduce or even minimize air between the protrusion **405** and/or the measurement site. Additionally, the surface pattern of the measurement site contact area **470** can vary from smooth to bumpy, e.g., to provide varying levels of grip.

In FIGS. 4A and 4C, openings or windows 420, 421, 422, and 423 can include a wide variety of shapes and sizes, including for example, generally square, circular, triangular, 55 or combinations thereof. The windows 420, 421, 422, and 423 can be of non-uniform shapes and sizes. As shown, the windows 420, 421, 422, and 423 can be evenly spaced out in a grid like arrangement. Other arrangements or patterns of arranging the windows 420, 421, 422, and 423 are possible. 60 For example, the windows 420, 421, 422, and 423 can be placed in a triangular, circular, or linear arrangement. In some embodiments, the windows 420, 421, 422, and 423 can be placed at different heights with respect to the finger bed 310 of FIG. 3. The windows 420, 421, 422, and 423 can also 65 mimic or approximately mimic a configuration of, or even house, a plurality of detectors.

24

FIGS. 6A through 6D illustrate another embodiment of a protrusion 605 that can be used as the tissue shaper 105 described above or in place of the protrusions 305, 405 described above. The depicted protrusion 605 is a partially cylindrical lens having a partial cylinder 608 and an extension 610. The partial cylinder 608 can be a half cylinder in some embodiments; however, a smaller or greater portion than half of a cylinder can be used. Advantageously, in certain embodiments, the partially cylindrical protrusion 605 focuses light onto a smaller area, such that fewer detectors can be used to detect the light attenuated by a measurement site

FIG. 6A illustrates a perspective view of the partially cylindrical protrusion 605. FIG. 6B illustrates a front elevation view of the partially cylindrical protrusion 605. FIG. 6C illustrates a side view of the partially cylindrical protrusion 605. FIG. 6D illustrates a top view of the partially cylindrical protrusion 605.

Advantageously, in certain embodiments, placing the partially cylindrical protrusion 605 over the photodiodes in any of the sensors described above adds multiple benefits to any of the sensors described above. In one embodiment, the partially cylindrical protrusion 605 penetrates into the tissue and reduces the path length of the light traveling in the tissue, similar to the protrusions described above.

The partially cylindrical protrusion 605 can also collect light from a large surface and focus down the light to a smaller area. As a result, in certain embodiments, signal strength per area of the photodiode can be increased. The partially cylindrical protrusion 605 can therefore facilitate a lower cost sensor because, in certain embodiments, less photodiode area can be used to obtain the same signal strength. Less photodiode area can be realized by using smaller photodiodes or fewer photodiodes (see, e.g., FIG. 14). If fewer or smaller photodiodes are used, the partially cylindrical protrusion 605 can also facilitate an improved SNR of the sensor because fewer or smaller photodiodes can have less dark current.

The dimensions of the partially cylindrical protrusion 605 can vary based on, for instance, a number of photodiodes used with the sensor. Referring to FIG. 6C, the overall height of the partially cylindrical protrusion 605 (measurement "a") in some implementations is about 1 to about 3 mm. A height in this range can allow the partially cylindrical protrusion 605 to penetrate into the pad of the finger or other tissue and reduce the distance that light travels through the tissue. Other heights, however, of the partially cylindrical protrusion 605 can also accomplish this objective. For example, the chosen height of the partially cylindrical protrusion 605 can be selected based on the size of the measurement site, whether the patient is an adult or child, and so on. In an embodiment, the height of the protrusion 605 is chosen to provide as much tissue thickness reduction as possible while reducing or preventing occlusion of blood vessels in the tissue.

Referring to FIG. 6D, the width of the partially cylindrical protrusion 605 (measurement "b") can be about 3 to about 5 mm. In one embodiment, the width is about 4 mm. In one embodiment, a width in this range provides good penetration of the partially cylindrical protrusion 605 into the tissue to reduce the path length of the light. Other widths, however, of the partially cylindrical protrusion 605 can also accomplish this objective. For example, the width of the partially cylindrical protrusion 605 can vary based on the size of the measurement site, whether the patient is an adult or child, and so on. In addition, the length of the protrusion 605 could

25

be about 10 mm, or about 8 mm to about 12 mm, or smaller than 8 mm or greater than 12 mm.

In certain embodiments, the focal length (f) for the partially cylindrical protrusion 605 can be expressed as:

$$f = \frac{R}{n-1},$$

where R is the radius of curvature of the partial cylinder **608** and n is the index of refraction of the material used. In certain embodiments, the radius of curvature can be between about 1.5 mm and about 2 mm. In another embodiment, the partially cylindrical protrusion **605** can include a material, such as nBK7 glass, with an index of refraction of around 1.5 at 1300 nm, which can provide focal lengths of between about 3 mm and about 4 mm.

A partially cylindrical protrusion 605 having a material with a higher index of refraction such as nSF11 glass (e.g., 20 n=1.75 at 1300 nm) can provide a shorter focal length and possibly a smaller photodiode chip, but can also cause higher reflections due to the index of refraction mismatch with air. Many types of glass or plastic can be used with index of refraction values ranging from, for example, about 1.4 to about 1.9. The index of refraction of the material of the protrusion 605 can be chosen to improve or optimize the light focusing properties of the protrusion 605. A plastic partially cylindrical protrusion 605 could provide the cheapest option in high volumes but can also have some undesired light absorption peaks at wavelengths higher than 1500 nm. Other focal lengths and materials having different indices of refraction can be used for the partially cylindrical protrusion 605

Placing a photodiode at a given distance below the 35 partially cylindrical protrusion 605 can facilitate capturing some or all of the light traveling perpendicular to the lens within the active area of the photodiode (see FIG. 14). Different sizes of the partially cylindrical protrusion 605 can use different sizes of photodiodes. The extension 610 added 40 onto the bottom of the partial cylinder 608 is used in certain embodiments to increase the height of the partially cylindrical protrusion 605. In an embodiment, the added height is such that the photodiodes are at or are approximately at the focal length of the partially cylindrical protrusion **605**. In an 45 embodiment, the added height provides for greater thinning of the measurement site. In an embodiment, the added height assists in deflecting light piped through the sensor. This is because light piped around the sensor passes through the side walls of the added height without being directed toward 50 the detectors. The extension 610 can also further facilitate the protrusion 605 increasing or maximizing the amount of light that is provided to the detectors. In some embodiments, the extension 610 can be omitted.

FIG. 6E illustrates another view of the sensor 301f of FIG. 55 3F, which includes an embodiment of a partially cylindrical protrusion 605b. Like the sensor 301A shown in FIGS. 3B and 3C, the sensor 301f includes a finger bed 310f. The finger bed 310f includes a generally curved surface shaped generally to receive tissue, such as a human digit. The finger 60 bed 310f also includes the ridges or channels 314 described above with respect to FIGS. 3B and 3C.

The example of finger bed 310f shown also includes the protrusion 605b, which includes the features of the protrusion 605 described above. In addition, the protrusion 605b 65 also includes chamfered edges 607 on each end to provide a more comfortable surface for a finger to slide across (see

26

also FIG. 14D). In another embodiment, the protrusion 605b could instead include a single chamfered edge 607 proximal to the ridges 314. In another embodiment, one or both of the chamfered edges 607 could be rounded.

The protrusion 605b also includes a measurement site contact area 670 that can contact body tissue of a measurement site. The protrusion 605b can be removed from or integrated with the finger bed 310f. Interchangeable, differently shaped protrusions 605b can also be provided, which can correspond to different finger shapes, characteristics, opacity, sizes, or the like.

FIGS. 7A and 7B illustrate block diagrams of sensors 701 that include example arrangements of conductive glass or conductive coated glass for shielding. Advantageously, in certain embodiments, the shielding can provide increased SNR. The features of the sensors 701 can be implemented with any of the sensors 101, 201, 301 described above. Although not shown, the partially cylindrical protrusion 605 of FIG. 6 can also be used with the sensors 701 in certain embodiments.

For example, referring specifically to FIG. 7A, the sensor 701a includes an emitter housing 704a and a detector housing 706. The emitter housing 704a includes LEDs 104. The detector housing 706a includes a tissue bed 710a with an opening or window 703a, the conductive glass 730a, and one or more photodiodes for detectors 106 provided on a submount 707a.

During operation, a finger 102 can be placed on the tissue bed 710a and optical radiation can be emitted from the LEDs 104. Light can then be attenuated as it passes through or is reflected from the tissue of the finger 102. The attenuated light can then pass through the opening 703a in the tissue bed 710a. Based on the received light, the detectors 106 can provide a detector signal 107, for example, to the front end interface 108 (see FIG. 1).

In the depicted embodiment, the conductive glass 730 is provided in the opening 703. The conductive glass 730 can thus not only permit light from the finger to pass to the detectors 106, but it can also supplement the shielding of the detectors 106 from noise. The conductive glass 730 can include a stack or set of layers. In FIG. 7A, the conductive glass 730a is shown having a glass layer 731 proximate the finger 102 and a conductive layer 733 electrically coupled to the shielding 790a.

In an embodiment, the conductive glass 730a can be coated with a conductive, transparent or partially transparent material, such as a thin film of indium tin oxide (ITO). To supplement electrical shielding effects of a shielding enclosure 790a, the conductive glass 730a can be electrically coupled to the shielding enclosure 790a. The conductive glass 730a can be electrically coupled to the shielding 704a based on direct contact or via other connection devices, such as a wire or another component.

The shielding enclosure **790***a* can be provided to encompass the detectors **106** to reduce or prevent noise. For example, the shielding enclosure **790***a* can be constructed from a conductive material, such as copper, in the form of a metal cage. The shielding or enclosure a can include an opaque material to not only reduce electrical noise, but also ambient optical noise.

In some embodiments, the shielding enclosure 790a can be constructed in a single manufactured component with or without the use of conductive glass. This form of construction may be useful in order to reduce costs of manufacture as well as assist in quality control of the components. Furthermore, the shielding enclosure 790a can also be used

27

to house various other components, such as sigma delta components for various embodiments of front end interfaces

Referring to FIG. 7B, another block diagram of an example sensor 701b is shown. A tissue bed 710b of the 5 sensor 701b includes a protrusion 705b, which is in the form of a convex bump. The protrusion 705b can include all of the features of the protrusions or tissue shaping materials described above. For example, the protrusion 705b includes a contact area 370 that comes in contact with the finger 102 10 and which can include one or more openings 703b. One or more components of conductive glass 730b can be provided in the openings 703. For example, in an embodiment, each of the openings 703 can include a separate window of the conductive glass 730b. In an embodiment, a single piece of 15 the conductive glass 730b can used for some or all of the openings 703b. The conductive glass 730b is smaller than the conductive glass 730a in this particular embodiment.

A shielding enclosure 790b is also provided, which can have all the features of the shielding enclosure 790a. The 20 comparative results obtained by an example sensor having shielding enclosure 790b is smaller than the shielding enclosure 790a; however, a variety of sizes can be selected for the shielding enclosures 790.

In some embodiments, the shielding enclosure 790b can be constructed in a single manufactured component with or 25 without the use of conductive glass. This form of construction may be useful in order to reduce costs of manufacture as well as assist in quality control of the components. Furthermore, the shielding enclosure 790b can also be used to house various other components, such as sigma delta 30 components for various embodiments of front end interfaces

FIGS. 8A through 8D illustrate a perspective view, side views, and a bottom elevation view of the conductive glass described above with respect to the sensors 701a, 701b. As 35 shown in the perspective view of FIG. 8A and side view of FIG. 8B, the conductive glass 730 includes the electrically conductive material 733 described above as a coating on the glass layer 731 described above to form a stack. In an embodiment where the electrically conductive material 733 40 includes indium tin oxide, surface resistivity of the electrically conductive material 733 can range approximately from 30 ohms per square inch to 500 ohms per square inch, or approximately 30, 200, or 500 ohms per square inch. As would be understood by a person of skill in the art from the 45 present disclosure, other resistivities can also be used which are less than 30 ohms or more than 500 ohms. Other transparent, electrically conductive materials can be used as the material 733.

Although the conductive material 733 is shown spread 50 over the surface of the glass layer 731, the conductive material 733 can be patterned or provided on selected portions of the glass layer 731. Furthermore, the conductive material 733 can have uniform or varying thickness depending on a desired transmission of light, a desired shielding 55 effect, and other considerations.

In FIG. 8C, a side view of a conductive glass 830a is shown to illustrate an embodiment where the electrically conductive material 733 is provided as an internal layer between two glass layers 731, 835. Various combinations of 60 integrating electrically conductive material 733 with glass are possible. For example, the electrically conductive material 733 can be a layer within a stack of layers. This stack of layers can include one or more layers of glass 731, 835, as well as one or more layers of conductive material 733. The 65 stack can include other layers of materials to achieve desired characteristics.

28

In FIG. 8D, a bottom perspective view is shown to illustrate an embodiment where a conductive glass 830b can include conductive material 837 that occupies or covers a portion of a glass layer 839. This embodiment can be useful, for example, to create individual, shielded windows for detectors 106, such as those shown in FIG. 3C. The conductive material 837 can be patterned to include an area 838 to allow light to pass to detectors 106 and one or more strips **841** to couple to the shielding **704** of FIG. **7**.

Other configurations and patterns for the conductive material can be used in certain embodiments, such as, for example, a conductive coating lining periphery edges, a conductive coating outlaid in a pattern including a grid or other pattern, a speckled conductive coating, coating outlaid in lines in either direction or diagonally, varied thicknesses from the center out or from the periphery in, or other suitable patterns or coatings that balance the shielding properties with transparency considerations.

FIG. 9 depicts an example graph 900 that illustrates components similar to those disclosed above with respect to FIGS. 7 and 8. The graph 900 depicts the results of the percentage of transmission of varying wavelengths of light for different types of windows used in the sensors described

A line 915 on the graph 900 illustrates example light transmission of a window made from plain glass. As shown, the light transmission percentage of varying wavelengths of light is approximately 90% for a window made from plain glass. A line 920 on the graph 900 demonstrates an example light transmission percentage for an embodiment in which a window is made from glass having an ITO coating with a surface resistivity of 500 ohms per square inch. A line 925 on the graph 900 shows an example light transmission for an embodiment in which a window is made from glass that includes a coating of ITO oxide with a surface resistivity of 200 ohms per square inch. A line 930 on the graph 900 shows an example light transmission for an embodiment in which a window is made from glass that includes a coating of ITO oxide with a surface resistivity of 30 ohms per square inch.

The light transmission percentage for a window with currently available embedded wiring can have a light transmission percentage of approximately 70%. This lower percentage of light transmission can be due to the opacity of the wiring employed in a currently available window with wiring. Accordingly, certain embodiments of glass coatings described herein can employ, for example, ITO coatings with different surface resistivity depending on the desired light transmission, wavelengths of light used for measurement, desired shielding effect, and other criteria.

FIGS. 10A through 10B illustrate comparative noise floors of example implementations of the sensors described above. Noise can include optical noise from ambient light and electro-magnetic noise, for example, from surrounding electrical equipment. In FIG. 10A, a graph 1000 depicts possible noise floors for different frequencies of noise for an embodiment in which one of the sensors described above included separate windows for four (4) detectors 106. One or more of the windows included an embedded grid of wiring as a noise shield. Symbols 1030-1033 illustrate the noise floor performance for this embodiment. As can be seen, the noise floor performance can vary for each of the openings and based on the frequency of the noise.

In FIG. 10B, a graph 1050 depicts a noise floor for frequencies of noise 1070 for an embodiment in which the sensor included separate openings for four (4) detectors 106

29

and one or more windows that include an ITO coating. In this embodiment, a surface resistivity of the ITO used was about 500 ohms per square inch. Symbols 1080-1083 illustrate the noise floor performance for this embodiment. As can be seen, the noise floor performance for this embodiment can vary less for each of the openings and provide lower noise floors in comparison to the embodiment of FIG.

FIG. 11A illustrates an example structure for configuring the set of optical sources of the emitters described above. As 10 shown, an emitter 104 can include a driver 1105, a thermistor 1120, a set of top-emitting LEDs 1102 for emitting red and/or infrared light, a set of side-emitting LEDs 1104 for emitting near infrared light, and a submount 1106.

The thermistor 1120 can be provided to compensate for 15 temperature variations. For example, the thermistor 1120 can be provided to allow for wavelength centroid and power drift of LEDs 1102 and 1104 due to heating. In addition, other thermistors can be employed, for example, to measure a temperature of a measurement site. The temperature can be 20 displayed on a display device and used by a caregiver. Such a temperature can also be helpful in correcting for wavelength drift due to changes in water absorption, which can be temperature dependent, thereby providing more accurate data useful in detecting blood analytes like glucose. In 25 addition, using a thermistor or other type of temperature sensitive device may be useful for detecting extreme temperatures at the measurement site that are too hot or too cold. The presence of low perfusion may also be detected, for example, when the finger of a patient has become too cold. 30 Moreover, shifts in temperature at the measurement site can alter the absorption spectrum of water and other tissue in the measurement cite. A thermistor's temperature reading can be used to adjust for the variations in absorption spectrum changes in the measurement site.

The driver 1105 can provide pulses of current to the emitter 1104. In an embodiment, the driver 1105 drives the emitter 1104 in a progressive fashion, for example, in an alternating manner based on a control signal from, for the driver 1105 can drive the emitter 1104 with a series of pulses to about 1 milliwatt (mW) for visible light to light at about 1300 nm and from about 40 mW to about 100 mW for light at about 1600 nm to about 1700 nm. However, a wide number of driving powers and driving methodologies can be 45 used. The driver 1105 can be synchronized with other parts of the sensor and can minimize or reduce any litter in the timing of pulses of optical radiation emitted from the emitter 1104. In some embodiments, the driver 1105 is capable of driving the emitter 1104 to emit an optical radiation in a 50 pattern that varies by less than about 10 parts-per-million; however other amounts of variation can be used.

The submount 1106 provides a support structure in certain embodiments for aligning the top-emitting LEDs 1102 and the side-emitting LEDs 1104 so that their optical radiation is 55 transmitted generally towards the measurement site. In some embodiments, the submount 1106 is also constructed of aluminum nitride (AIN) or beryllium oxide (BEO) for heat dissipation, although other materials or combinations of materials suitable for the submount 1106 can be used.

FIG. 11B illustrates a configuration of emitting optical radiation into a measurement site for measuring a blood constituent or analyte like glucose. In some embodiments, emitter 104 may be driven in a progressive fashion to minimize noise and increase SNR of sensor 101. For 65 example, emitter 104 may be driven based on a progression of power/current delivered to LEDs 1102 and 1104.

30

In some embodiments, emitter 104 may be configured to emit pulses centered about 905 nm, about 1050 nm, about 1200 nm, about 1300 nm, about 1330 nm, about 1610 nm, about 1640 nm, and about 1665 nm. In another embodiment, the emitter 104 may emit optical radiation ranging from about 860 nm to about 950 nm, about 950 nm to about 1100 nm, about 1100 nm to about 1270 nm, about 1250 nm to about 1350 nm, about 1300 nm to about 1360 nm, and about 1590 nm to about 1700 nm. Of course, emitter 104 may be configured to transmit any of a variety of wavelengths of visible, or near-infrared optical radiation.

For purposes of illustration, FIG. 11B shows a sequence of pulses of light at wavelengths of around 905 nm, around 1200 nm, around 1300 nm, and around 1330 nm from top emitting LEDs 1102. FIG. 11B also shows that emitter 104 may then emit pulses centered at around 1630 nm, around 1660 nm, and around 1615 nm from side emitting LEDs 1104. Emitter 104 may be progressively driven at higher power/current. This progression may allow driver circuit 105 to stabilize in its operations, and thus, provide a more stable current/power to LEDs 1102 and 1104.

For example, as shown in FIG. 11B, the sequence of optical radiation pulses are shown having a logarithmic-like progression in power/current. In some embodiments, the timing of these pulses is based on a cycle of about 400 slots running at 48 kHz (e.g. each time slot may be approximately 0.02 ms or 20 microseconds). An artisan will recognize that term "slots" includes its ordinary meaning, which includes a time period that may also be expressed in terms of a frequency. In the example shown, pulses from top emitting LEDs 1102 may have a pulse width of about 40 time slots (e.g., about 0.8 ms) and an off period of about 4 time slots in between. In addition, pulses from side emitting LEDs 1104 (e.g., or a laser diode) may have a pulse width of about 60 time slots (e.g., about 1.25 ms) and a similar off period of about 4 time slots. A pause of about 70 time slots (e.g. 1.5 ms) may also be provided in order to allow driver circuit 1105 to stabilize after operating at higher current/power.

As shown in FIG. 11B, top emitting LEDs 1102 may be example, a processor (e.g., the processor 110). For example, 40 initially driven with a power to approximately 1 mW at a current of about 20-100 mA. Power in these LEDs may also be modulated by using a filter or covering of black dye to reduce power output of LEDs. In this example, top emitting LEDs 1102 may be driven at approximately 0.02 to 0.08 mW. The sequence of the wavelengths may be based on the current requirements of top emitting LEDs 502 for that particular wavelength. Of course, in other embodiments, different wavelengths and sequences of wavelengths may be output from emitter 104.

Subsequently, side emitting LEDs 1104 may be driven at higher powers, such as about 40-100 mW and higher currents of about 600-800 mA. This higher power may be employed in order to compensate for the higher opacity of tissue and water in measurement site 102 to these wavelengths. For example, as shown, pulses at about 1630 nm, about 1660 nm, and about 1615 nm may be output with progressively higher power, such as at about 40 mW, about 50 mW, and about 60 mW, respectively. In this embodiment, the order of wavelengths may be based on the optical 60 characteristics of that wavelength in tissue as well as the current needed to drive side emitting LEDs 1104. For example, in this embodiment, the optical pulse at about 1615 nm is driven at the highest power due to its sensitivity in detecting analytes like glucose and the ability of light at this wavelength to penetrate tissue. Of course, different wavelengths and sequences of wavelengths may be output from emitter 104.

31

As noted, this progression may be useful in some embodiments because it allows the circuitry of driver circuit 1105 to stabilize its power delivery to LEDs 1102 and 1104. Driver circuit 1105 may be allowed to stabilize based on the duty cycle of the pulses or, for example, by configuring a variable waiting period to allow for stabilization of driver circuit 1105. Of course, other variations in power/current and wavelength may also be employed in the present disclarate.

Modulation in the duty cycle of the individual pulses may also be useful because duty cycle can affect the signal noise ratio of the system 100. That is, as the duty cycle is increased so may the signal to noise ratio.

Furthermore, as noted above, driver circuit 1105 may monitor temperatures of the LEDs 1102 and 1104 using the thermistor 1120 and adjust the output of LEDs 1102 and 1104 accordingly. Such a temperature may be to help sensor 101 correct for wavelength drift due to changes in water absorption, which can be temperature dependent.

FIG. 11C illustrates another exemplary emitter that may be employed in the sensor according to an embodiment of the disclosure. As shown, the emitter 104 can include components mounted on a substrate 1108 and on submount 1106. In particular, top-emitting LEDs 1102 for emitting red 25 and/or infrared light may be mounted on substrate 1108. Side emitting LEDS 1104 may be mounted on submount 1106. As noted, side-emitting LEDs 1104 may be included in emitter 104 for emitting near infrared light.

As also shown, the sensor of FIG. 11C may include a 30 thermistor 1120. As noted, the thermistor 1120 can be provided to compensate for temperature variations. The thermistor 1120 can be provided to allow for wavelength centroid and power drift of LEDs 1102 and 1104 due to heating. In addition, other thermistors (not shown) can be 35 employed, for example, to measure a temperature of a measurement site. Such a temperature can be helpful in correcting for wavelength drift due to changes in water absorption, which can be temperature dependent, thereby providing more accurate data useful in detecting blood 40 analytes like glucose.

In some embodiments, the emitter 104 may be implemented without the use of side emitting LEDs. For example, certain blood constituents, such as total hemoglobin, can be measured by embodiments of the disclosure without the use 45 of side emitting LEDs. FIG. 11D illustrates another exemplary emitter that may be employed in the sensor according to an embodiment of the disclosure. In particular, an emitter 104 that is configured for a blood constituent, such as total hemoglobin, is shown. The emitter 104 can include components mounted on a substrate 1108. In particular, topemitting LEDs 1102 for emitting red and/or infrared light may be mounted on substrate 1108.

As also shown, the emitter of FIG. 11D may include a thermistor 1120. The thermistor 1120 can be provided to 55 compensate for temperature variations. The thermistor 1120 can be provided to allow for wavelength centroid and power drift of LEDs 1102 due to heating.

FIG. 12A illustrates a detector submount 1200 having photodiode detectors that are arranged in a grid pattern on 60 the detector submount 1200 to capture light at different quadrants from a measurement site. One detector submount 1200 can be placed under each window of the sensors described above, or multiple windows can be placed over a single detector submount 1200. The detector submount 1200 can also be used with the partially cylindrical protrusion 605 described above with respect to FIG. 6.

32

The detectors include photodiode detectors 1-4 that are arranged in a grid pattern on the submount 1200 to capture light at different quadrants from the measurement site. As noted, other patterns of photodiodes, such as a linear row, or logarithmic row, can also be employed in certain embodiments.

As shown, the detectors 1-4 may have a predetermined spacing from each other, or spatial relationship among one another that result in a spatial configuration. This spatial configuration can be configured to purposefully create a variation of path lengths among detectors 106 and the point light source discussed above.

Detectors may hold multiple (e.g., two, three, four, etc.) photodiode arrays that are arranged in a two-dimensional grid pattern. Multiple photodiode arrays may also be useful to detect light piping (i.e., light that bypasses measurement site 102). As shown, walls may separate the individual photodiode arrays to prevent mixing of light signals from distinct quadrants. In addition, as noted, the detectors may be covered by windows of transparent material, such as glass, plastic, etc., to allow maximum transmission of power light captured. As noted, this window may comprise some shielding in the form of an embedded grid of wiring, or a conductive layer or coating.

FIGS. 12B through 12D illustrate a simplified view of exemplary arrangements and spatial configurations of photodiodes for detectors 106. As shown, detectors 106 may comprise photodiode detectors 1-4 that are arranged in a grid pattern on detector submount 1200 to capture light at different quadrants from measurement site 102.

As noted, other patterns of photodiodes may also be employed in embodiments of the present disclosure, including, for example, stacked or other configurations recognizable to an artisan from the disclosure herein. For example, detectors 106 may be arranged in a linear array, a logarithmic array, a two-dimensional array, and the like. Furthermore, an artisan will recognize from the disclosure herein that any number of detectors 106 may be employed by embodiments of the present disclosure.

For example, as shown in FIG. 12B, detectors 106 may comprise photodiode detectors 1-4 that are arranged in a substantially linear configuration on submount 1200. In this embodiment shown, photodiode detectors 1-4 are substantially equally spaced apart (e.g., where the distance D is substantially the same between detectors 1-4).

In FIG. 12C, photodiode detectors 1-4 may be arranged in a substantially linear configuration on submount 1200, but may employ a substantially progressive, substantially logarithmic, or substantially semi-logarithmic spacing (e.g., where distances D1>D2>D3). This arrangement or pattern may be useful for use on a patient's finger and where the thickness of the finger gradually increases.

In FIG. 12D, a different substantially grid pattern on submount 1200 of photodiode detectors 1-4 is shown. As noted, other patterns of detectors may also be employed in embodiments of the present invention.

FIGS. 12E through 12H illustrate several embodiments of photodiodes that may be used in detectors 106. As shown in these figures, a photodiode 1202 of detector 106 may comprise a plurality of active areas 1204. These active areas 204 may be coupled together via a common cathode 1206 or anode 1208 in order to provide a larger effective detection area.

In particular, as shown in FIG. 12E, photodiode 1202 may comprise two (2) active areas 1204a and 1204b. In FIG. 12F, photodiode 1202 may comprise four (4) active areas 1204c-f. In FIG. 12G, photodiode 1202 may comprise three (3)

33

active areas **1204***g-i*. In FIG. **12**H, photodiode **1202** may comprise nine (9) active areas **1204***j-r*. The use of smaller active areas may be useful because smaller active areas can be easier to fabricate and can be fabricated with higher purity. However, one skilled in the art will recognize that various sizes of active areas may be employed in the photodiode **1202**.

FIG. 13 illustrates an example multi-stream process 1300. The multi-stream process 1300 can be implemented by the data collection system 100 and/or by any of the sensors described above. As shown, a control signal from a signal processor 1310 controls a driver 1305. In response, an emitter 1304 generates a pulse sequence 1303 from its emitter (e.g., its LEDs) into a measurement site or sites 1302. As described above, in some embodiments, the pulse sequence 1303 is controlled to have a variation of about 10 parts per million or less. Of course, depending on the analyte desired, the tolerated variation in the pulse sequence 1303 can be greater (or smaller).

In response to the pulse sequence 1300, detectors 1 to n 20 (n being an integer) in a detector 1306 capture optical radiation from the measurement site 1302 and provide respective streams of output signals. Each signal from one of detectors 1-n can be considered a stream having respective time slots corresponding to the optical pulses from emitter sets 1-n in the emitter 1304. Although n emitters and n detectors are shown, the number of emitters and detectors need not be the same in certain implementations.

A front end interface 1308 can accept these multiple streams from detectors 1-n and deliver one or more signals or composite signal(s) back to the signal processor 1310. A stream from the detectors 1-n can thus include measured light intensities corresponding to the light pulses emitted from the emitter 1304.

The signal processor 1310 can then perform various calculations to measure the amount of glucose and other 35 analytes based on these multiple streams of signals. In order to help explain how the signal processor 1310 can measure analytes like glucose, a primer on the spectroscopy employed in these embodiments will now be provided.

Spectroscopy is premised upon the Beer-Lambert law. According to this law, the properties of a material, e.g., glucose present in a measurement site, can be deterministically calculated from the absorption of light traveling through the material. Specifically, there is a logarithmic relation between the transmission of light through a material and the concentration of a substance and also between the transmission and the length of the path traveled by the light. As noted, this relation is known as the Beer-Lambert law.

The Beer-Lambert law is usually written as:

Absorbance A=m*b*c, where:

m is the wavelength-dependent molar absorptivity coefficient (usually expressed in units of M⁻¹ cm⁻¹); that what is achievable by currently available technology. In order to help illustrate aspects of the multi-stream

b is the mean path length; and

c is the analyte concentration (e.g., the desired parameter). In spectroscopy, instruments attempt to obtain the analyte concentration (c) by relating absorbance (A) to transmit-55 tance (T). Transmittance is a proportional value defined as:

 $T=1/l_o$, where:

l is the light intensity measured by the instrument from the measurement site; and

l_o is the initial light intensity from the emitter.

Absorbance (A) can be equated to the transmittance (T) by the equation:

$$A = -\log T$$

Therefore, substituting equations from above:

$$A=-\log (l/l_o)$$

34

In view of this relationship, spectroscopy thus relies on a proportional-based calculation of $-\log(1/l_o)$ and solving for analyte concentration (c).

Typically, in order to simplify the calculations, spectroscopy will use detectors that are at the same location in order to keep the path length (b) a fixed, known constant. In addition, spectroscopy will employ various mechanisms to definitively know the transmission power (l_o), such as a photodiode located at the light source. This architecture can be viewed as a single channel or single stream sensor, because the detectors are at a single location.

However, this scheme can encounter several difficulties in measuring analytes, such as glucose. This can be due to the high overlap of absorption of light by water at the wavelengths relevant to glucose as well as other factors, such as high self-noise of the components.

Embodiments of the present disclosure can employ a different approach that in part allows for the measurement of analytes like glucose. Some embodiments can employ a bulk, non-pulsatile measurement in order to confirm or validate a pulsatile measurement. In addition, both the non-pulsatile and pulsatile measurements can employ, among other things, the multi-stream operation described above in order to attain sufficient SNR. In particular, a single light source having multiple emitters can be used to transmit light to multiple detectors having a spatial configuration.

A single light source having multiple emitters can allow for a range of wavelengths of light to be used. For example, visible, infrared, and near infrared wavelengths can be employed. Varying powers of light intensity for different wavelengths can also be employed.

Secondly, the use of multiple-detectors in a spatial configuration allow for a bulk measurement to confirm or validate that the sensor is positioned correctly. This is because the multiple locations of the spatial configuration can provide, for example, topology information that indicates where the sensor has been positioned. Currently available sensors do not provide such information. For example, if the bulk measurement is within a predetermined range of values, then this can indicate that the sensor is positioned correctly in order to perform pulsatile measurements for analytes like glucose. If the bulk measurement is outside of a certain range or is an unexpected value, then this can indicate that the sensor should be adjusted, or that the pulsatile measurements can be processed differently to compensate, such as using a different calibration curve or adjusting a calibration curve. This feature and others allow the embodiments to achieve noise cancellation and noise reduction, which can be several times greater in magnitude

In order to help illustrate aspects of the multi-stream measurement approach, the following example derivation is provided. Transmittance (T) can be expressed as:

$$T=e^{-m*b*c}$$

In terms of light intensity, this equation can also be rewritten as:

$$l/l_o = e^{-m^*b^*c}$$

Or, at a detector, the measured light (l) can be expressed as:

$$l = l_o * e^{-m*b*c}$$

As noted, in the present disclosure, multiple detectors (1 to n) can be employed, which results in $l_1 ldots l_n$ streams of measurements. Assuming each of these detectors have their

35

own path lengths, $b_1 \dots b_n$, from the light source, the measured light intensities can be expressed as:

$$l_n = l_o * e^{-m * b_n * c}$$

The measured light intensities at any two different detectors can be referenced to each other. For example:

As can be seen, the terms, l_o , cancel out and, based on exponent algebra, the equation can be rewritten as:

$$l_1/l_n = e^{-m(b_1-b_n)c}$$

From this equation, the analyte concentration (c) can now be derived from bulk signals $l_1 \ldots l_n$ and knowing the 15 respective mean path lengths b_1 and b_n . This scheme also allows for the cancelling out of l_o , and thus, noise generated by the emitter 1304 can be cancelled out or reduced. In addition, since the scheme employs a mean path length difference, any changes in mean path length and topological 20 variations from patient to patient are easily accounted. Furthermore, this bulk-measurement scheme can be extended across multiple wavelengths. This flexibility and other features allow embodiments of the present disclosure to measure blood analytes like glucose.

For example, as noted, the non-pulsatile, bulk measurements can be combined with pulsatile measurements to more accurately measure analytes like glucose. In particular, the non-pulsatile, bulk measurement can be used to confirm or validate the amount of glucose, protein, etc. in the pulsatile 30 measurements taken at the tissue at the measurement site(s) 1302. The pulsatile measurements can be used to measure the amount of glucose, hemoglobin, or the like that is present in the blood. Accordingly, these different measurements can be combined to thus determine analytes like blood glucose. 35

FIG. **14**A illustrates an embodiment of a detector submount **1400***a* positioned beneath the partially cylindrical protrusion **605** of FIG. **6** (or alternatively, the protrusion **605***b*). The detector submount **1400***a* includes two rows **1408***a* of detectors **1410***a*. The partially cylindrical protrusion **605** can facilitate reducing the number and/or size of detectors used in a sensor because the protrusion **605** can act as a lens that focuses light onto a smaller area.

To illustrate, in some sensors that do not include the partially cylindrical protrusion 605, sixteen detectors can be 45 used, including four rows of four detectors each. Multiple rows of detectors can be used to measure certain analytes, such as glucose or total hemoglobin, among others. Multiple rows of detectors can also be used to detect light piping (e.g., light that bypasses the measurement site). However, using 50 more detectors in a sensor can add cost, complexity, and noise to the sensor.

Applying the partially cylindrical protrusion **605** to such a sensor, however, could reduce the number of detectors or rows of detectors used while still receiving the substantially 55 same amount of light, due to the focusing properties of the protrusion **605** (see FIG. **14B**). This is the example situation illustrated in FIG. **14**—two rows **1408***a* of detectors **1410***a* are used instead of four. Advantageously, in certain embodiments, the resulting sensor can be more cost effective, have 60 less complexity, and have an improved SNR, due to fewer and/or smaller photodiodes.

In other embodiments, using the partially cylindrical protrusion **605** can allow the number of detector rows to be reduced to one or three rows of four detectors. The number 65 of detectors in each row can also be reduced. Alternatively, the number of rows might not be reduced but the size of the

36

detectors can be reduced. Many other configurations of detector rows and sizes can also be provided.

FIG. 14B depicts a front elevation view of the partially cylindrical protrusion 605 (or alternatively, the protrusion 605b) that illustrates how light from emitters (not shown) can be focused by the protrusion 605 onto detectors. The protrusion 605 is placed above a detector submount 1400b having one or more detectors 1410b disposed thereon. The submount 1400b can include any number of rows of detectors 1410, although one row is shown.

Light, represented by rays 1420, is emitted from the emitters onto the protrusion 605. These light rays 1420 can be attenuated by body tissue (not shown). When the light rays 1420 enter the protrusion 605, the protrusion 605 acts as a lens to refract the rays into rays 1422. This refraction is caused in certain embodiments by the partially cylindrical shape of the protrusion 605. The refraction causes the rays 1422 to be focused or substantially focused on the one or more detectors 1410b. Since the light is focused on a smaller area, a sensor including the protrusion 605 can include fewer detectors to capture the same amount of light compared with other sensors.

FIG. 14C illustrates another embodiment of a detector submount 1400c, which can be disposed under the protrusion 605b (or alternatively, the protrusion 605). The detector submount 1400c includes a single row 1408c of detectors 1410c. The detectors are electrically connected to conductors 1412c, which can be gold, silver, copper, or any other suitable conductive material.

The detector submount 1400c is shown positioned under the protrusion 605b in a detector subassembly 1450 illustrated in FIG. 14D. A top-down view of the detector subassembly 1450 is also shown in FIG. 14E. In the detector subassembly 1450, a cylindrical housing 1430 is disposed on the submount 1400c. The cylindrical housing 1430 includes a transparent cover 1432, upon which the protrusion 605b is disposed. Thus, as shown in FIG. 14D, a gap 1434 exists between the detectors 1410c and the protrusion 605b. The height of this gap 1434 can be chosen to increase or maximize the amount of light that impinges on the detectors 1410c.

The cylindrical housing 1430 can be made of metal, plastic, or another suitable material. The transparent cover 1432 can be fabricated from glass or plastic, among other materials. The cylindrical housing 1430 can be attached to the submount 1400c at the same time or substantially the same time as the detectors 1410c to reduce manufacturing costs. A shape other than a cylinder can be selected for the housing 1430 in various embodiments.

In certain embodiments, the cylindrical housing 1430 (and transparent cover 1432) forms an airtight or substantially airtight or hermetic seal with the submount 1400c. As a result, the cylindrical housing 1430 can protect the detectors 1410c and conductors 1412c from fluids and vapors that can cause corrosion. Advantageously, in certain embodiments, the cylindrical housing 1430 can protect the detectors 1410c and conductors 1412c more effectively than currently-available resin epoxies, which are sometimes applied to solder joints between conductors and detectors.

In embodiments where the cylindrical housing 1430 is at least partially made of metal, the cylindrical housing 1430 can provide noise shielding for the detectors 1410c. For example, the cylindrical housing 1430 can be soldered to a ground connection or ground plane on the submount 1400c, which allows the cylindrical housing 1430 to reduce noise. In another embodiment, the transparent cover 1432 can include a conductive material or conductive layer, such as

37

conductive glass or plastic. The transparent cover 1432 can include any of the features of the noise shields 790 described above.

The protrusion 605b includes the chamfered edges 607 described above with respect to FIG. 6E. These chamfered 5 edges 607 can allow a patient to more comfortably slide a finger over the protrusion 605b when inserting the finger into the sensor 301f.

FIG. 14F illustrates a portion of the detector shell 306f, which includes the detectors 1410c on the substrate 1400c. 10 The substrate 1400c is enclosed by a shielding enclosure 1490, which can include the features of the shielding enclosures 790a, 790b described above (see also FIG. 17). The shielding enclosure 1490 can be made of metal. The shielding enclosure 1490 includes a window 1492a above the 15 detectors 1410c, which allows light to be transmitted onto the detectors 1410c.

A noise shield 1403 is disposed above the shielding enclosure 1490. The noise shield 1403, in the depicted embodiment, includes a window 1492a corresponding to the 20 window 1492a. Each of the windows 1492a, 1492b can include glass, plastic, or can be an opening without glass or plastic. In some embodiments, the windows 1492a, 1492b may be selected to have different sizes or shapes from each other.

The noise shield 1403 can include any of the features of the conductive glass described above. In the depicted embodiment, the noise shield 1403 extends about three-quarters of the length of the detector shell 306f. In other embodiments, the noise shield 1403 could be smaller or 30 larger. The noise shield 1403 could, for instance, merely cover the detectors 1410c, the submount 1400c, or a portion thereof. The noise shield 1403 also includes a stop 1413 for positioning a measurement site within the sensor 301f. Advantageously, in certain embodiments, the noise shield 35 1403 can reduce noise caused by light piping.

A thermistor 1470 is also shown. The thermistor 1470 is attached to the submount 1400c and protrudes above the noise shield 1403. As described above, the thermistor 1470 can be employed to measure a temperature of a measurement site. Such a temperature can be helpful in correcting for wavelength drift due to changes in water absorption, which can be temperature dependent, thereby providing more accurate data useful in detecting blood analytes like glucose.

In the depicted embodiment, the detectors 1410c are not enclosed in the cylindrical housing 1430. In an alternative embodiment, the cylindrical housing 1430 encloses the detectors 1410c and is disposed under the noise shield 1403. In another embodiment, the cylindrical housing 1430 50 encloses the detectors 1410c and the noise shield 1403 is not used. If both the cylindrical housing 1403 and the noise shield 1403 are used, either or both can have noise shielding features

FIG. **14**G illustrates the detector shell **306**f of FIG. **14**F, 55 with the finger bed **310**f disposed thereon. FIG. **14**H illustrates the detector shell **306**f of FIG. **14**G, with the protrusion **605**b disposed in the finger bed **310**f.

FIG. 14I illustrates a cutaway view of the sensor 301f. Not all features of the sensor 301f are shown, such as the 60 protrusion 605b. Features shown include the emitter and detector shells 304f, 306f, the flaps 307f, the heat sink 350f and fins 351f, the finger bed 310f, and the noise shield 1403.

In addition to these features, emitters **1404** are depicted in the emitter shell **304**/. The emitters **1404** are disposed on a 65 submount **1401**, which is connected to a circuit board **1419**. The emitters **1404** are also enclosed within a cylindrical

38

housing 1480. The cylindrical housing 1480 can include all of the features of the cylindrical housing 1430 described above. For example, the cylindrical housing 1480 can be made of metal, can be connected to a ground plane of the submount 1401 to provide noise shielding, and can include a transparent cover 1482.

The cylindrical housing 1480 can also protect the emitters 1404 from fluids and vapors that can cause corrosion. Moreover, the cylindrical housing 1480 can provide a gap between the emitters 1404 and the measurement site (not shown), which can allow light from the emitters 1404 to even out or average out before reaching the measurement site.

The heat sink 350f, in addition to including the fins 351f, includes a protuberance 352f that extends down from the fins 351f and contacts the submount 1401. The protuberance 352f can be connected to the submount 1401, for example, with thermal paste or the like. The protuberance 352f can sink heat from the emitters 1404 and dissipate the heat via the fins 351f.

FIGS. 15A and 15B illustrate embodiments of sensor portions 1500A, 15008 that include alternative heat sink features to those described above. These features can be incorporated into any of the sensors described above. For example, any of the sensors above can be modified to use the heat sink features described below instead of or in addition to the heat sink features of the sensors described above.

The sensor portions 1500A, 1500B shown include LED emitters 1504; however, for ease of illustration, the detectors have been omitted. The sensor portions 1500A, 1500B shown can be included, for example, in any of the emitter shells described above.

The LEDs 1504 of the sensor portions 1500A, 1500B are connected to a substrate or submount 1502. The submount 1502 can be used in place of any of the submounts described above. The submount 1502 can be a non-electrically conducting material made of any of a variety of materials, such as ceramic, glass, or the like. A cable 1512 is attached to the submount 1502 and includes electrical wiring 1514, such as twisted wires and the like, for communicating with the LEDs 1504. The cable 1512 can correspond to the cables 212 described above.

Although not shown, the cable **1512** can also include electrical connections to a detector. Only a portion of the cable **1512** is shown for clarity. The depicted embodiment of the cable **1512** includes an outer jacket **1510** and a conductive shield **1506** disposed within the outer jacket **1510**. The conductive shield **1506** can be a ground shield or the like that is made of a metal such as braided copper or aluminum.

The conductive shield **1506** or a portion of the conductive shield **1506** can be electrically connected to the submount **1502** and can reduce noise in the signal generated by the sensor **1500A**, **15008** by reducing RF coupling with the wires **1514**. In alternative embodiments, the cable **1512** does not have a conductive shield. For example, the cable **1512** could be a twisted pair cable or the like, with one wire of the twisted pair used as a heat sink.

Referring specifically to FIG. 15A, in certain embodiments, the conductive shield 1506 can act as a heat sink for the LEDs 1504 by absorbing thermal energy from the LEDs 1504 and/or the submount 1502. An optional heat insulator 1520 in communication with the submount 1502 can also assist with directing heat toward the conductive shield 1506. The heat insulator 1520 can be made of plastic or another suitable material. Advantageously, using the conductive shield 1506 in the cable 1512 as a heat sink can, in certain embodiments, reduce cost for the sensor.

39

Referring to FIG. 15B, the conductive shield 1506 can be attached to both the submount 1502 and to a heat sink layer 1530 sandwiched between the submount 1502 and the optional insulator 1520. Together, the heat sink layer 1530 and the conductive shield 1506 in the cable 1512 can absorb 5 at least part of the thermal energy from the LEDs and/or the submount 1502.

FIGS. 15C and 15D illustrate implementations of a sensor portion 1500C that includes the heat sink features of the sensor portion 1500A described above with respect to FIG. 10 15A. The sensor portion 1500C includes the features of the sensor portion 1500A, except that the optional insulator 1520 is not shown. FIG. 15D is a side cutaway view of the sensor portion 1500C that shows the emitters 1504.

The cable **1512** includes the outer jacket **1510** and the 15 conductive shield **1506**. The conductive shield **1506** is soldered to the submount **1502**, and the solder joint **1561** is shown. In some embodiments, a larger solder joint **1561** can assist with removing heat more rapidly from the emitters **1504**. Various connections **1563** between the submount **1502** and a circuit board **1519** are shown. In addition, a cylindrical housing **1580**, corresponding to the cylindrical housing **1480** of FIG. **14I**, is shown protruding through the circuit board **1519**. The emitters **1504** are enclosed in the cylindrical housing **1580**.

FIGS. 15E and 15F illustrate implementations of a sensor portion 1500E that includes the heat sink features of the sensor portion 1500B described above with respect to FIG. 15B. The sensor portion 1500E includes the heat sink layer 1530. The heat sink layer 1530 can be a metal plate, such as 30 a copper plate or the like. The optional insulator 1520 is not shown. FIG. 15F is a side cutaway view of the sensor portion 1500E that shows the emitters 1504.

In the depicted embodiment, the conductive shield 1506 of the cable 1512 is soldered to the heat sink layer 1530 35 instead of the submount 1502. The solder joint 1565 is shown. In some embodiments, a larger solder joint 1565 can assist with removing heat more rapidly from the emitters 1504. Various connections 1563 between the submount 1502 and a circuit board 1519 are shown. In addition, the cylindrical housing 1580 is shown protruding through the circuit board 1519. The emitters 1504 are enclosed in the cylindrical housing 1580.

FIGS. 15G and 15H illustrate embodiments of connector features that can be used with any of the sensors described 45 above with respect to FIGS. 1 through 15F. Referring to FIG. 15G, the circuit board 1519 includes a female connector 1575 that mates with a male connector 1577 connected to a daughter board 1587. The daughter board 1587 includes connections to the electrical wiring 1514 of the cable 1512. 50 The connected boards 1519, 1587 are shown in FIG. 15H. Also shown is a hole 1573 that can receive the cylindrical housing 1580 described above.

Advantageously, in certain embodiments, using a daughter board **1587** to connect to the circuit board **1519** can 55 enable connections to be made more easily to the circuit board **1519**. In addition, using separate boards can be easier to manufacture than a single circuit board **1519** with all connections soldered to the circuit board **1519**.

FIG. 15I illustrates an exemplary architecture for frontend interface 108 as a transimpedance-based front-end. As noted, front-end interfaces 108 provide an interface that adapts the output of detectors 106 into a form that can be handled by signal processor 110. As shown in this figure, sensor 101 and front-end interfaces 108 may be integrated 65 together as a single component, such as an integrated circuit. Of course, one skilled in the art will recognize that sensor

40

101 and front end interfaces 108 may comprise multiple components or circuits that are coupled together.

Front-end interfaces 108 may be implemented using transimpedance amplifiers that are coupled to analog to digital converters in a sigma delta converter. In some embodiments, a programmable gain amplifier (PGA) can be used in combination with the transimpedance-based front-ends. For example, the output of a transimpedance-based front-end may be output to a sigma-delta ADC that comprises a PGA. A PGA may be useful in order to provide another level of amplification and control of the stream of signals from detectors 106. The PGA may be an integrated circuit or built from a set of micro-relays. Alternatively, the PGA and ADC components in converter 900 may be integrated with the transimpedance-based front-end in sensor 101.

Due to the low-noise requirements for measuring blood analytes like glucose and the challenge of using multiple photodiodes in detector 106, the applicants developed a noise model to assist in configuring front-end 108. Conventionally, those skilled in the art have focused on optimizing the impedance of the transimpedance amplifiers to minimize noise.

However, the following noise model was discovered by the applicants:

Noise=
$$\sqrt{aR+bR^2}$$
, where:

aR is characteristic of the impedance of the transimpedance amplifier; and

bR² is characteristic of the impedance of the photodiodes in detector and the number of photodiodes in detector 106.

The foregoing noise model was found to be helpful at least in part due to the high SNR required to measure analytes like glucose. However, the foregoing noise model was not previously recognized by artisans at least in part because, in conventional devices, the major contributor to noise was generally believed to originate from the emitter or the LEDs. Therefore, artisans have generally continued to focus on reducing noise at the emitter.

However, for analytes like glucose, the discovered noise model revealed that one of the major contributors to noise was generated by the photodiodes. In addition, the amount of noise varied based on the number of photodiodes coupled to a transimpedance amplifier. Accordingly, combinations of various photodiodes from different manufacturers, different impedance values with the transimpedance amplifiers, and different numbers of photodiodes were tested as possible embodiments.

In some embodiments, different combinations of transimpedance to photodiodes may be used. For example, detectors 1-4 (as shown, e.g., in FIG. 12A) may each comprise four photodiodes. In some embodiments, each detector of four photodiodes may be coupled to one or more transimpedance amplifiers. The configuration of these amplifiers may be set according to the model shown in FIG. 15J.

Alternatively, each of the photodiodes may be coupled to its own respective transimpedance amplifier. For example, transimpedance amplifiers may be implemented as integrated circuits on the same circuit board as detectors 1-4. In this embodiment, the transimpedance amplifiers may be grouped into an averaging (or summing) circuit, which are known to those skilled in the art, in order to provide an output stream from the detector. The use of a summing amplifier to combine outputs from several transimpedance amplifiers into a single, analog signal may be helpful in improving the SNR relative to what is obtainable from a single transimpedance amplifier. The configuration of the

41 transimpedance amplifiers in this setting may also be set according to the model shown in FIG. 15J.

As yet another alternative, as noted above with respect to FIGS. 12E through 12H, the photodiodes in detectors 106 may comprise multiple active areas that are grouped 5 together. In some embodiments, each of these active areas may be provided its own respective transimpedance. This form of pairing may allow a transimpedance amplifier to be better matched to the characteristics of its corresponding photodiode or active area of a photodiode.

As noted, FIG. 15J illustrates an exemplary noise model that may be useful in configuring transimpedance amplifiers. As shown, for a given number of photodiodes and a desired SNR, an optimal impedance value for a transimpedance amplifier could be determined.

For example, an exemplary "4 PD per stream" sensor 1502 is shown where detector 106 comprises four photodiodes 1502. The photodiodes 1502 are coupled to a single transimpedance amplifier 1504 to produce an output stream 1506. In this example, the transimpedance amplifier com- 20 prises 10 M Ω resistors 1508 and 1510. Thus, output stream 1506 is produced from the four photodiodes (PD) 1502. As shown in the graph of FIG. 15J, the model indicates that resistance values of about $10 \text{ M}\Omega$ may provide an acceptable SNR for analytes like glucose.

However, as a comparison, an exemplary "1 PD per stream" sensor **1512** is also shown in FIG. **15**J. In particular, sensor 1512 may comprise a plurality of detectors 106 that each comprises a single photodiode 1514. In addition, as shown for this example configuration, each of photodiodes 30 1514 may be coupled to respective transimpedance amplifiers 1516, e.g., 1 PD per stream. Transimpedance amplifiers are shown having 40 M Ω resistors 1518. As also shown in the graph of FIG. 15J, the model illustrates that resistance values of 40 M Ω for resistors 1518 may serve as an 35 alternative to the 4 photodiode per stream architecture of sensor 1502 described above and yet still provide an equiva-

Moreover, the discovered noise model also indicates that utilizing a 1 photodiode per stream architecture like that in 40 sensor 1512 may provide enhanced performance because each of transimpedance amplifiers 1516 can be tuned or optimized to its respective photodiodes 1518. In some embodiments, an averaging component 1520 may also be used to help cancel or reduce noise across photodiodes 1518. 45

For purposes of illustration, FIG. 15K shows different architectures (e.g., four PD per stream and one PD per stream) for various embodiments of a sensor and how components of the sensor may be laid out on a circuit board or substrate. For example, sensor 1522 may comprise a "4 50 PD per stream" architecture on a submount 700 in which each detector 106 comprises four (4) photodiodes 1524. As shown for sensor 1522, the output of each set of four photodiodes 1524 is then aggregated into a single transimpedance amplifier 1526 to produce a signal.

As another example, a sensor 1528 may comprise a "1 PD per stream" architecture on submount 700 in which each detector 106 comprises four (4) photodiodes 1530. In sensor 1528, each individual photodiode 1530 is coupled to a respective transimpedance amplifier 1532. The output of the 60 amplifiers 1532 may then be aggregated into averaging circuit 1520 to produce a signal.

As noted previously, one skilled in the art will recognize that the photodiodes and detectors may be arranged in different fashions to optimize the detected light. For 65 example, sensor 1534 illustrates an exemplary "4 PD per stream" sensor in which the detectors 106 comprise photo42

diodes 1536 arranged in a linear fashion. Likewise, sensor 1538 illustrates an exemplary "1 PD per stream" sensor in which the detectors comprise photodiodes 1540 arranged in a linear fashion.

Alternatively, sensor 1542 illustrates an exemplary "4 PD per stream" sensor in which the detectors 106 comprise photodiodes 1544 arranged in a two-dimensional pattern, such as a zig-zag pattern. Sensor 1546 illustrates an exemplary "1 PD per stream" sensor in which the detectors comprise photodiodes 1548 also arranged in a zig-zag

FIG. 15L illustrates an exemplary architecture for a switched-capacitor-based front-end. As shown, front-end interfaces 108 may be implemented using switched capacitor circuits and any number of front-end interfaces 108 may be implemented. The output of these switched capacitor circuits may then be provided to a digital interface 1000 and signal processor 110. Switched capacitor circuits may be useful in system 100 for their resistor free design and analog averaging properties. In particular, the switched capacitor circuitry provides for analog averaging of the signal that allows for a lower smaller sampling rate (e.g., 2 KHz sampling for analog versus 48 KHz sampling for digital designs) than similar digital designs. In some embodiments, the switched capacitor architecture in front end interfaces 108 may provide a similar or equivalent SNR to other front end designs, such as a sigma delta architecture. In addition, a switched capacitor design in front end interfaces 108 may require less computational power by signal processor 110 to perform the same amount of decimation to obtain the same

FIGS. 16A and 16B illustrate embodiments of disposable optical sensors 1600. In an embodiment, any of the features described above, such as protrusion, shielding, and/or heat sink features, can be incorporated into the disposable sensors 1600 shown. For instance, the sensors 1600 can be used as the sensors 101 in the system 100 described above with respect to FIG. 1. Moreover, any of the features described above, such as protrusion, shielding, and/or heat sink features, can be implemented in other disposable sensor designs that are not depicted herein.

The sensors 1600 include an adult/pediatric sensor 1610 for finger placement and a disposable infant/neonate sensor 1602 configured for toe, foot or hand placement. Each sensor 1600 has a tape end 1610 and an opposite connector end 1620 electrically and mechanically interconnected via a flexible coupling 1630. The tape end 1610 attaches an emitter and detector to a tissue site. Although not shown, the tape end 1610 can also include any of the protrusion, shielding, and/or heat sink features described above. The emitter illuminates the tissue site and the detector generates a sensor signal responsive to the light after tissue absorption, such as absorption by pulsatile arterial blood flow within the

The sensor signal is communicated via the flexible coupling 1630 to the connector end 1620. The connector end 1620 can mate with a cable (not shown) that communicates the sensor signal to a monitor (not shown), such as any of the cables or monitors shown above with respect to FIGS. 2A through 2D. Alternatively, the connector end 1620 can mate directly with the monitor.

FIG. 17 illustrates an exploded view of certain of the components of the sensor 301f described above. A heat sink 1751 and a cable 1781 attach to an emitter shell 1704. The emitter shell attaches to a flap housing 1707. The flap housing 1707 includes a receptacle 1709 to receive a cylin-

drical housing 1480/1580 (not shown) attached to an emitter submount 1702, which is attached to a circuit board 1719.

A spring 1787 attaches to a detector shell 1706 via pins 1783, 1785, which hold the emitter and detector shells 1704, 1706 together. A support structure 1791 attaches to the 5 detector shell 1706, which provides support for a shielding enclosure 1790. A noise shield 1713 attaches to the shielding enclosure 1790. A detector submount 1700 is disposed inside the shielding enclosure 1790. A finger bed 1710 provides a surface for placement of the patient's finger. 10 Finger bed 1710 may comprise a gripping surface or gripping features, which may assist in placing and stabilizing a patient's finger in the sensor. A partially cylindrical protrusion 1705 may also be disposed in the finger bed 1710. As shown, finger bed 1710 attaches to the noise shield 1703. 15 The noise shield 1703 may be configured to reduce noise, such as from ambient light and electromagnetic noise. For example, the noise shield 1703 may be constructed from materials having an opaque color, such as black or a dark blue, to prevent light piping.

Noise shield 1703 may also comprise a thermistor 1712. The thermistor 1712 may be helpful in measuring the temperature of a patient's finger. For example, the thermistor 1712 may be useful in detecting when the patient's finger is reaching an unsafe temperature that is too hot or too cold. In 25 addition, the temperature of the patient's finger may be useful in indicating to the sensor the presence of low perfusion as the temperature drops. In addition, the thermistor 1712 may be useful in detecting a shift in the characteristics of the water spectrum in the patient's finger, which 30 can be temperature dependent.

Moreover, a flex circuit cover 1706 attaches to the pins 1783, 1785. Although not shown, a flex circuit can also be provided that connects the circuit board 1719 with the submount 1700 (or a circuit board to which the submount 35 1700 is connected). A flex circuit protector 1760 may be provided to provide a barrier or shield to the flex circuit (not shown). In particular, the flex circuit protector 1760 may also prevent any electrostatic discharge to or from the flex circuit. The flex circuit protector 1760 may be constructed 40 from well known materials, such as a plastic or rubber materials.

FIG. 18 shows the results obtained by an exemplary sensor 101 of the present disclosure that was configured for measuring glucose. This sensor 101 was tested using a pure 45 water ex-vivo sample. In particular, ten samples were prepared that ranged from 0-55 mg/dL. Two samples were used as a training set and eight samples were then used as a test population. As shown, embodiments of the sensor 101 were able to obtain at least a standard deviation of 13 mg/dL in the 50 training set and 11 mg/dL in the test population.

FIG. 19 shows the results obtained by an exemplary sensor 101 of the present disclosure that was configured for measuring glucose. This sensor 101 was tested using a turbid ex-vivo sample. In particular, 25 samples of water/glucose/55 Liposyn were prepared that ranged from 0-55 mg/dL. Five samples were used as a training set and 20 samples were then used as a test population. As shown, embodiments of sensor 101 were able to obtain at least a standard deviation of 37 mg/dL in the training set and 32 mg/dL in the test 60 population.

FIGS. 20 through 22 shows other results that can be obtained by an embodiment of system 100. In FIG. 20, 150 blood samples from two diabetic adult volunteers were collected over a 10-day period. Invasive measurements were 65 taken with a YSI glucometer to serve as a reference measurement. Noninvasive measurements were then taken with

44

an embodiment of system 100 that comprised four LEDs and four independent detector streams. As shown, the system 100 obtained a correlation of about 85% and Arms of about 31 mg/dL.

In FIG. 21, 34 blood samples were taken from a diabetic adult volunteer collected over a 2-day period. Invasive measurements were also taken with a glucometer for comparison. Noninvasive measurements were then taken with an embodiment of system 100 that comprised four LEDs in emitter 104 and four independent detector streams from detectors 106. As shown, the system 100 was able to attain a correlation of about 90% and Arms of about 22 mg/dL.

The results shown in FIG. 22 relate to total hemoglobin testing with an exemplary sensor 101 of the present disclosure. In particular, 47 blood samples were collected from nine adult volunteers. Invasive measurements were then taken with a CO-oximeter for comparison. Noninvasive measurements were taken with an embodiment of system 100 that comprised four LEDs in emitter 104 and four independent detector channels from detectors 106. Measurements were averaged over 1 minute. As shown, the testing resulted in a correlation of about 93% and Arms of about 0.8 mg/dI.

Conditional language used herein, such as, among others, "can," "could," "might," "may," "e.g.," and the like, unless specifically stated otherwise, or otherwise understood within the context as used, is generally intended to convey that certain embodiments include, while other embodiments do not include, certain features, elements and/or states. Thus, such conditional language is not generally intended to imply that features, elements and/or states are in any way required for one or more embodiments or that one or more embodiments necessarily include logic for deciding, with or without author input or prompting, whether these features, elements and/or states are included or are to be performed in any particular embodiment.

While certain embodiments of the inventions disclosed herein have been described, these embodiments have been presented by way of example only, and are not intended to limit the scope of the inventions disclosed herein. Indeed, the novel methods and systems described herein can be embodied in a variety of other forms; furthermore, various omissions, substitutions and changes in the form of the methods and systems described herein can be made without departing from the spirit of the inventions disclosed herein. The claims and their equivalents are intended to cover such forms or modifications as would fall within the scope and spirit of certain of the inventions disclosed herein.

What is claimed is:

- 1. A user-worn device configured to non-invasively determine measurements of physiological parameter of a user, the user-worn device comprising:
 - a plurality of light emitting diodes (LEDs);
 - four photodiodes configured to receive light emitted by the LEDs, the four photodiodes being arranged to capture light at different quadrants of tissue of a user; a protrusion comprising a convex surface and a plurality of openings extending through the protrusion, the openings arranged over the photodiodes and configured to allow light to pass through the protrusion to the photodiodes; and
 - one or more processors configured to receive one or more signals from at least one of the photodiodes and determine measurements of oxygen saturation of the user.
- 2. The user-worn device of claim 1, wherein the one or more processors are further configured to process the one or

45

more signals to determine a bulk measurement indicating a positioning of the user-worn device.

- 3. The user-worn device of claim 1 further comprising optically transparent glass windows, each window extending across a different one of the openings.
- 4. The user-worn device of claim 1, wherein the plurality of LEDs and the photodiodes are positioned on a same side of tissue of the user.
- 5. The user-worn device of claim 1, wherein the protrusion further comprises an opaque material, and wherein the one or more signals are substantially free of noise caused by light piping.
 - 6. A user-worn device comprising:
 - a first set of light emitting diodes (LEDs), the first set of $_{15}$ LEDs comprising at least an LED configured to emit light at a first wavelength and an LED configured to emit light at a second wavelength;
 - a second set of LEDs spaced apart from the first set of LEDs, the second set of LEDs comprising at least an 20 LED configured to emit light at the first wavelength and an LED configured to emit light at the second wavelength;
 - four photodiodes arranged on a surface and configured to receive light after at least a portion of the light has been 25 ings are configured to prevent light piping. attenuated by tissue of a user;
 - a protrusion arranged above the surface, the protrusion comprising a convex surface including windows, the windows extending across the four photodiodes, wherein light passes through the protrusion to the four 30 photodiodes via at least the windows;
 - a thermistor configured to provide a temperature signal; and

one or more processors configured to:

photodiodes;

receive the temperature signal; and

adjust operation of the user-worn device responsive to the temperature signal.

- 7. The user-worn device of claim 6, wherein the protru- 40 sion further comprises an opaque material, the opaque material extending from the convex surface of the protrusion to an interior surface of the protrusion opposite the convex
- **8**. A user-worn device configured to non-invasively deter- 45 mine measurements of a physiological parameter of a user, the user-worn device comprising:
 - a first set of light emitting diodes (LEDs), the first set comprising at least an LED configured to emit light at emit light at a second wavelength;
 - a second set of LEDs spaced apart from the first set of LEDs, the second set of LEDs comprising an LED configured to emit light at the first wavelength and an LED configured to emit light at the second wavelength; 55 four photodiodes;
 - a protrusion comprising a convex surface, at least a portion of the protrusion comprising an opaque mate-
 - a plurality of openings provided through the protrusion 60 and the convex surface, the openings aligned with the photodiodes;
 - a separate optically transparent window extending across each of the openings;
 - one or more processors configured to receive one or more 65 signals from at least one of the photodiodes and output measurements of a physiological parameter of a user;

46

- a housing; and
- a strap configured to position the housing proximate tissue of the user when the device is worn.
- **9**. The user-worn device of claim **8** further comprising a 5 network interface configured to wirelessly communicate the measurements of the physiological parameter to at least one of a mobile phone or a computer network.
 - 10. The user-worn device of claim 9 further comprising a user interface including a touch-screen display configured to display indicia responsive to the measurements of the physiological parameter.
 - 11. The user-worn device of claim 10, wherein an orientation of the user interface is configurable responsive to a user input.
 - 12. The user-worn device of claim 8, wherein the physiological parameter comprises oxygen or oxygen saturation.
 - 13. The user-worn device of claim 8 further comprising a storage device configured to at least temporarily store at least the measurements of the physiological parameter.
 - 14. The user-worn device of claim 8, wherein the physiological parameter comprises pulse rate.
 - 15. The user-worn device of claim 8 further comprising a thermistor.
 - 16. The user-worn device of claim 8, wherein the open-
 - 17. The user-worn device of claim 8, wherein the housing hermetically seals at least a portion of an interior of the user-worn device.
 - 18. The user-worn device of claim 8, wherein the windows comprise a conductive material.
 - 19. The user-worn device of claim 8, wherein the windows are arranged on the protrusion configured to be in contact with tissue of the user.
- 20. A user-worn device configured to non-invasively receive one or more signals from at least one of the 35 determine measurements of a user's tissue, the user-worn device comprising:
 - a plurality of light emitting diodes (LEDs);
 - at least four photodiodes configured to receive light emitted by the LEDs, the four photodiodes being arranged to capture light at different quadrants of tissue of a user:
 - a protrusion comprising a convex surface and a plurality of through holes, each through hole including a window and arranged over a different one of the at least four photodiodes; and
 - one or more processors configured to receive one or more signals from at least one of the photodiodes and determine measurements of oxygen saturation of the user.
- 21. The user-worn device of claim 20, wherein the one or a first wavelength and at least an LED configured to 50 more processors are further configured to process the one or more signals to determine a bulk measurement indicating a positioning of the user-worn device.
 - 22. The user-worn device of claim 20, wherein the plurality of LEDs and the photodiodes are positioned on a same side of the user's tissue.
 - 23. The user-worn device of claim 20, wherein the one or more signals are substantially free of noise caused by light piping.
 - 24. The user-worn device of claim 20, wherein the protrusion comprises opaque material configured to substantially prevent light piping.
 - 25. The user-worn device of claim 20, further comprising gaps between the photodiodes and the windows.
 - 26. The user-worn device of claim 20, wherein the photodiodes are arranged in a quadrant configuration.
 - 27. The user-worn device of claim 26, further comprising opaque walls surrounding the photodiodes.

47

- 28. The user-worn device of claim 27, wherein the walls are configured to reduce mixing of light from distinct quadrants of the tissue.
- 29. The user-worn device of claim 20, wherein the protrusion further comprises one or more extensions.
- **30**. The user-worn device of claim **20**, wherein the protrusion further comprises one or more chamfered edges.

* * * * *

Case 1:22-cv-01378-MN-JLH Document 15-3 Filed 12/12/22 Page 111 of 111 PageID #: 2097

UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF CORRECTION

PATENT NO. : 10,945,648 B2 Page 1 of 1

APPLICATION NO. : 17/031316 DATED : March 16, 2021 INVENTOR(S) : Jeroen Poeze

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On the Title Page

Item (60), Page 2, Column 1 at Line 10, Related U.S. Application Data, Change "which is a division" to --which is a continuation--.

Item (60), Page 2, Column 1 at Line 22, Related U.S. Application Data, Change "and a continuation-in-part" to --said application No. 12/829,352 is a continuation-in-part--.

In the Specification

In Column 35 at Line 8 (approx.), Change "
$$l_1/l_n = (l_o * e^{-mb_1c})/(l_{oiL} * e^{-mb_nc})$$
 " to $l_1/l_n = (l_o * e^{-mb_1c})/(l_o * e^{-mb_nc})$ —.

In Column 38 at Line 22, Change "15008" to --1500B--.

In Column 38 at Line 53, Change "15008" to --1500B--.

Signed and Sealed this Twentieth Day of April, 2021

Drew Hirshfeld

O--- 1/-

Performing the Functions and Duties of the Under Secretary of Commerce for Intellectual Property and Director of the United States Patent and Trademark Office